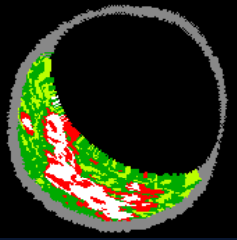


Natural History Study of Vulnerable Plaque: Nine Lessons from PROSPECT (and other studies)

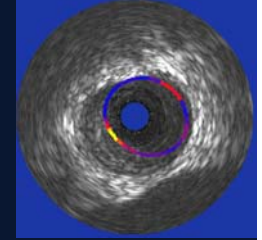
Gary S. Mintz, MD

Cardiovascular Research Foundation

New York City, NY



The PROSPECT Trial



700 pts with ACS UA (with ECG Δ s) or NSTEMI or STEMI >24° undergoing 1 or 2-vessel PCI followed by 3-vessel imaging QCA of entire coronary tree

IVUS

Virtual Histology

Proximal 6-8 cm of each coronary artery

*Medications
Aspirin
Plavix 1yr
Statin*

F/U: Until there were 100 VP events

Repeat imaging in patients with events

PROSPECT: Pre-specified Primary Endpoint

100 MACE events attributable to rapid angiographic progression of a non-culprit lesion*

- **Cardiac death**
- **Cardiac arrest**
- **Myocardial infarction**
- **Unstable angina**
 - **Requiring revascularization**
 - **Requiring rehospitalization**
- **Increasing angina**
 - **Requiring revascularization**
 - **Requiring rehospitalization**

Most severe

Hierarchical

Least severe

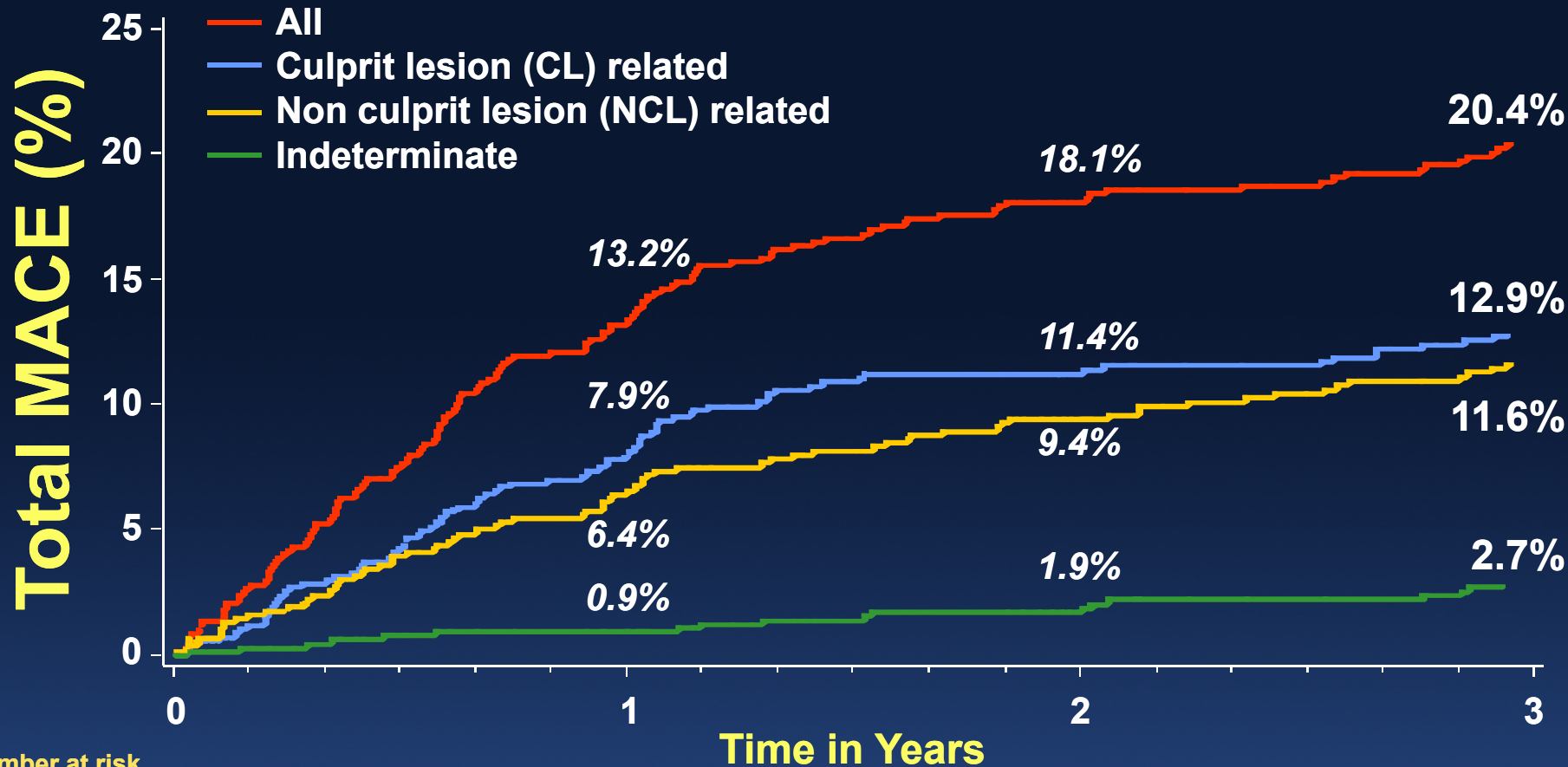
MACE during FU were adjudicated by the CEC as attributable to culprit lesions (treated during or before index hospitalization) or non culprit lesions (untreated areas of the coronary tree) based on angiography (+ECGs, etc.) at the time of the event; events occurring in pts without angiographic follow-up were considered indeterminate in origin. Rapid lesion progression = \uparrow in QCA DS by $>20\%$ from baseline to FU.

PROSPECT: 3-year follow-up hierarchical MACE assuming indeterminant events are non-culprit lesion related

| | All | Culprit lesion related | Non culprit lesion related* |
|--|------------------|------------------------|-----------------------------|
| Cardiac death | 1.9% (12) | 0.2% (1) | 1.8% (11) |
| Cardiac arrest | 0.3% (2) | 0.3% (2) | 0% (0) |
| MI (STEMI or NSTEMI) | 2.7% (17) | 1.7% (11) | 1.2% (7) |
| Rehospitalization for unstable or progressive angina | 15.4% (101) | 10.4% (69) | 10.5% (67) |
| Composite MACE | 20.4% (132) | 12.9% (83) | 13.3% (85) |
| Cardiac death, arrest or MI | 4.9% (31) | 2.2% (14) | 2.9% (18) |

Lesson #1

With modern medical therapy the rate of non-culprit lesion events was less than predicted – and most of those events are angina and revascularization, not hard events of death and MI. The hard events of death and MI occurred in only 1% of high risk patients per year.



Number at risk

| | | | | |
|---------------|-----|-----|-----|-----|
| ALL | 697 | 557 | 506 | 480 |
| CL related | 697 | 590 | 543 | 518 |
| NCL related | 697 | 595 | 553 | 521 |
| Indeterminate | 697 | 634 | 604 | 583 |

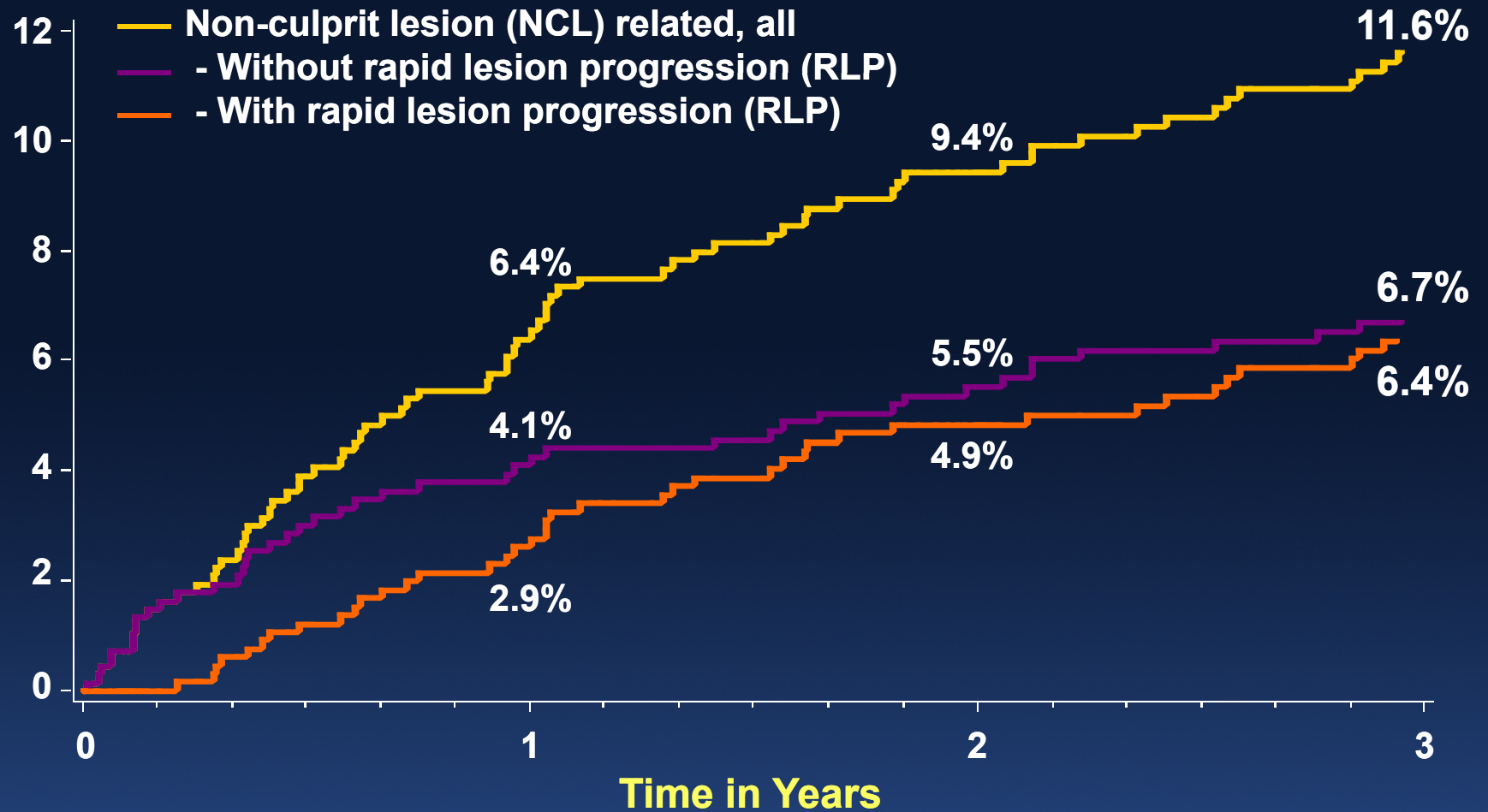
Non-culprit lesions responsible for MACE (n=107 in 76 patients)

- 35 of the 107 non-culprit MACE lesions (33.0%) had $\geq 50\%$ DS by baseline angiography.
- All sites responsible for non-culprit MACE had plaque burden $\geq 40\%$ by baseline IVUS imaging.
- No imaged coronary segment with $< 40\%$ plaque burden resulted in a non-culprit event during the median 3.4 year follow-up period.

Lesson #2

Non-culprit lesion events occur only at sites of at least 40% plaque burden by IVUS - disease that is angiographically silent in 2/3 of lesions, presumably because of positive remodeling.

Non-culprit lesion MACE (%)



Number at risk

| | 0 | 1 | 2 | 3 |
|------------------|-----|-----|-----|-----|
| NCL related, all | 697 | 595 | 553 | 521 |
| - without RLP | 697 | 610 | 577 | 551 |
| - with RLP | 697 | 620 | 579 | 550 |

| | Rapid Lesion Progression | No Rapid Lesion Progression | P-value |
|-------------------------|---------------------------------|------------------------------------|----------------|
| Time to events (median) | 401 | 223 | 0.07 |
| Baseline QCA | | | |
| MLD (mm) | 1.83 ± 0.64 | 1.32 ± 0.67 | 0.0001 |
| DS | 27 ± 16% | 49 ± 19% | <0.0001 |
| Follow-up QCA | | | |
| MLD (mm) | 0.73 ± 0.43 | 1.03 ± 0.50 | 0.0023 |
| DS | 72 ± 14% | 59 ± 16% | 0.0001 |
| DS progression | 44 ± 18% | 5 ± 8% | <0.0001 |

Lesson #3

Two-thirds of non-culprit lesion events in the first year (those without rapid lesion progression) are attributable to disease that was present (an more significant) at the time of the original PCI.

Importantly and although uncommon, death, cardiac arrest, or MI occurred only in the setting of rapid lesion progression.

PROSPECT: Multivariable Correlates of Non Culprit Lesion Related Events

Independent predictors of patient level events by Cox Proportional Hazards regression

| Variable | HR [95% CI) | p |
|----------------------|--------------------|----------|
| Insulin dependent DM | 3.32 [1.43, 7.72] | 0.005 |
| Prior PCI | 2.03 [1.15, 3.59] | 0.02 |

Variables entered into the model: age, gender, hypertension, insulin dependent diabetes, prior PCI, CRP at baseline, family history

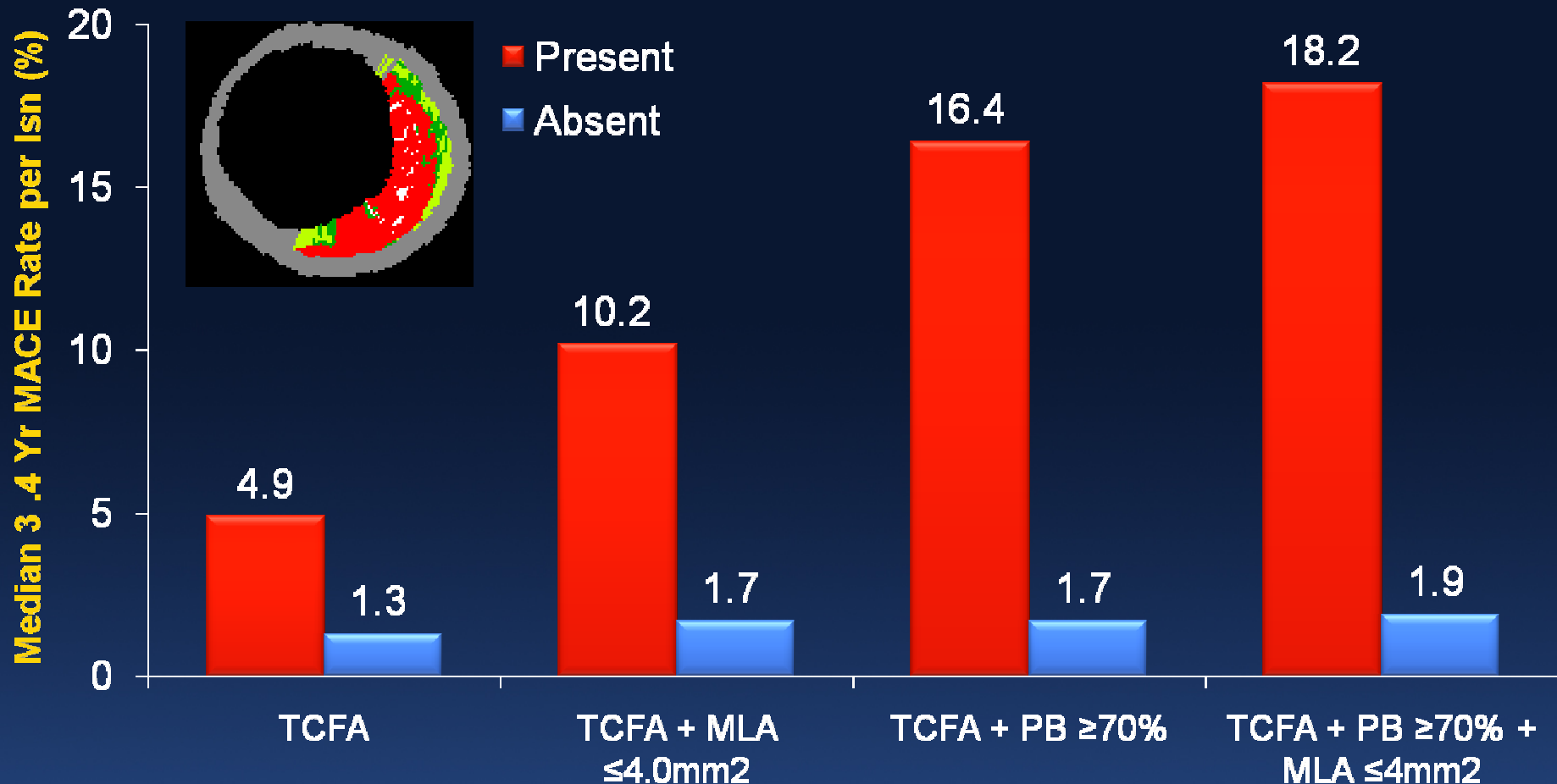
PROSPECT: Multivariable Correlates of Non Culprit Lesion Related Events

Independent predictors of lesion level events by Cox Proportional Hazards regression

| Variable | HR [95% CI] | p |
|--------------------------------|--------------------|----------|
| PB_{MLA} ≥70% | 5.03 [2.51, 10.11] | <0.0001 |
| VH-TCFA | 3.35 [1.77, 6.36] | 0.0002 |
| MLA ≤4.0 mm² | 3.21 [1.61, 6.42] | 0.001 |

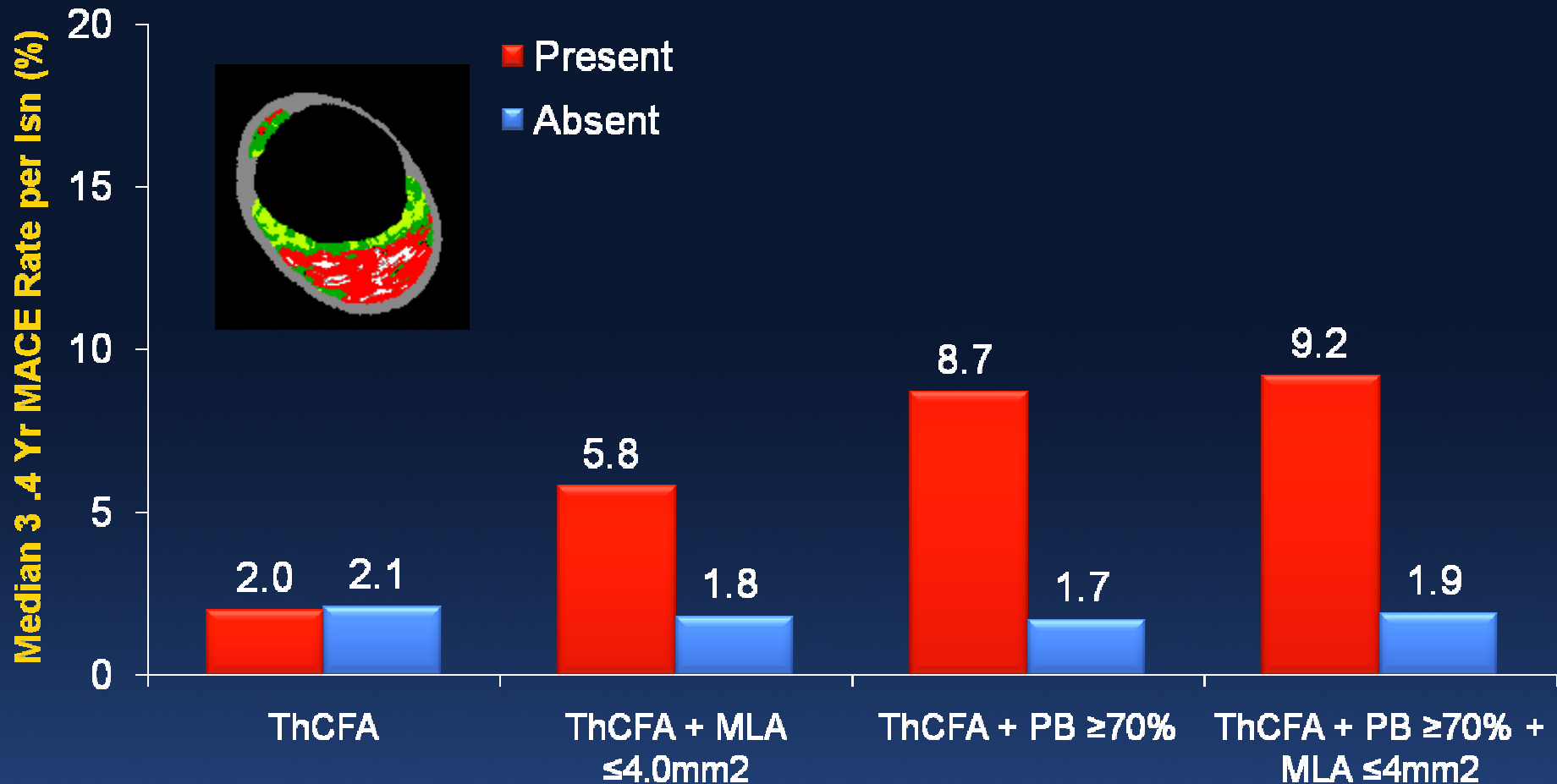
Variables entered into the model: minimal luminal area (MLA) ≤4.0 mm²; plaque burden at the MLA (PB_{MLA}) ≥70%; external elastic membrane at the MLA (EEM_{MLA}) <median (14.1 mm²); lesion length ≥median (11.2 mm); distance from ostium to MLA ≥median (30.4 mm); remodeling index ≥median (0.94); VH-TCFA.

VH-TCFA and Non Culprit Lesion Events



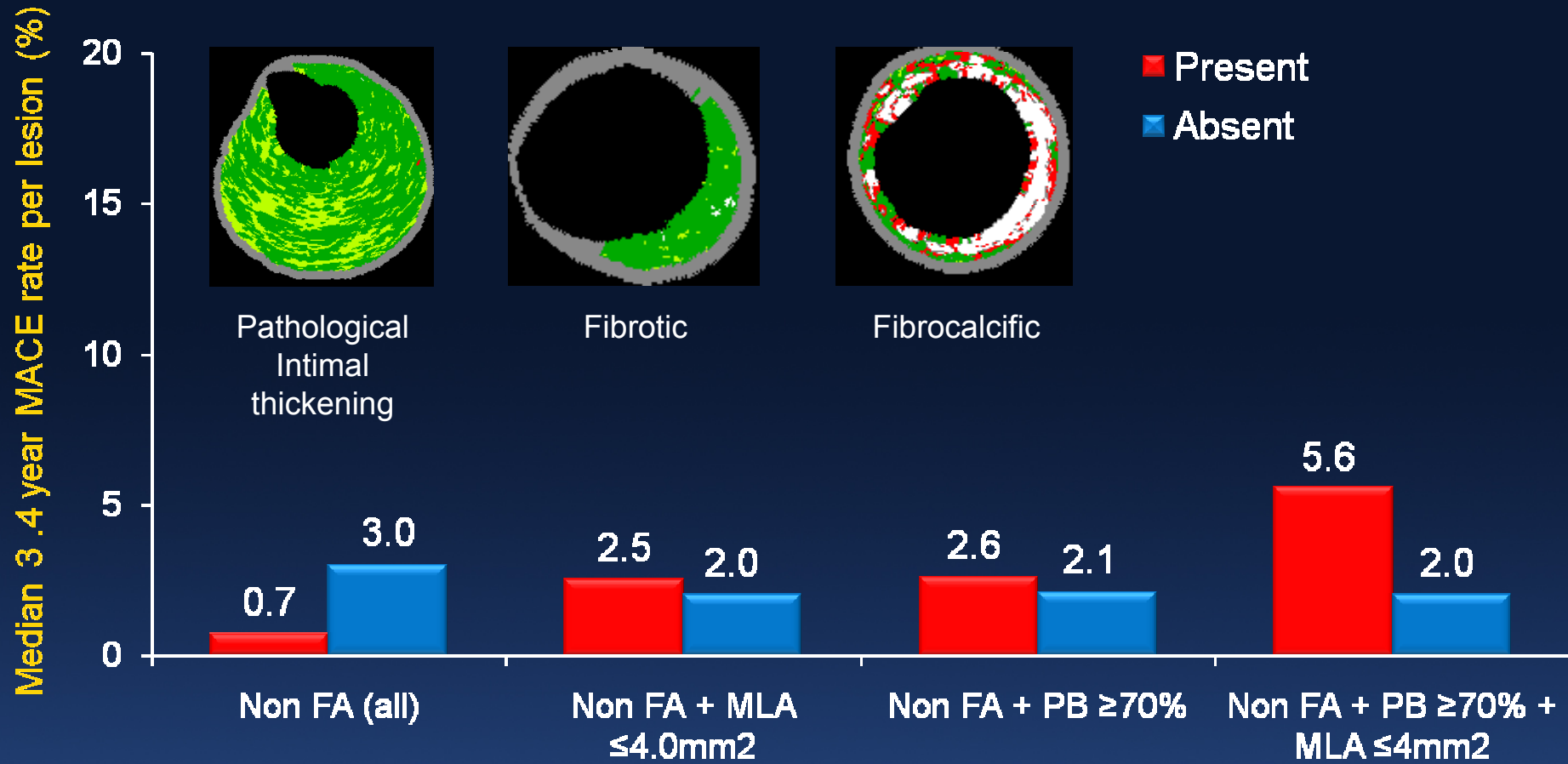
| | | | | |
|------------|-------------------|--------------------|---------------------|---------------------|
| Lesion HR | 3.90 [2.25, 6.76] | 6.55 [3.43, 12.51] | 10.83 [5.55, 21.10] | 11.05 [4.39, 27.82] |
| P-value | <0.0001 | <0.0001 | <0.0001 | <0.0001 |
| Prevalence | 4.67% | 15.9% | 10.1% | 4.2% |

Thick-cap FA and Non Culprit Lesion Events



| | | | | |
|------------|-------------------|-------------------|--------------------|--------------------|
| Lesion HR | 0.92 [0.52, 1.63] | 3.41 [1.75, 6.65] | 5.17 [2.59, 10.32] | 5.02 [1.99, 12.63] |
| P-value | 0.77 | 0.0003 | <0.0001 | <0.0001 |
| Prevalence | 67.6% | 22.7% | 15.6% | 8.3% |

Non Fibroatheromas and Non Culprit Lesion Events



| | | | | |
|------------|-------------------|-------------------|-------------------|--------------------|
| Lesion HR | 0.22 [0.10, 0.49] | 1.49 [0.44, 3.39] | 1.25 [0.17, 9.01] | 2.60 [0.36, 18.84] |
| P-value | 0.0002 | 0.70 | 0.83 | 0.34 |
| Prevalence | 67.9% | 19.7% | 5.6% | 2.7% |

Lesson #4

VH-IVUS tissue characterization and the vulnerable plaque hypothesis are real. This validates years if not more than a decade of work and lays to rest many of the questions about how the algorithm was built and validated and its ability to detect vulnerable (or stable) plaques.

But. . . .

Complications attributed to the 3-vessel IVUS imaging procedure (n=697, non-hierarchical)

| | |
|----------------------------------|------------------|
| Death | 0 (0%) |
| MI | 3 (0.4%) |
| - Q-wave (from dissection) | 1 |
| - non Q-wave (from dissection) | 2 |
| PCI or CABG | 10 (1.4%) |
| - CABG (from perforation) | 1 |
| - CABG (from dissection) | 2 |
| - PCI (from dissection) | 9 |
| Any imaging complication* | 11 (1.6%) |

*Some pts had more than one complication

Lesson #5

There is a small, but finite risk associated with instrumenting all 3 coronary arteries – even when done by experts. This must be balanced against the value of vulnerable plaque detection.

PROSPECT: Completeness of 3-vessel IVUS and VH-IVUS imaging

| Event type | Total # of events | Baseline QCA at event site | Baseline IVUS at event site | Baseline VH at event site |
|-----------------------------------|-------------------|----------------------------|-----------------------------|---------------------------|
| All MACE | 245 | 227 | 140 | 132 |
| Culprit lesion related | 120 | 120 | 84 | 76 |
| Non culprit lesion related | 107 | 107 | 56 | 56 |
| - With RLP | 51 | 51 | 31 | 31 |
| - Without RLP | 56 | 56 | 25 | 25 |
| Indeterminate | 18 | 0 | 0 | 0 |

Location of MACE Events

| | All (n=228) | Culprit lesion related (n=121) | Non culprit lesion related (n=107) |
|-----------------|----------------|-----------------------------------|---------------------------------------|
| LM | 4 (1.8%) | 1 (0.8%) | 3 (2.8%) |
| LAD | 82 (36.0%) | 48 (39.7%) | 34 (31.8%) |
| LCX | 63 (27.6%) | 30 (24.8%) | 33 (30.8%) |
| RCA | 79 (34.6%) | 42 (34.7%) | 37 (34.6%) |
| Proximal vessel | 69 (30.3%) | 43 (35.5%) | 26 (24.3%) |
| Mid vessel | 51 (22.4%) | 30 (24.8%) | 21 (19.6%) |
| Distal vessel | 35 (15.4%) | 18 (14.9%) | 17 (15.9%) |
| Branch* | 73 (32.0%) | 30 (24.8%) | 43 (40.2%) |

Excludes indeterminate lesions. Includes, diagonal, ramus, obtuse marginal, R/L PDA, R/L PLAS.

Lesson #6

Even pre-specified 3-vessel invasive imaging is incomplete and detected only 50% of vulnerable plaques, in part because many vulnerable plaques are more distal than previously believed.

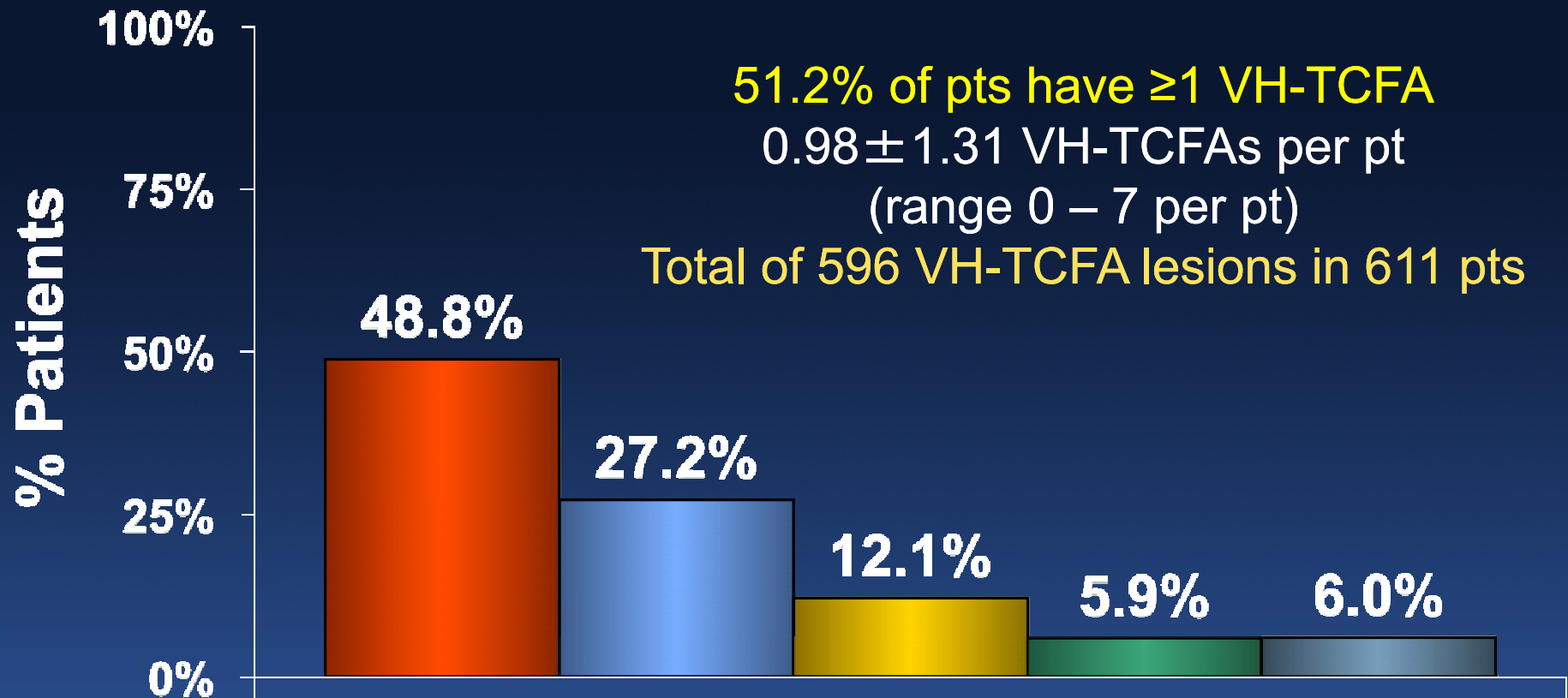
The Limits of Opening Arteries

NYTimes March 28, 2004

(Patients) may have hundreds of vulnerable plaques that are more apt to burst and trigger a heart attack

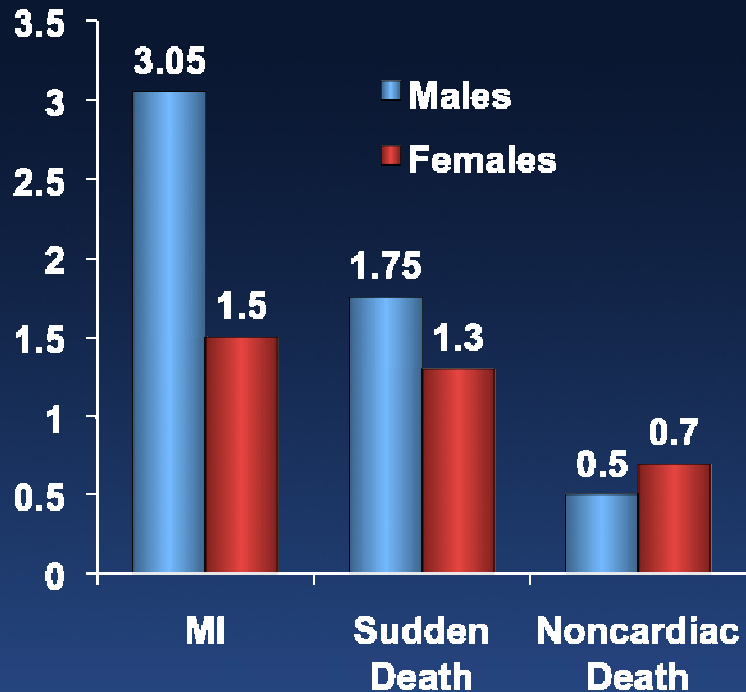
Per patient incidence of VH-TCFAs

N lesions/pt per coronary tree: ■ 0 ■ 1 ■ 2 ■ 3 ■ ≥4



Number of thin-cap fibroatheromas in patients dying with MI, sudden death, or noncardiac causes and studied at necropsy

Cross-sectional analysis



Longitudinal analysis

| | All pts | Pts with ≥ 1 ruptured plaque | Pts with ≥ 1 TCFA or ruptured plaque | Pts with CV death |
|-----------------------|-----------------|-----------------------------------|---|-------------------|
| # of patients | 50 | 14 | 20 | 33 |
| # of ruptured plaques | 19 (0.38/pt) | | 19 (0.95/pt) | 15 (0.45/pt) |
| # fibroatheromas | 193 | | | |
| # TCFAs | 23 (0.46/pt) | 15 (1.21/pt) | 23 (1.15/pt) | 18 (0.55/pt) |

Lesson #7

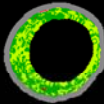
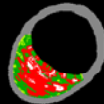
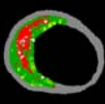
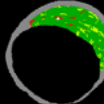
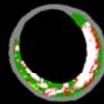
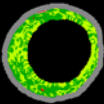
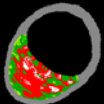
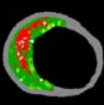
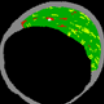
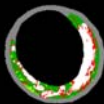
Vulnerable plaques are limited in number and are focal manifestations of a systemic disease.

Change in non-culprit lesion phenotype in 106 patients (201 lesions) with plaque burden >40% from the Global VH Registry with baseline and 8-month follow-up VH analysis

- 75% of TCFAs healed and 25% remained unchanged although the location of the necrotic core in contact with the lumen shifted axially.
- Compared to TCFAs that healed, TCFAs that did not change were more proximal in location and had larger lumen area, vessel area, plaque area, calcium area, and necrotic core area.
- 12 new TCFAs were noted: 6 were PIT and 6 were ThFA at baseline.
- No fibrotic or fibrocalcific plaque evolved into a TCFA.

Baseline

Follow-up

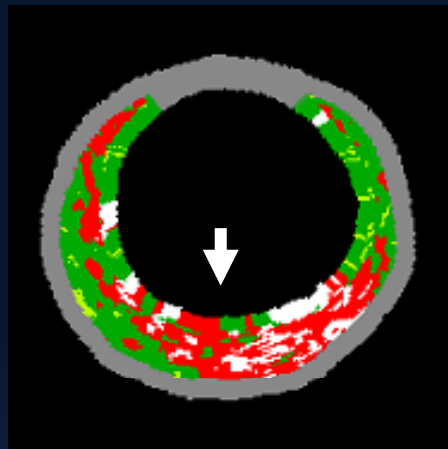
| | | PIT (n=48) | TCFA (n=17) | ThCFA (n=109) | Fibrotic (n=23) | Fibrocalcific (n=20) |
|----------------------|---|--|---|---|---|---|
| | |  |  |  |  |  |
| PIT (n=62) |  | 44 | 6 | 12 | 0 | 0 |
| TCFA (n=20) |  | 0 | 5 | 14 | 2 | 0 |
| ThCFA (n=93) |  | 0 | 6 | 83 | 3 | 1 |
| Fibrotic (n=22) |  | 4 | 0 | 0 | 18 | 0 |
| Fibrocalcific (n=19) |  | 0 | 0 | 0 | 0 | 19 |

Baseline

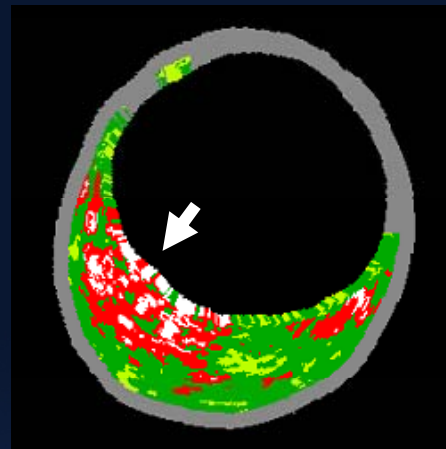
TCFA



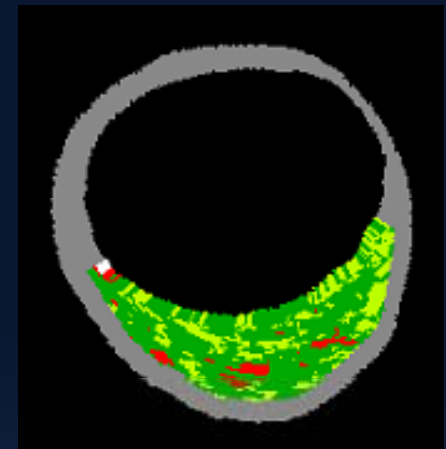
TCFA



TCFA

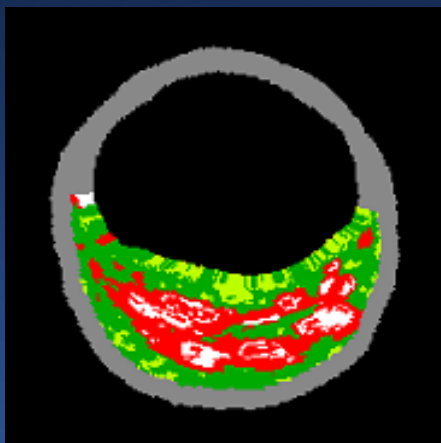


PIT

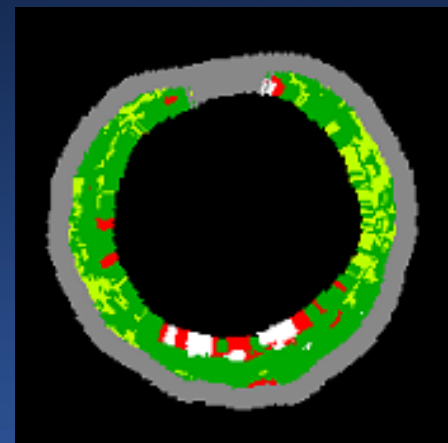


Follow-up

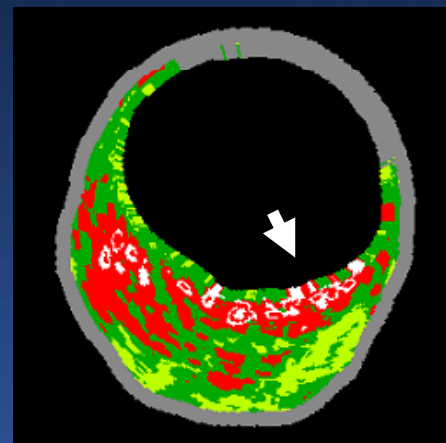
ThCFA



Fibrotic



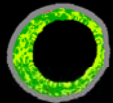
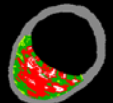
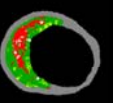
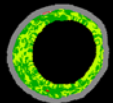
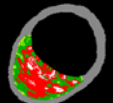
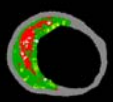
TCFA



TCFA



Change in non-culprit lesion phenotype in 100 pts (100 lesions: plaque burden >40%) from HORIZONS: Baseline and 13-month follow-up VH-IVUS

| | | <i>Follow-up</i> | | | |
|-----------------|--------------|---|---|---|----|
| | | PIT (n=11) | TCFA (n=54) | ThCFA (n=32) | |
| <i>Baseline</i> | |  |  |  | |
| | PIT (n=16) |  | 6 | 3 | 7 |
| | TCFA (n=43) |  | 2 | 33 | 8 |
| | ThCFA (n=40) |  | 1 | 19 | 20 |
| | | | | | |

And some vulnerable plaques rupture asymptotically or heal and contribute disease progression

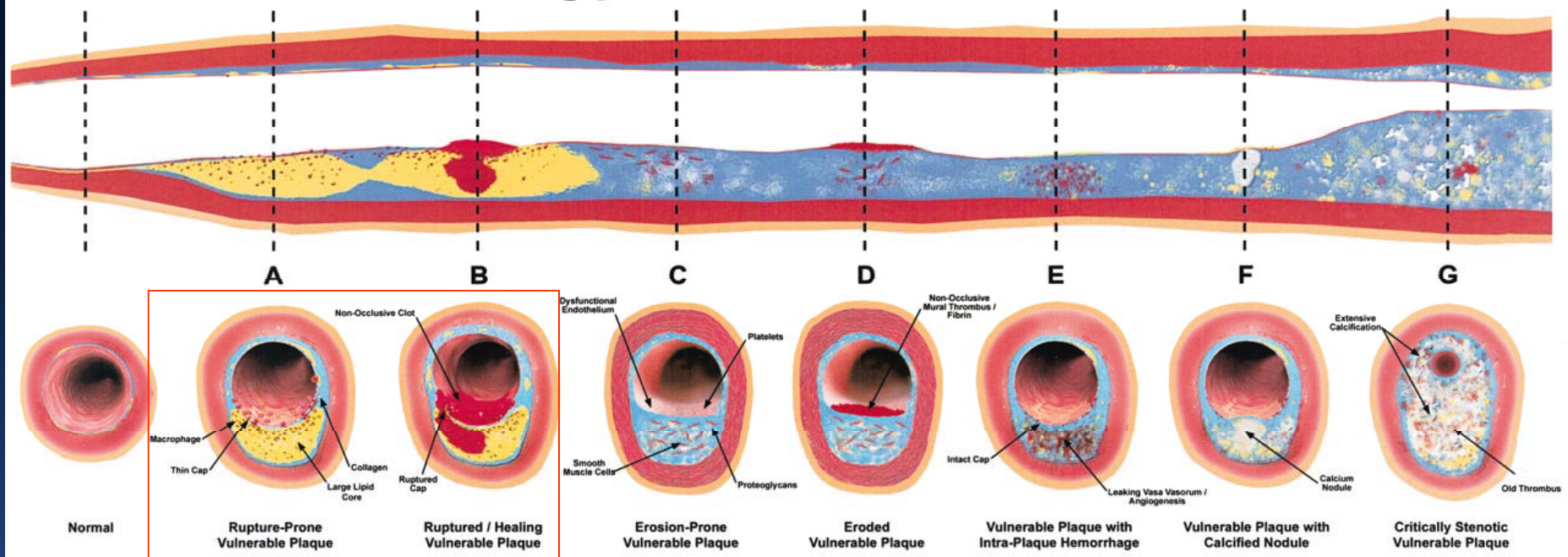
- *Maehara et al. J Am Coll Cardiol 2002;40:904-10*
- *Rioufol et al. Circulation. 2002;106:804-8*
- *Hong et al. Circulation 2004;110:928-33*
- *Fuji et al. Circulation 2003;108:2473-8*
- *Burke et al. Circulation 2001;103:934-40*
- *Rioufol et al. Circulation 2004;110:2875-80*
- *Hong et al. Atherosclerosis. 2007;19:107-14*

Lesson #8

Lesion phenotype is “unstable.” In particular, TCFAs can heal or rupture asymptotically, and new TCFAs can develop in as short a period as 8 months.

“Vulnerable Plaque” = thrombosis-prone plaque and plaque with a high probability of undergoing rapid progression

Different Types of Vulnerable Plaque

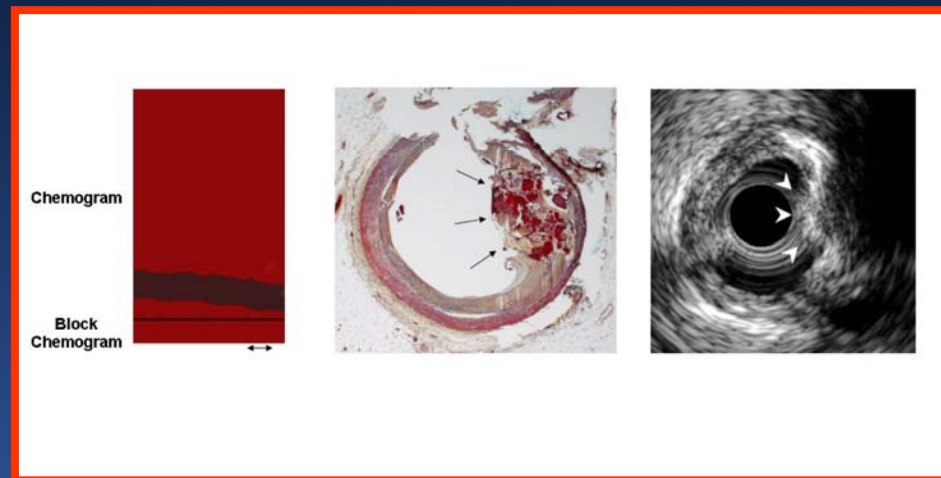
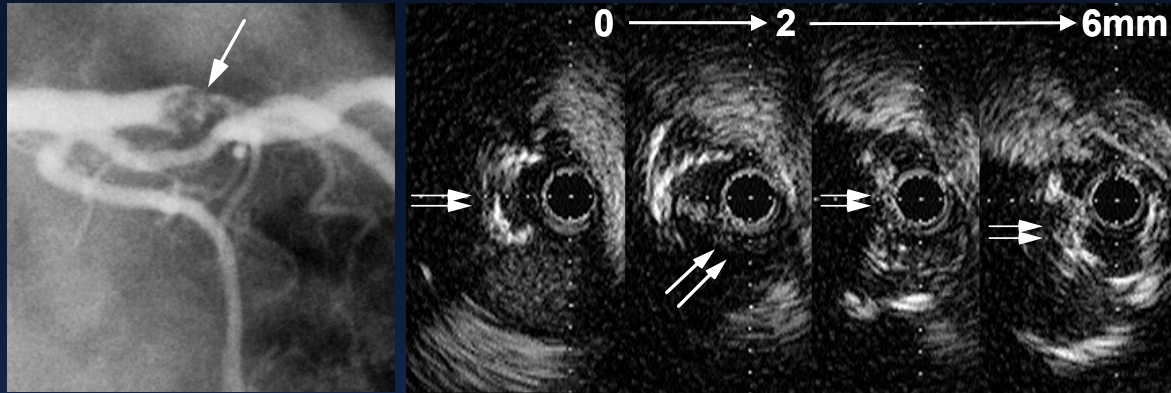


70% of ACS culprit lesions

30% of ACS culprit lesions

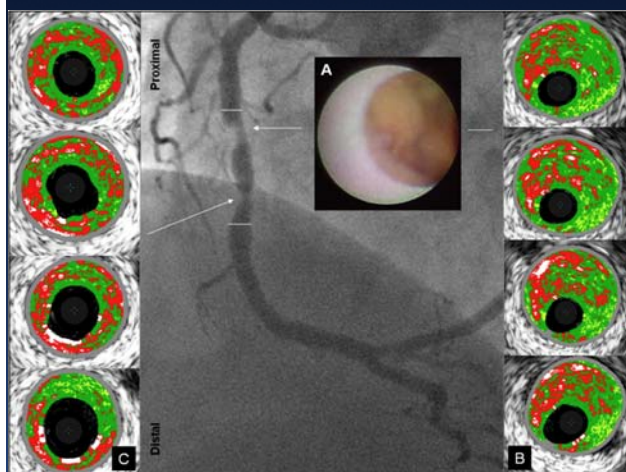
Naghavi et al. *Circulation* 2003;108:1664-72

Not all ACS events are caused by TCFA rupture. Some are erosions, some thrombose without rupture, and some are calcific nodules

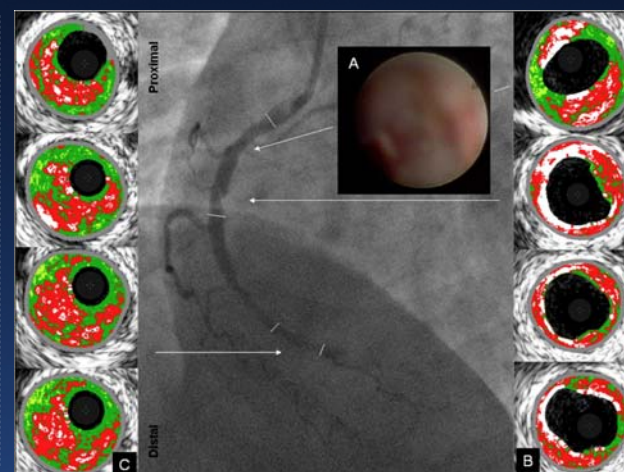


Duissillant et al. *Am Heart J* 1996;132:687-9
Lee et al. *Circulation*, in press.

VH-IVUS and angioscopic assessment of culprit lesion phenotype morphology underlying coronary thrombosis



| | Thrombus - rupture (n=23) | Thrombus + rupture (n=19) |
|---------|---------------------------------|---------------------------------|
| VH-TCFA | 17 | 11 |
| ThCFA | 5 | 7 |
| PIT | 1 | 1 |



Lesson #9

Not all vulnerable plaques are TCFAs.

Lessons learned

- 1) Modern medical therapy works. The annual rate of hard events (death/MI) is only 1% per year in high risk pts with established CAD. 700 patients followed for 3 years is insufficient and underpowered for a secondary detection/prevention natural history study.
- 2) Two-thirds of non-culprit lesion events in the first year are attributable to disease that was present at the time of the original PCI.
- 3) Non-culprit lesion events occur only at sites of at least 40% IVUS plaque burden - disease that may be angiographically silent (because of positive remodeling).
- 4) VH-IVUS and the vulnerable plaque hypothesis are real
- 5) There is a small, but finite risk associated with instrumenting all 3 coronary arteries.
- 6) Pre-specified 3-vessel invasive imaging is incomplete and detects only half of vulnerable plaques
- 7) Vulnerable plaques are limited in number and are *focal* manifestations of a systemic disease.
- 8) Vulnerable plaques lack temporal stability. They heal, rupture asymptotically, or develop in as short a period of time as 8 months.
- 9) Not all vulnerable plaques are TCFAs.