TCT Asia Pacific April 22-24, 2009

Next Generation on PAVR Technologies

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Disclosure Statement of Financial Interest

Within the past 12 months, the presenter or their spouse/partner have had a financial interest/arrangement or affiliation with the organization(s) listed below.

Physician Name

Company/Relationship

Eberhard Grube, MD

Direct Flow (C) Core Valve(C, G, SB, E,) SADRA Medical (C, SB, E) Boston Scientific (G,C,SB) Cordis JnJ (C) Abbott (C)

G – Grant and or Research Support E – Equity Interests C - Consulting fees, Honoraria

SB - Speaker's Bureau

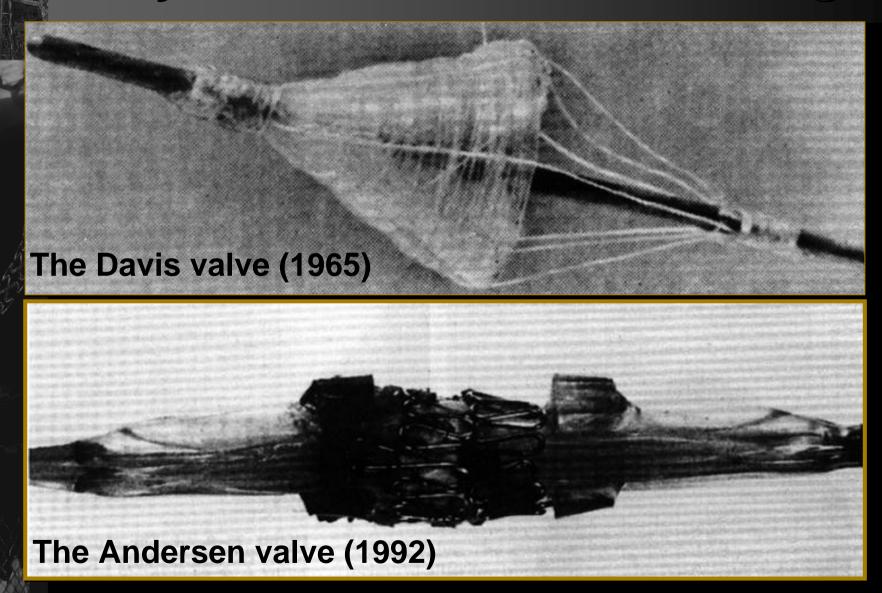
R - Royalty Income

0 – Ownership

S - Salary

I - Intellectual Property Rights OF - Other Financial Benefits

Early Catheter-Based AV Designs



Evolution of Aortic Valve Implant

2002

2004

2006

First aortic transcatheter Implant via antegrade Approach
A. Cribier



First aortic Implant of the CoreValve via retrograde Approach JC.Laborde, E. Grube



First percutaneous CoreValve Implant without Circ.Support E. Grube, U. Gerckens

Transcatheter AVR Clinical Data Sources

Edwards

CoreValve

Transseptal Experience (RECAST, I-REVIVE; 36 pts)

FIRST-in-MAN

25 Fr Transfemoral Experience (14 pts)

REVIVE (OUS, TF, 106 pts)
TRAVERCE (OUS, TA, 172 pts)
REVIVAL (US, TF/TA, 95 pts)

FEASIBILITY

21 and 18 Fr Transfemoral OUS Experience (177 pts)

PARTNER EU (OUS, TF/TA 125 pts) SOURCE (OUS, TF/TA, 598 pts)*

CE-APPROVAL

18 Fr Transfemoral OUS Experience (1,243 pts)*

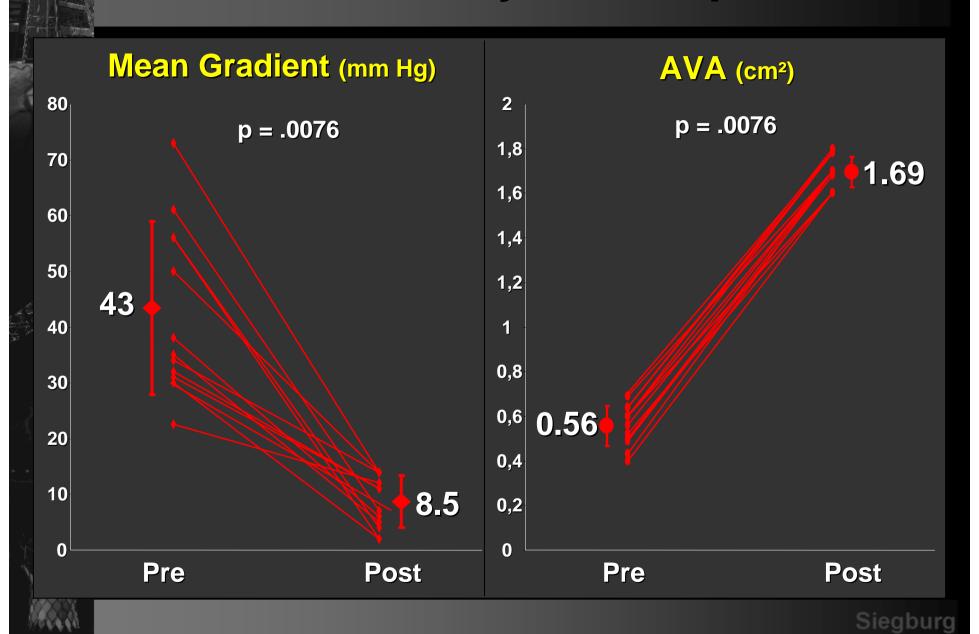
PARTNER FDA*
(US/OUS, TF/TA 456 pts)

PIVOTAL RCT

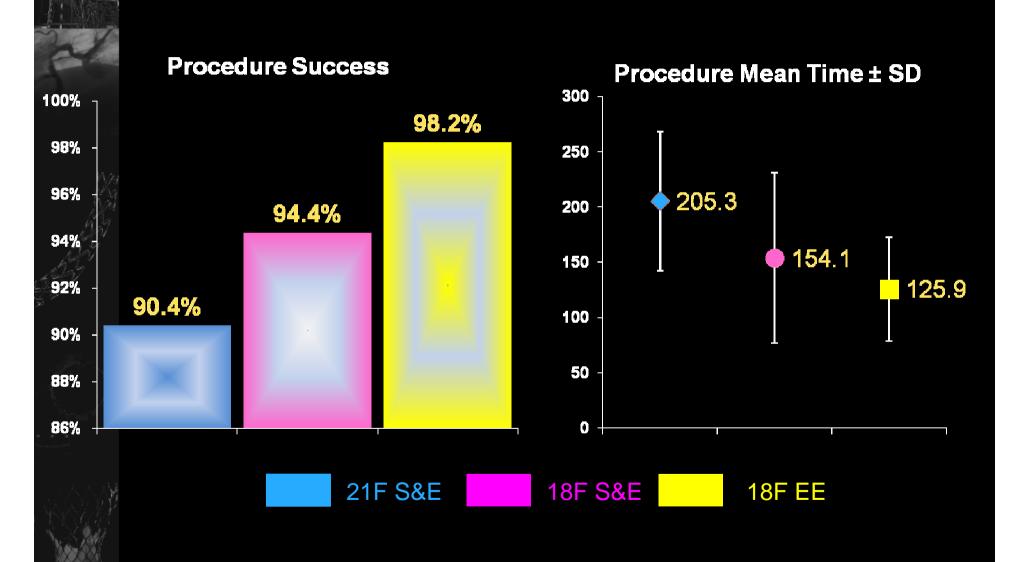
PARVIS
In Planning with FDA

Siegburg

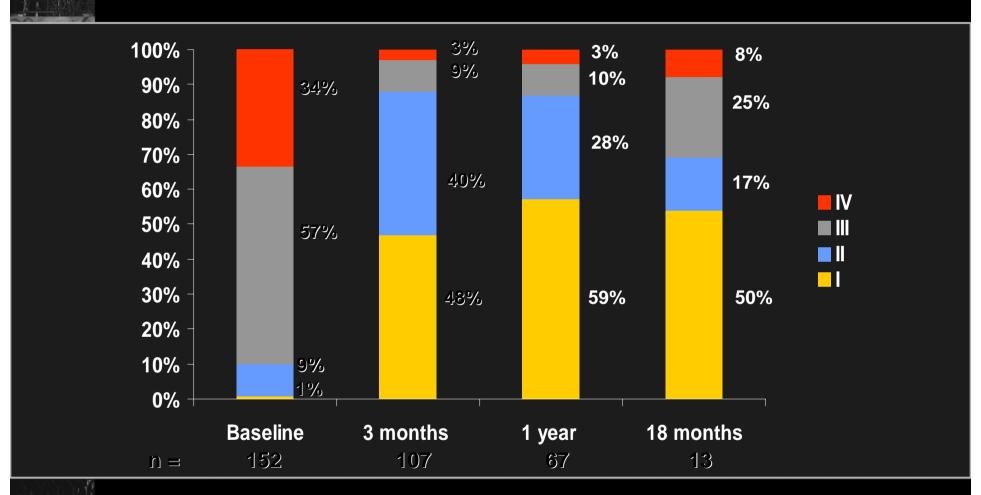
Cribier – Early PHV Experiences



CoreValve Procedural Results

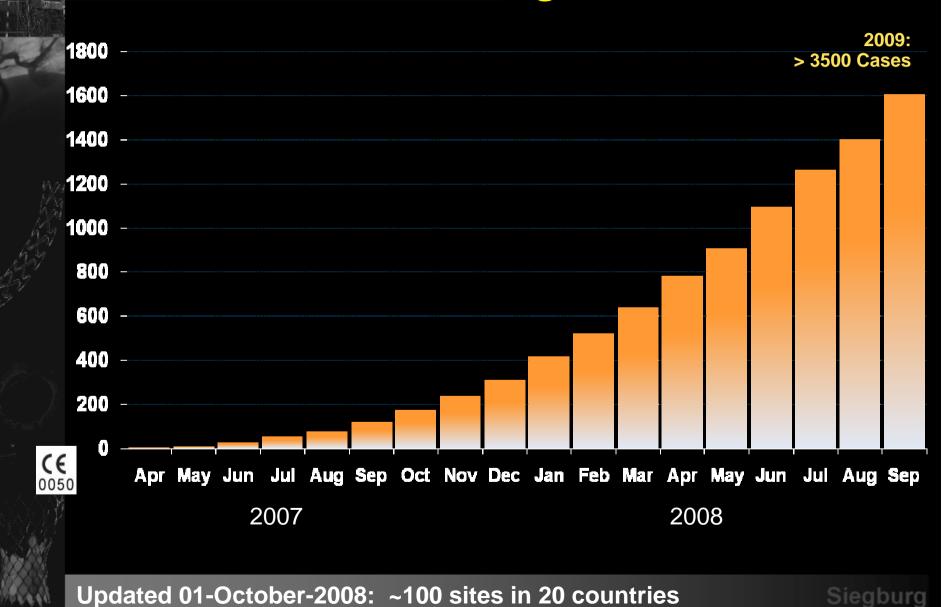


REVIVE + REVIVAL Changes in NYHA Class



90% patients at baseline NYHA Class III/IV, 87% of patients surviving to one year are NYHA Class I/II

Post CE Mark Cumulative 18F ReValving PAVR Procedures



Percutaneous AVR Needs

First Generation PAVR solutions:

- Have provided tremendous clinical benefit to over 5000 patients.
- Confirm a compelling clinical need in high risk patients.
- Highlight opportunities to make PAVR safer, easier to perform, with better outcomes for patients.

Current Devices:

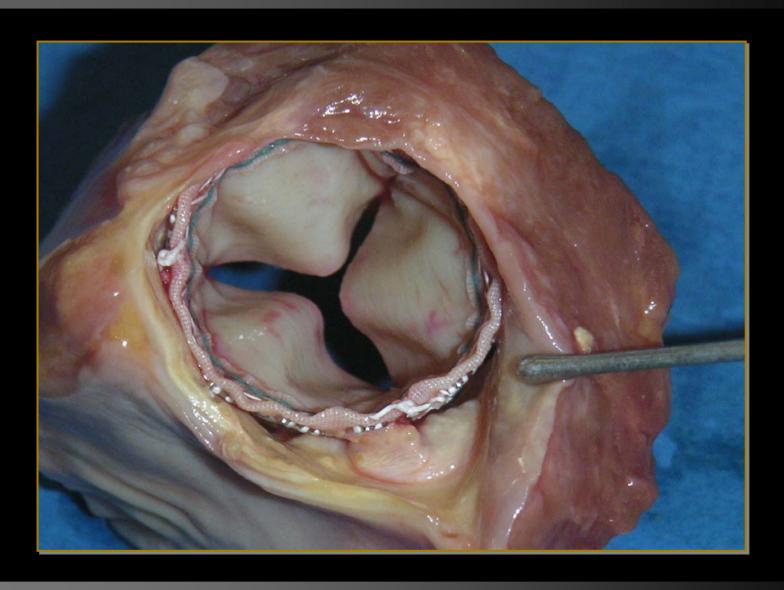
- Have a steep, rel. unforgiving learning curve.
- Are difficult to place with precision.
- Cannot be repositioned to facilitate optimum placement.
- Cannot easily be retrievedd in the event of mis-sizing or clinical need.
- Are subject to perivalvular leaks, despite optimal placement and sizing.

Percutaneous Devices for Aortic Valve Replacement'

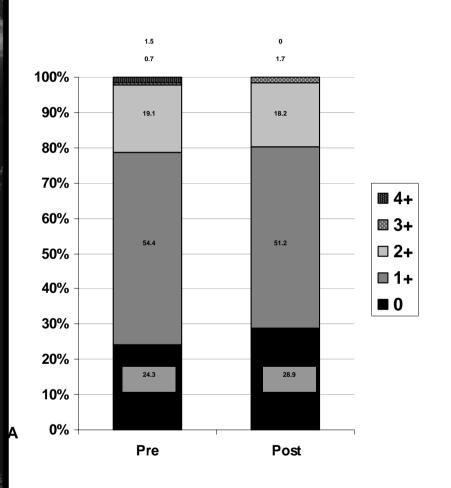
Potential problems of current devices

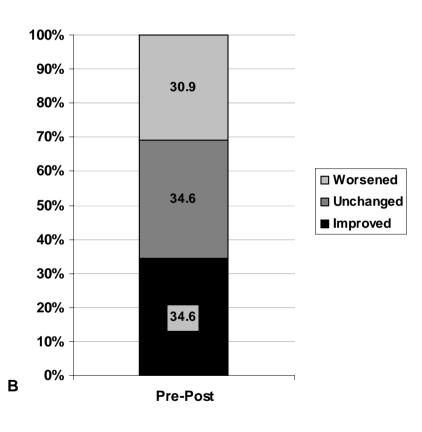
- Paravalvular leackage
- Inaccuracies in Positioning
- Embolization, Migration
- 'One shot' procedure





CoreValve Aortic Regurgitation post-interventional





Percutaneous Aortic Valve Replacement Most Advanced Techniques

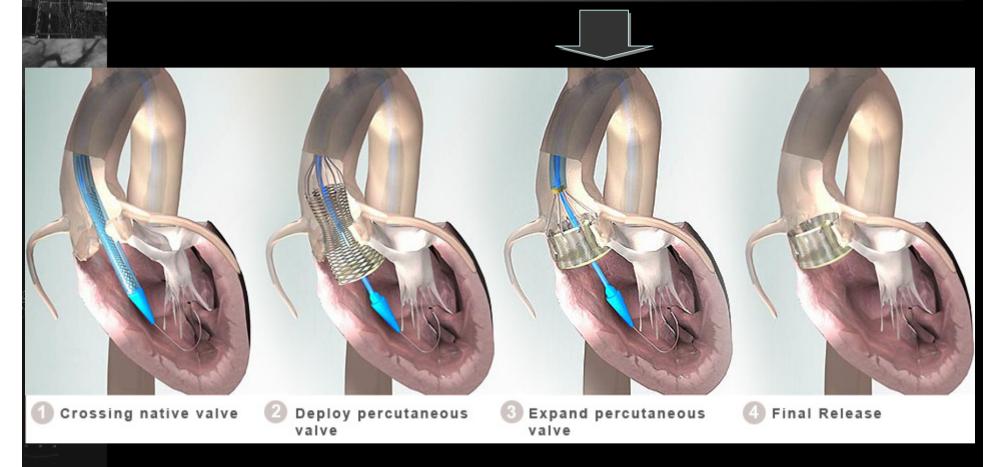




- Flexible, trackable for easier delivery.
- Controlled deployment with self-centering design facilitates accurate placement.
- Easily repositioned or removed.
- Adaptive seal to minimize perivalvular leakage
- Rapid deployment

At this point the device can be fully retracted, back to step 1, and repositioned

Lotus Valve

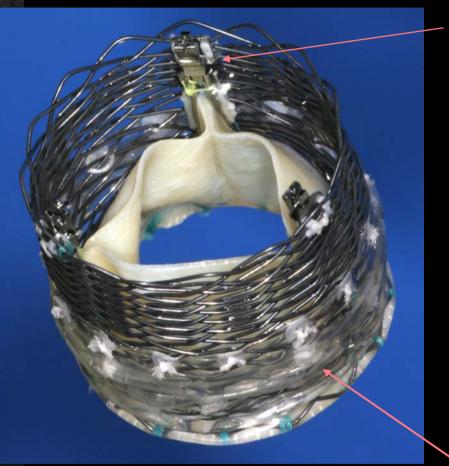


Sadra LotusTM Valve System

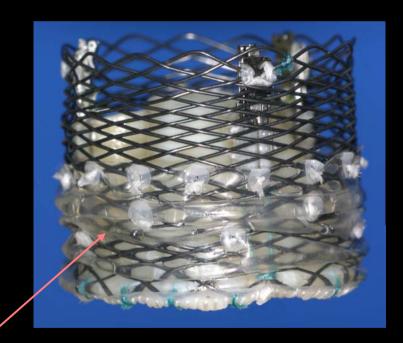




The Sadra Lotus™ Valve - Device Features and Rationale

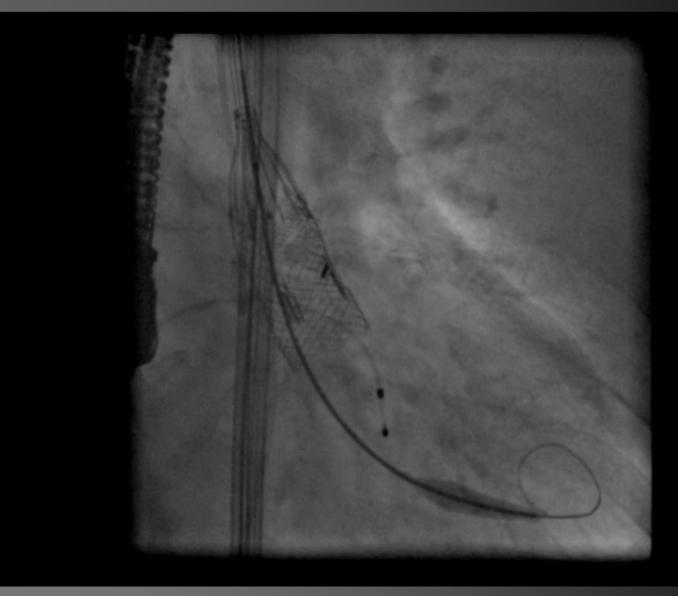


Locking mechanism

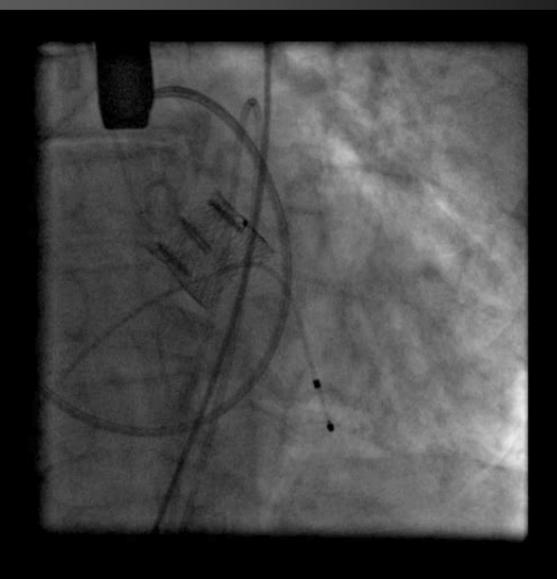


Adaptive[™] Seal

Precise Positioning During Locking



Final Result – Excellent Placement & Zero Leakage

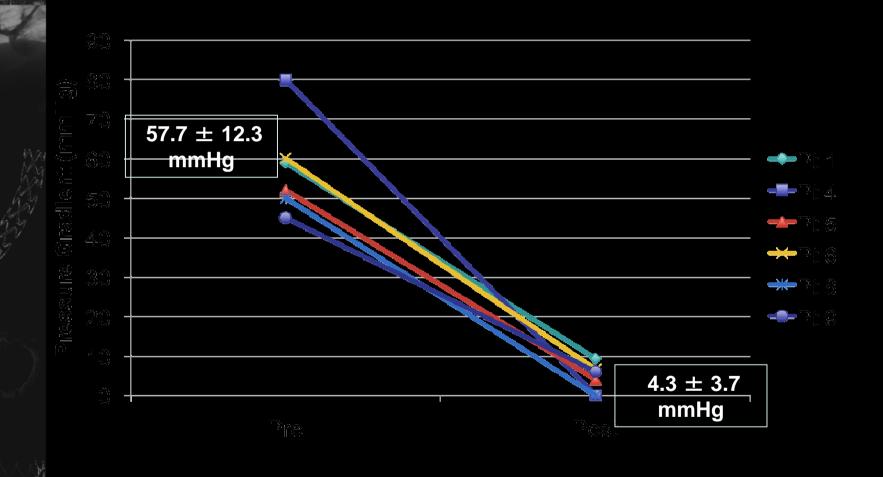


Simplified Attachment (Next generation)

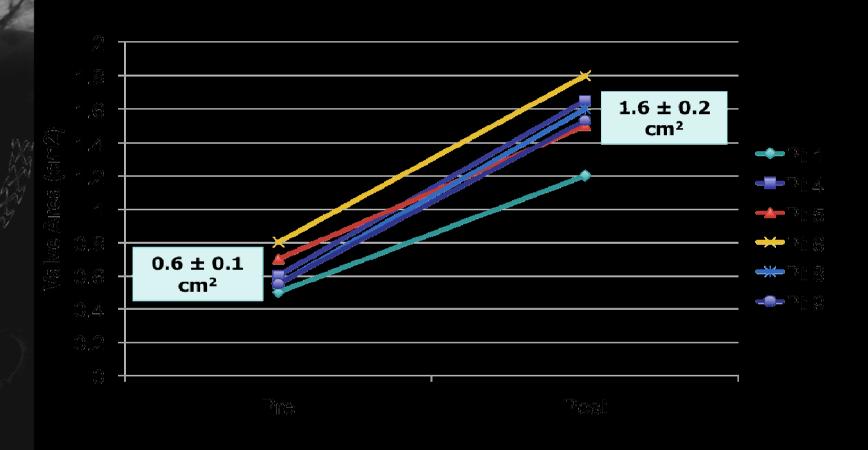




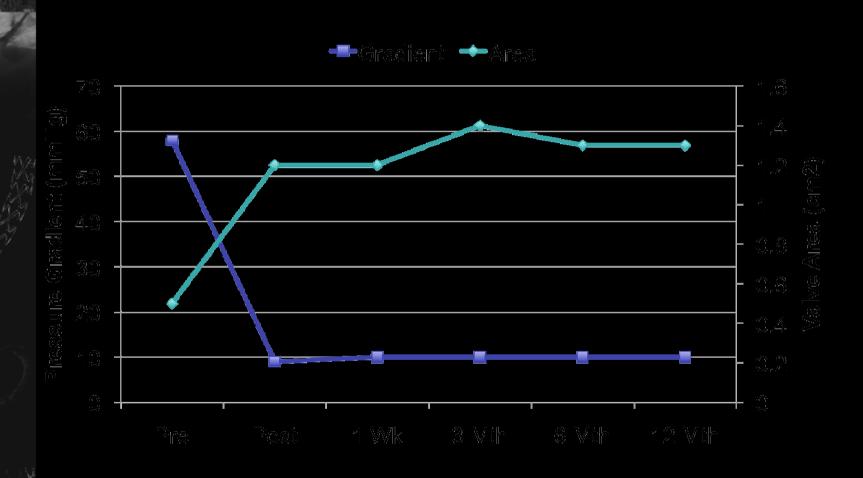
Pressure Gradient – Pre & Post Procedure



Valve Area – Before & After Procedure



Patient 1 Follow-Up





- Sadra Lotus Valve performs as intended.
- System facilitates accurate positioning and placement.
- Repositioning is a valuable performance feature.
- The valve can be retrieved if needed.
- Procedure is efficient range 12-25 minutes.
- Valve hemodynamics are good minimal to no perivalvular leak.

Clinical Experience

Number of Patients Enrolled Gender Age

EuroScore (n=6) STS Score (n=6)

Common Pre-existing Conditions

Pre-op Annulus Diameter (per CT) (n=6)

Pre-op Peak Gradient (n=8)

Pre-op AVA

8

75% Female 83.3±5.9 years

18.4%±7.0% (9.7 - 28.9%) 10.4%±6.1% (2.3 - 22.1%)

COPD, Hypertension, hyperlipidemia, CHF, mitral valve disease

 $19.5 \pm 1.8 \text{ mm } (17 - 23)$

 $60.2 \pm 11.9 \text{ mmHg} (50 - 80)$

 0.63 ± 0.12 cm² (0.5-0.8)

Clinical Data Summary - Patient Outcomes

Number of enrolled patients

8

Operative Mortality during Sadra device procedure

1 – Not Device Related

Postoperative Mortality

1 – Not Device Related

Longest surviving Implant

14 months

The Direct Flow Medical (DFM) Aortic Valve Prosthesis

Tri-leaflet Valve constructed of Bovine Pericardium

Ventricular and Aortic Rings

- -Inflate independently so device can be <u>repositioned</u>
- -deflatable so that device can be fully <u>retrieved</u>

<u>Multilumen</u>

Slightly Tapered, Conformable

Polyester Fabric Cuff

Position Fill Lumens (PFLs)

- -Used to position/reposition valve
- -Complete Inflation Media Exchange

Investigational device currently in European clinical trial

Not available for sale

Direct Flow Aortic Valve



Valve loaded in Delivery Catheter (22F)



Introducing Tip advanced



Delivery sheath pulled back; Valve inflated

18F System Features

3 sizes matching valvuloplasty balloons



22F Design

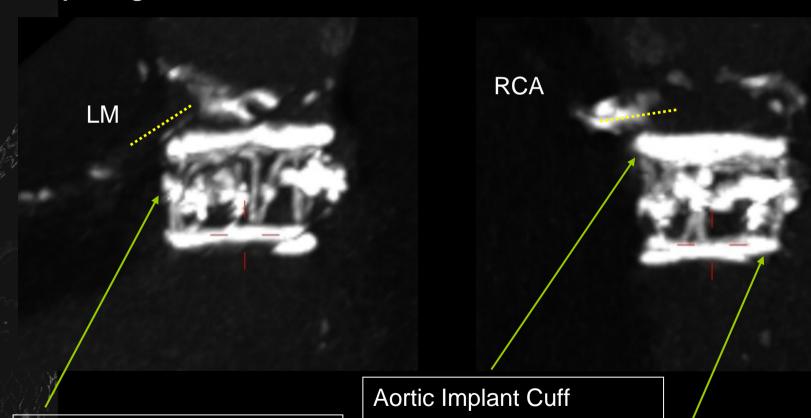
18F Design

Direct Flow Medical Aortic Valve

Siegburg

The valve is designed to seat in the intra-annular space capturing the native leaflets

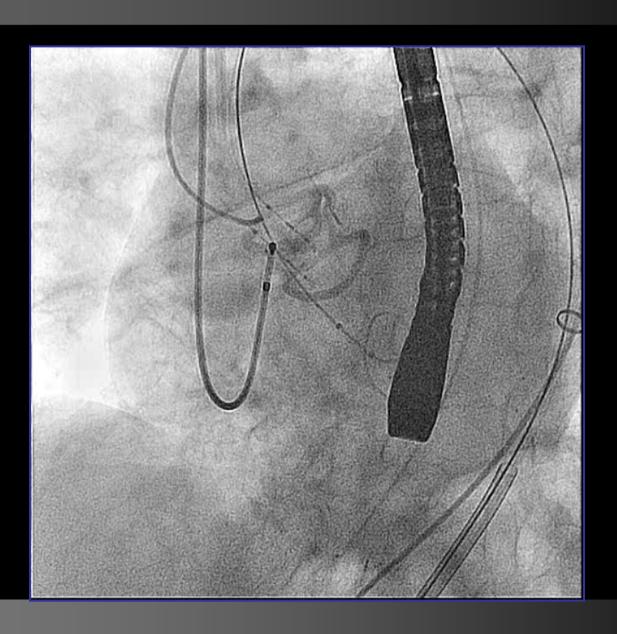
The LVOT cuff is designed to seal inferior to AV in the LVOT



Native Valve Leaflets

LVOT Implant Cuff

The DFM Aortic Valve Prosthesis



European Feasibility Trial

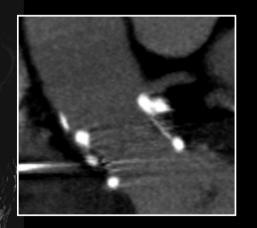
Design: Prospective, non-randomized clinical evaluation of the DFM PAV at two centers in Germany

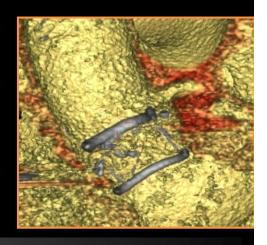
- Hamburg University Cardiovascular Center (n=25)
- Siegburg, Helios Heart Center (n=6)

Purpose: Determine clinical feasibility and safety of treating patients at high-risk for cardiac surgery:



- Age ≥ 70
- Severe aortic valve stenosis





Patients enrolled

n	31
Age, years	82 ± 4
Men	15 (48%)

NYHA functional class

•		1 (3%)
•	II .	8 (26%
•	III	21 (68%
•	IV	1 (3%
VFF %		53 + 15

- Logistic EuroSCORE, %
 28 ± 7
- Mean pressure gradient, mmHg 52 ± 13
- Aortic valve area, cm² 0.6 ± 0.16

Intention-to-treat population n = 31

- → iliac access (n=2)
- → Functionally bicuspid valve (n=2)
- → Excessive LVOT calcification (n=3)
- \longrightarrow Annular $\emptyset \uparrow \uparrow$, excessive calcification (n=1)
- Excessive valvular calcification (n=1)

Device implanted n = 22 (71%)

→ Surgical conversion (n=2) (1 sizing, 1 placement)

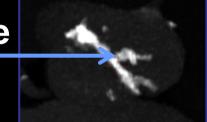
Permanent implant n = 20 (65%)

Pericardial Effusion Day 2 (n=1) CoD - MI

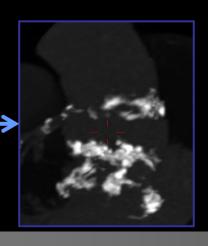
CHF following procedure (n=1) CoD 2+AI low EF

Patient's discharged n = 18 (58%)

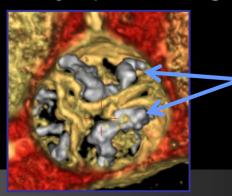
- Procedural failures secondary to native valve limitations (n=7)
 - Functionally bicuspid native valve (n=2)



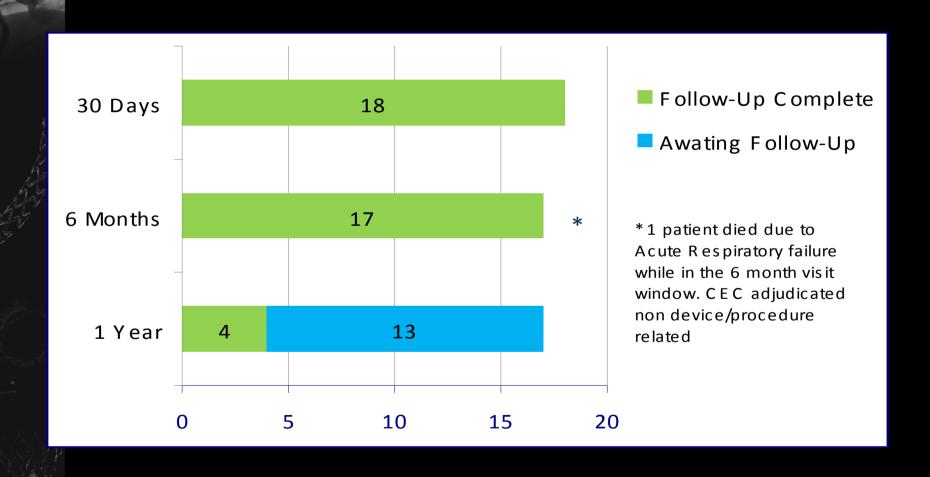
- LVOT calcification (n=3)
- Cannot be adequately ballooned pre-implantation
- Positioning difficulties



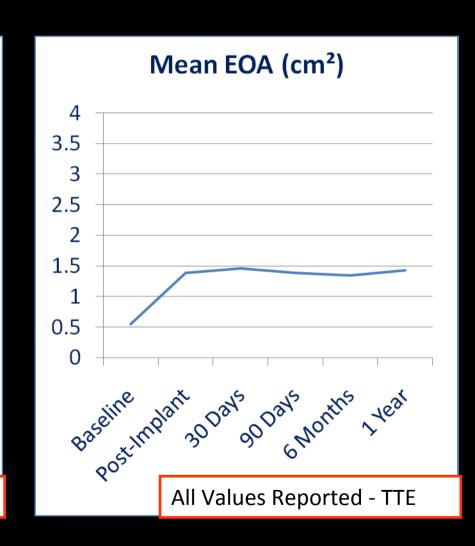
- Severe valvular calcification (n=2)
 - Does not fully open during valvuloplasty



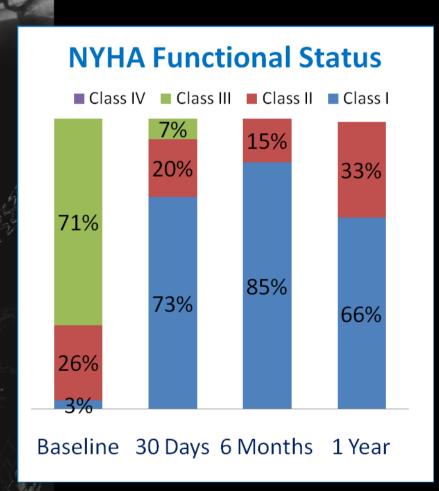
The DFM AV Prosthesis European Clinical Trial Discharged Patient Follow-up Status

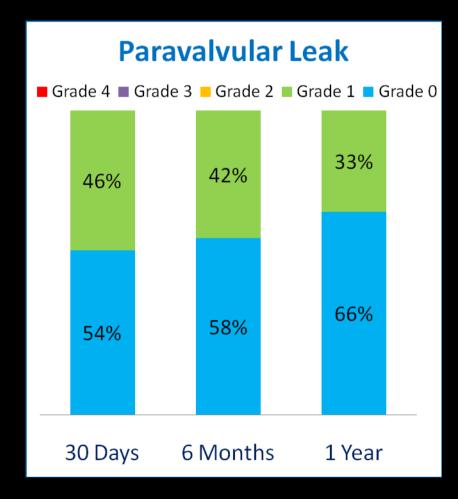


Mean Gradient (mmHg) All Values Reported - TTE



NYHA and PV Leak





Adverse Event Summary

30 day Mortality

n = 4 (12.9%)

- Procedure Related (3)
 - Pulmonary Embolism
 - Pericardial Effusion, COD MI
 - Ventricular rupture BAV related
- Device Related (1)
 - Insufficient BAV pre implant, 2+AI, Low EF

Stroke

n = 1 (3.2%)

Pacemaker

n = 1 (3.2%)

Surgical conversion

n = 2 (6.5%)

• Device Sizing (1) and Placement (1)

Conclusions

Analysis complete of 31 Patients in the EU Feasibility Study

- Device Performance Findings:
 - Repositionable and removable
 - Immediately competent
 - Minimizes paravalvular leakage and aortic insufficiency
 - The amount and distribution of leaflet and LVOT calcification impacts procedural outcome
- Features of the next generation device (18F):
 - Improved positioning
 - Better sizing
 - Enhanced delivery and deployment



Percutaneous Aortic Valve Prosthesis

2005: Pioneer work - adventitious



2009: Routine for experien hands_and selected sites - feasible, safe

2014: Routine for all interventional sites – feasible, safe, gold-standard?





Transcatheter AVR

