SAPIEN 3 Clinical Update

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13 mins





Disclosure Statement of Financial Interest TCTAP 2016; Seoul, Korea; April 26-29, 2016

Martin B. Leon, MD

Within the past 12 months, I or my spouse/partner have had a financial interest/arrangement or affiliation with the organization(s) listed below.

| Affiliation / Financial Relationship | Company |
|--------------------------------------|-----------------------------------------------------------------------------------|
| Grant / Research Support | Abbott, Boston Scientific, Edwards Lifescience, Medtronic, St. Jude Medical |
| Consulting Fees / Honoraria | Abbott, Boston Scientific, Medtronic, St. Jude Medical |
| Shareholder / Equity | Claret, Coherex, Elixir, GDS, Medinol, Mitralign, Valve Medical |





SAPIEN 3 Clinical Update

System Summary



Evolution of Balloon-Expandable Transcatheter Valves

















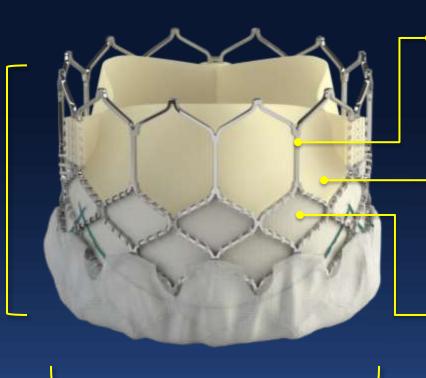


^{*} Sheath compatibility for a 23 mm valve

SAPIEN 3 THV

Low frame height

Respects the cardiac anatomy



Outer skirt

 PET outer skirt designed to reduce paravalvular leak

Frame design

- Enhanced frame geometry for low delivery profile
- High radial strength for circularity

Bovine pericardial tissue

- Scalloped leaflet shape
- CE ThermaFix* process is intended to minimize the risk of calcification

Inner Skirt

Polyethylene terephthalate (PET)



SAPIEN 3 Sizing Guidelines







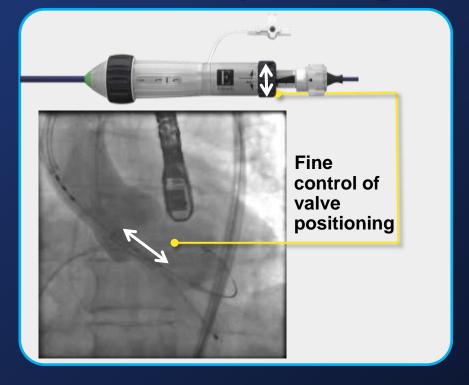
SAPIEN 3 Commander Delivery System Distinguishing Features



Improved coaxial alignment



Accurate positioning

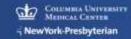


| SAPIEN 3 Valve Size | 20 mm | 23 mm | 26 mm | 29 mm |
|--------------------------------|--------|--------|--------|--------|
| Expandable Sheath | 14F | 14F | 14F | 16F |
| Minimum Access Vessel Diameter | 5.5 mm | 5.5 mm | 5.5 mm | 6.0 mm |

SAPIEN 3 Clinical Update

Clinical Studies







European Heart Journal doi:10.1093/eurhearti/ehw112

FASTTRACK CLINICAL RESEARCH

TAVI

Early clinical and echocardiographic outcomes after SAPIEN 3 transcatheter aortic valve replacement in inoperable, high-risk and intermediate-risk patients with aortic stenosis

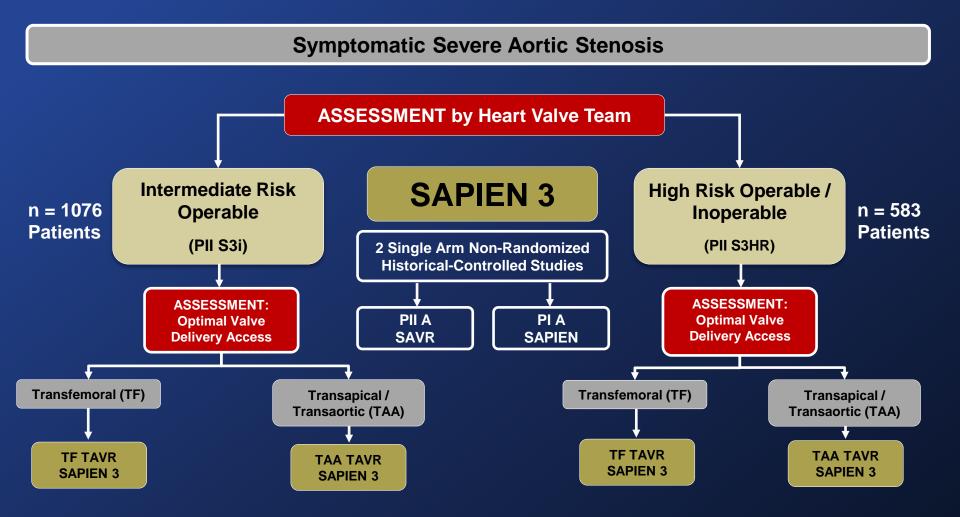
Susheel Kodali 1*, Vinod H. Thourani 2, Jonathon White 1, S. Chris Malaisrie 3, Scott Lim 4, Kevin L. Greason 5, Mathew Williams 6, Mayra Guerrero 7, Andrew C. Eisenhauer 8, 9, Samir Kapadia 10, Dean J. Kereiakes 11, Howard C. Herrmann 12, Vasilis Babaliaros 2, Wilson Y. Szeto 12, Rebecca T. Hahn 1, Philippe Pibarot 13, Neil J. Weissman 14, Jonathon Leipsic 15, Philipp Blanke 15, Brian K. Whisenant 16, Rakesh M. Suri 10, Raj R. Makkar 17, Girma M. Ayele 18, Lars G. Svensson 10, John G. Webb 15, Michael J. Mack 19, Craig R. Smith 1, and Martin B. Leon 1

Susheel Kodali, MD on behalf of The PARTNER Trial Investigators



The PARTNER II S3 Trial Study Design





Baseline Patient CharacteristicsS3HR Patients (n=583 at 29 sites)



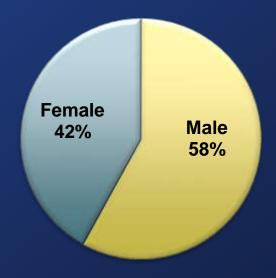
Average STS =

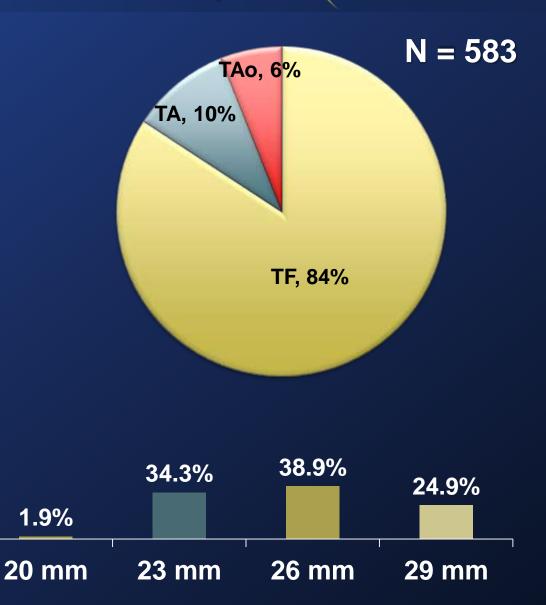
8.6%

(Median 8.4%)

Average Age =

82.6yrs

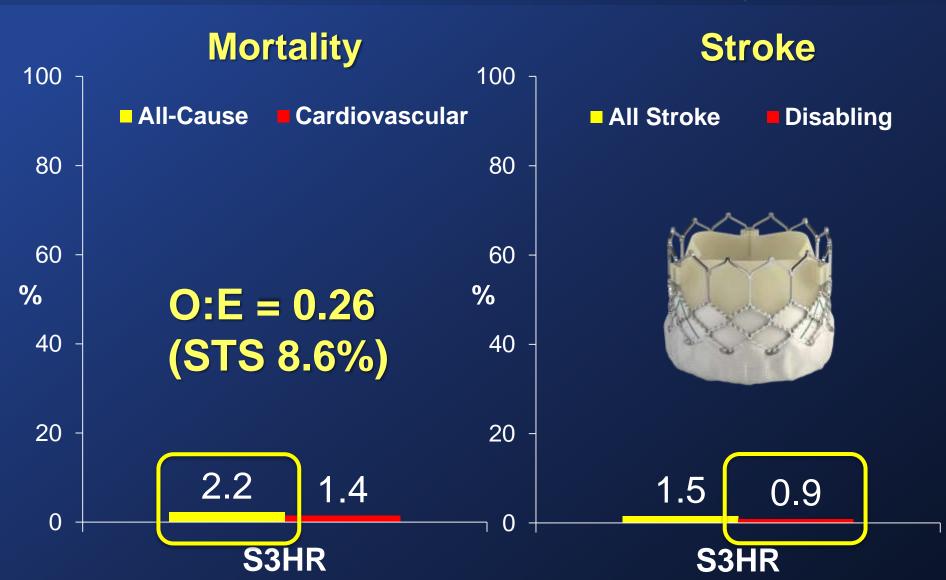




Mortality and Stroke: S3HR

At 30 Days (As Treated Patients)





Baseline Patient Characteristics S3i Patients (n=1076 at 51 sites)



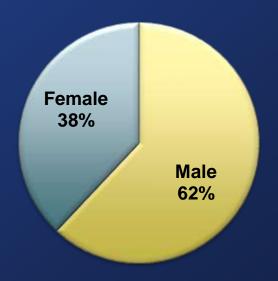
Average STS =

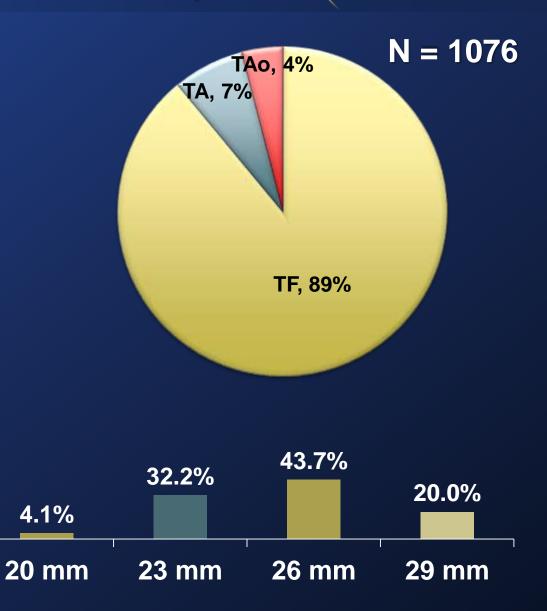
5.3%

(Median 5.2%)

Average Age =

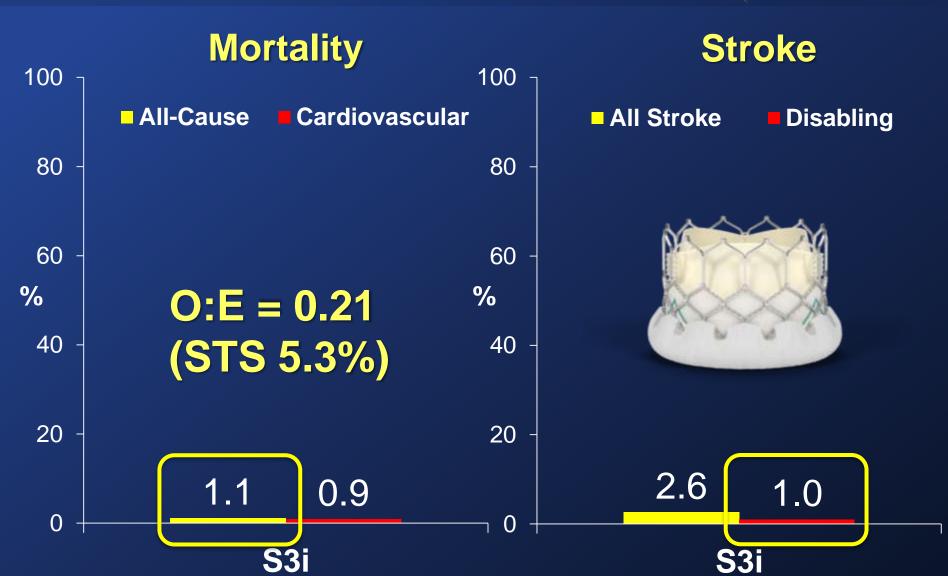
81.9yrs





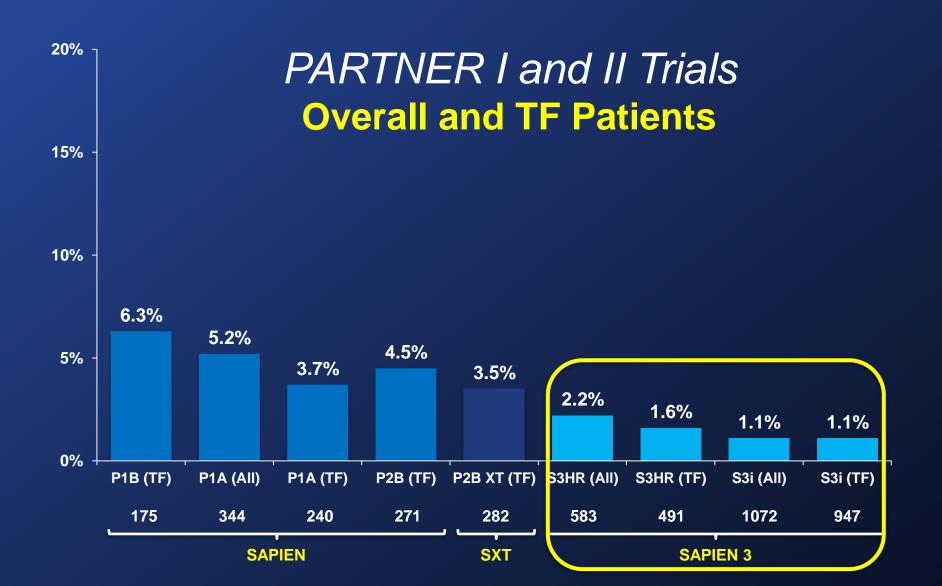
Mortality and Stroke: S3iAt 30 Days (As Treated Patients)





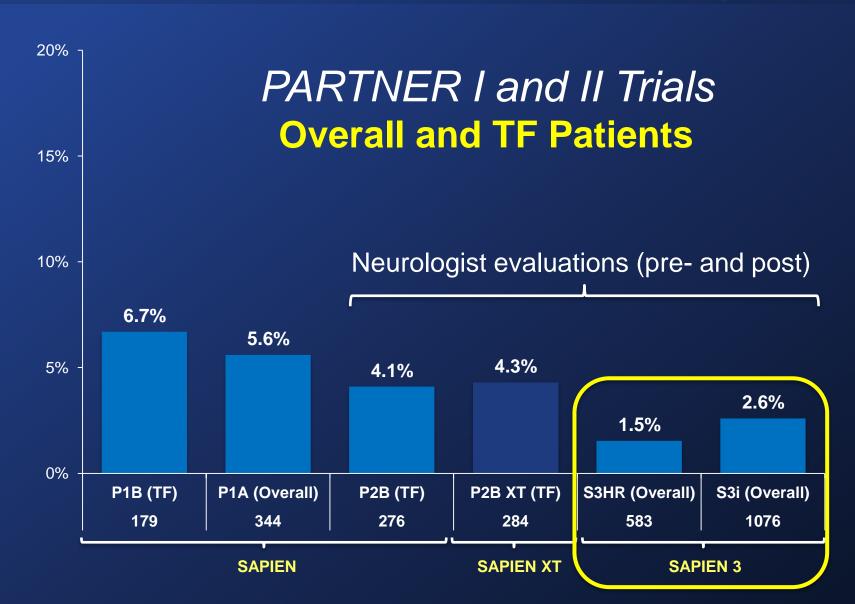
All-Cause Mortality at 30 Days Edwards SAPIEN Valves





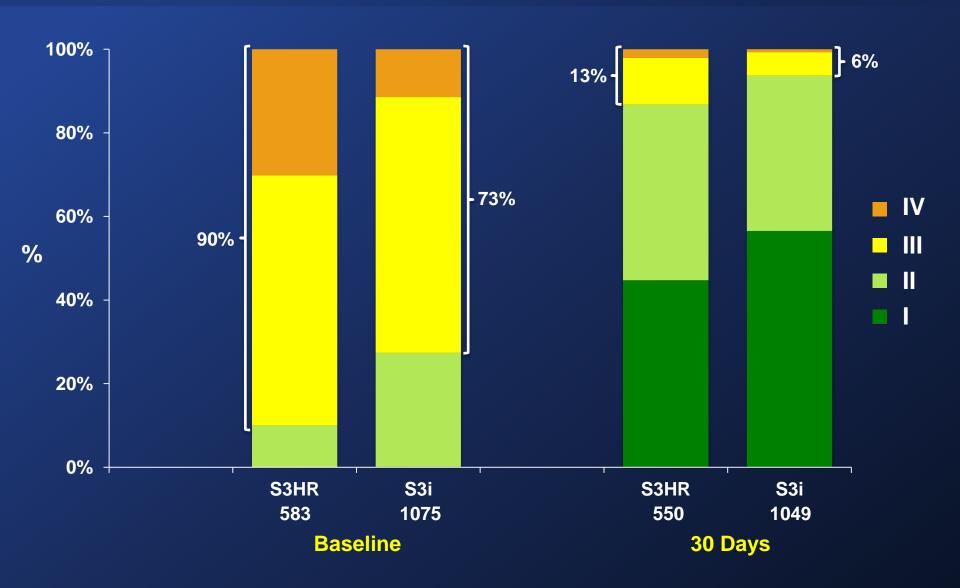
All Strokes at 30 Days Edwards SAPIEN Valves





NYHA Functional Class At 30 Days (As Treated Patients)





S3HR & S3i: Other Outcomes

Procedu

Other Clinical Events At 30 Days (As Treated Patients)



| Post-Dilatation |
|-----------------|
| >1 Valve Impl |
| Valve Emboliz |
| IABP During F |
| Cardiopulmor |
| Conscious Se |
| Median LOS - |

| Events (%) | S3HR Overall (n=583) | S3HR TF (n=491) | S3HR TA/TAo (n=92) | S3i Overall (n=1076) | S3i TF (n=951) | S3i TA/TAc (n=125 |
|------------------------------|----------------------------|-----------------------|--------------------------|----------------------------|----------------------|-------------------------|
| Major Vascular Comps. | 5.0 | 5.3 | 3.3 | 5.6 | 5.9 | 3.2 |
| Bleeding - Life Threatening | 6.3 | 5.5 | 10.9 | 5.4 | 4.4 | 12.9 |
| Annular Rupture | 0.3 | 0.2 | 1.1 | 0.2 | 0,2 | 0 |
| Myocardial Infarctions | 0.5 | 0.4 | 1.1 | 0.3 | 0.3 | 0 |
| Coronary Obstruction | 0.2 | 0 | 1.1 | 0.4 | 0.4 | 0 |
| Acute Kidney Injury | 1.0 | 8.0 | 2.2 | 0.5 | 0.3 | 1.6 |
| New Permanent Pacemaker | 13.0 | 13.2 | 12.0 | 10.1 | 10.4 | 7.2 |
| Aortic Valve Re-intervention | 1.0 | 8.0 | 2.2 | 0.7 | 8.0 | 0 |
| Endocarditis | 0.2 | 0.2 | 0 | 0.1 | 0.1 | 0 |



Echo Findings: S3HR & S3i Aortic Valve Area (Valve Implant Patients)





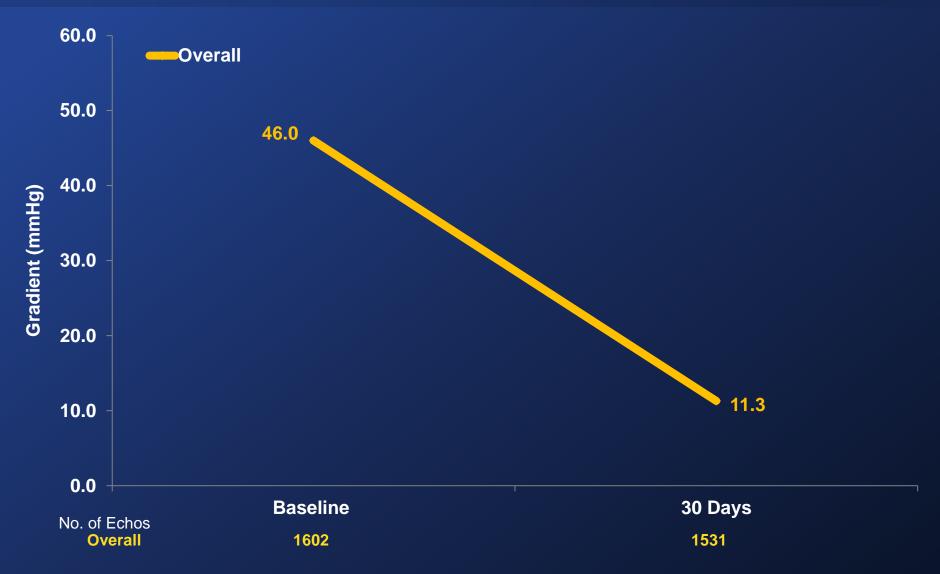
Echo Findings: S3HR & S3i Aortic Valve Area (Valve Implant Patients)





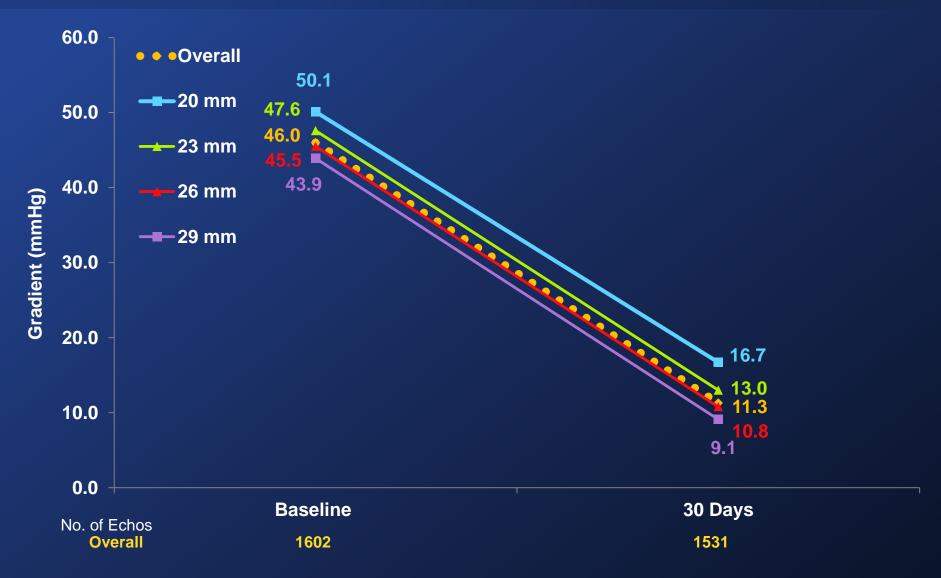
Echo Findings: S3HR & S3i Mean Gradients (Valve Implant Patients)





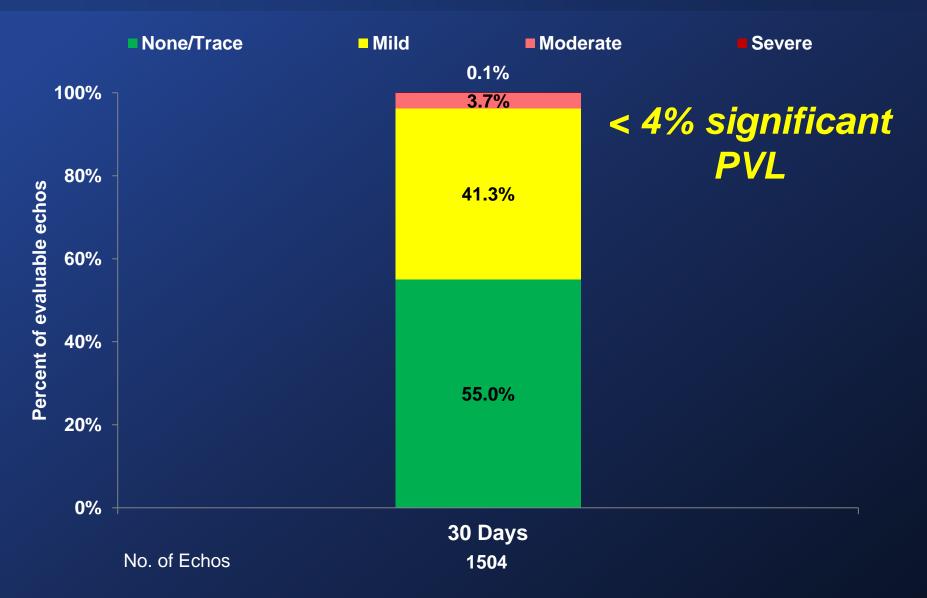
Echo Findings: S3HR & S3i Mean Gradients (Valve Implant Patients)





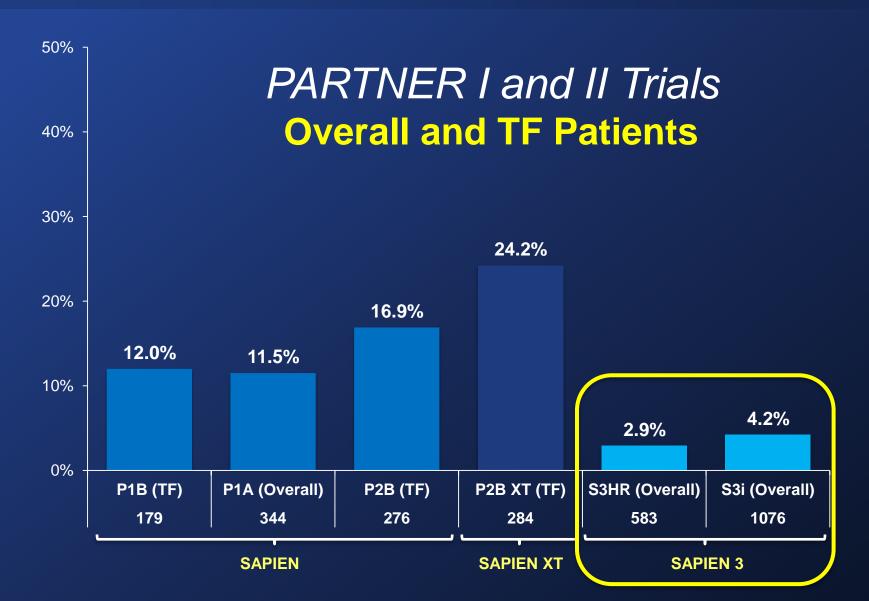
Paravalvular Leak: S3HR & S3i (Valve Implant Patients)





Moderate/Severe PVL at 30 DaysEdwards SAPIEN Valves



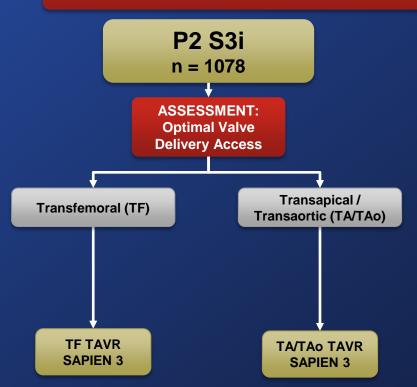


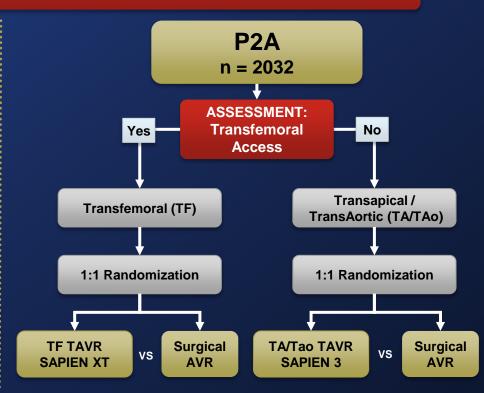
The PARTNER 2A and S3i Trials Study Design



Intermediate Risk Symptomatic Severe Aortic Stenosis

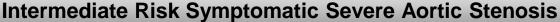
Intermediate Risk ASSESSMENT by Heart Valve Team

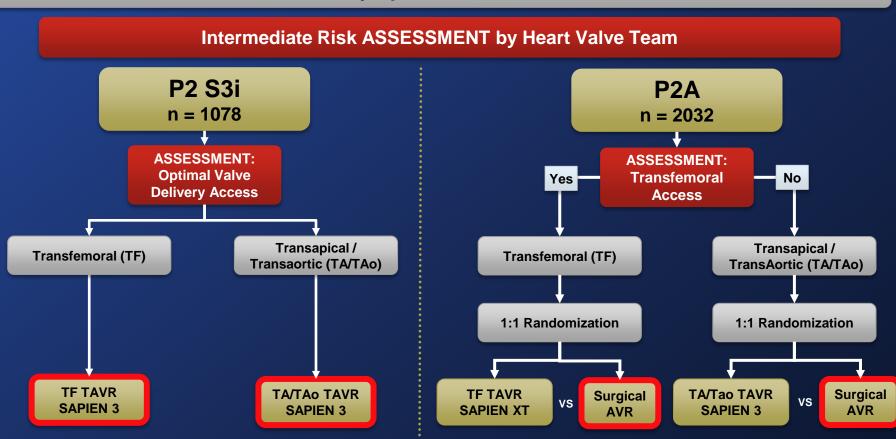




The PARTNER 2A and S3i Trials Study Design







Primary Endpoint: All-Cause Mortality, All Stroke, or Mod/Sev AR at One Year (Non-inferiority Propensity Score Analysis)

Baseline Patient Characteristics Demographics (AT)



| Characteristic | TAVR (n = 1077) | Surgery (n = 944) | p-value |
|--------------------------|--------------------|----------------------|---------|
| Age - yrs | 81.9 ± 6.6 | 81.6 ± 6.8 | 0.23 |
| Male - % | 61.7 | 55.0 | 0.002 |
| BMI - kg/m² | 28.7 ± 6.1 | 28.4 ± 6.2 | 0.32 |
| Median STS Score - % | 5.2 [4.3, 6.3] | 5.4 [4.4, 6.7] | 0.0002 |
| NYHA Class III or IV - % | 72.5 | 76.1 | 0.07 |

mean ± SD, median [IQR]

Statistical Analysis Plan



- Pre-specified propensity score analysis of SAPIEN 3 TAVR vs. P2A surgery for the composite primary endpoint (all-cause mortality, all stroke, or total AR ≥ moderate at 1 year).
- The analysis incorporated 22 pre-specified baseline characteristics that were factored through a logistic regression into a propensity score.
- Patient population was divided into quintiles based on propensity scores.
- Quintile stratification (unlike patient matching) allows for the use of data from all patients, minimizing selection bias.

Quintile Propensity Score Analysis: Primary Endpoint



| Su | rgery | TAVR | | | |
|------------|--------------------------------|------------|--------------------------------|--------------------------|-----------|
| # Patients | Mortality, Stroke, AR ≥ Mod | # Patients | Mortality, Stroke, AR ≥ Mod | Proportion Difference | Weighting |
| 191 | 28.3% | 138 | 13.8% | -14.5% | 0.14 |
| 175 | 22.9% | 171 | 9.9% | -12.9% | 0.18 |
| 147 | 19.7% | 197 | 10.7% | -9.1% | 0.20 |
| 126 | 23.0% | 219 | 14.6% | -8.4% | 0.23 |
| 108 | 19.4% | 238 | 15.1% | -4.3% | 0.25 |

Overall weighted difference of proportions - 9.2%
[-12.4%,-6.0%] two-sided 90% CI

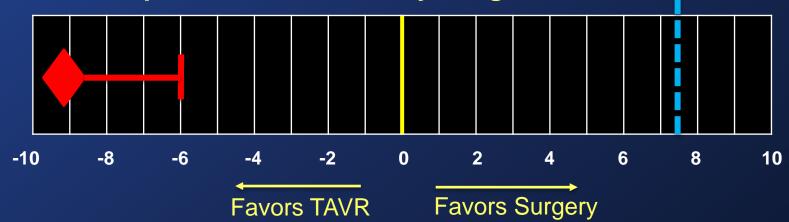
Primary Endpoint - Non-inferiority Death, Stroke, or AR ≥ Mod at 1 Year (VI)



Weighted Difference -9.2% Upper 1-sided 95% CI -6.0%

Non-Inferiority p-value < 0.001

Pre-specified non-inferiority margin = 7.5%



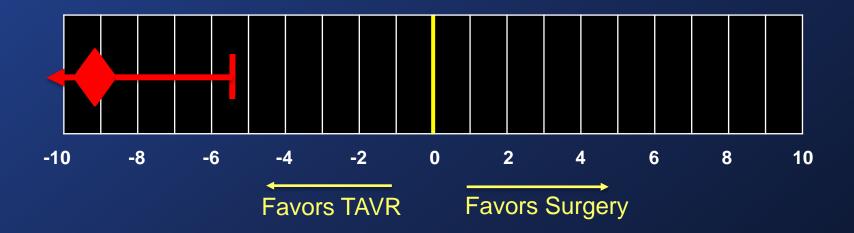
Primary Non-Inferiority Endpoint Met

Primary Endpoint - Superiority Death, Stroke, or AR ≥ Mod at 1 Year (VI)



Weighted Difference -9.2% Upper 2-sided 95% CI -5.4%

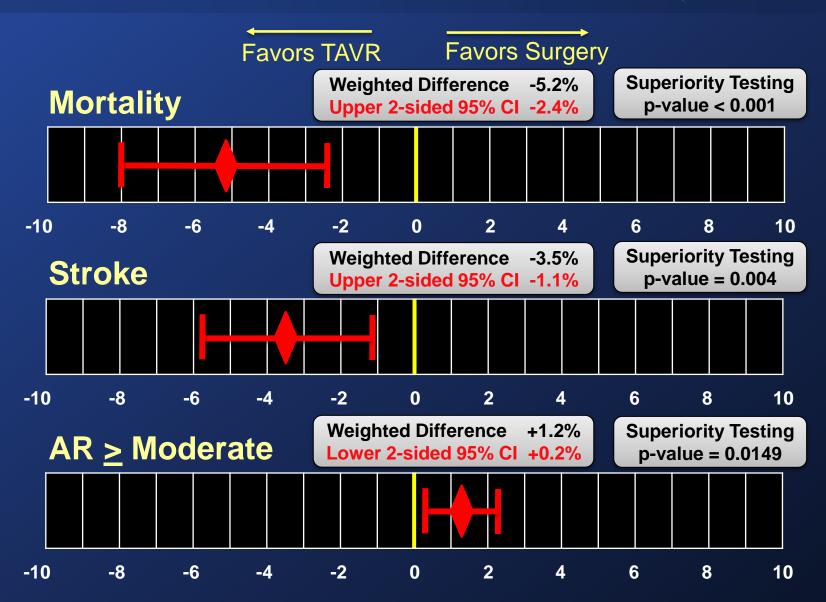
Superiority Testing p-value < 0.001



Superiority Achieved

Superiority Analysis Components of Primary Endpoint (VI)





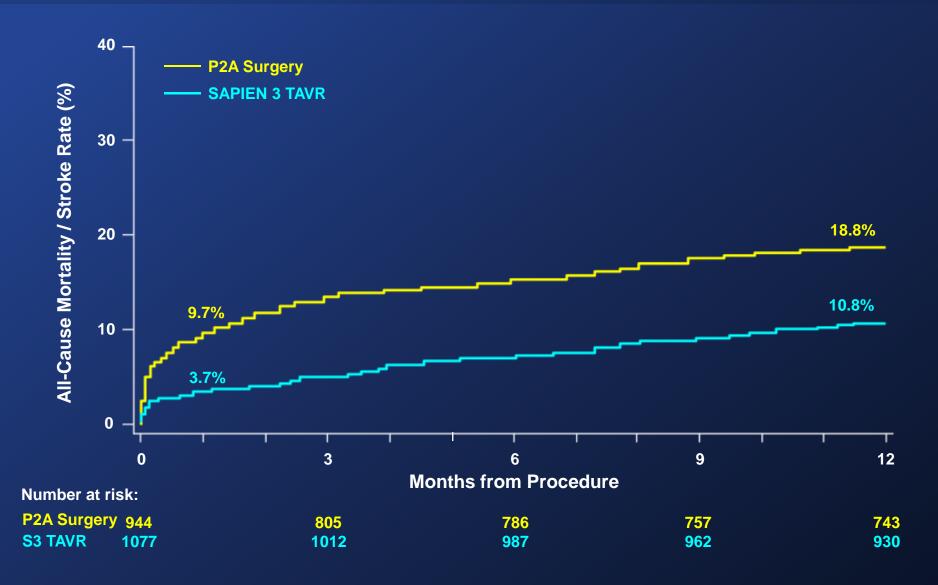
Unadjusted Clinical Events At 30 Days and 1 Year (AT)



| Events (%) | 30 | Days | 1 Year | | |
|--------------------------------------|------|---------|--------|---------|--|
| | TAVR | Surgery | TAVR | Surgery | |
| Death | | | | | |
| All-cause | 1.1 | 4.0 | 7.4 | 13.0 | |
| Cardiovascular | 0.9 | 3.1 | 4.5 | 8.1 | |
| Neurological Events | | | | | |
| Disabling Stroke | 1.0 | 4.4 | 2.3 | 5.9 | |
| All Stroke | 2.7 | 6.1 | 4.6 | 8.2 | |
| All-cause Death and Disabling Stroke | 2.0 | 8.0 | 8.4 | 16.6 | |

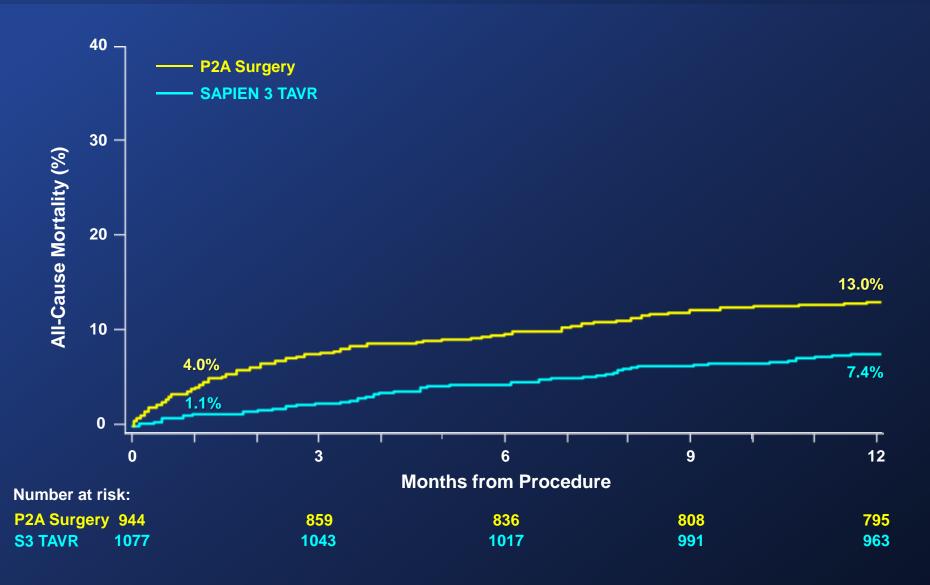
Unadjusted Time-to-Event Analysis All-Cause Mortality and All Stroke (AT)





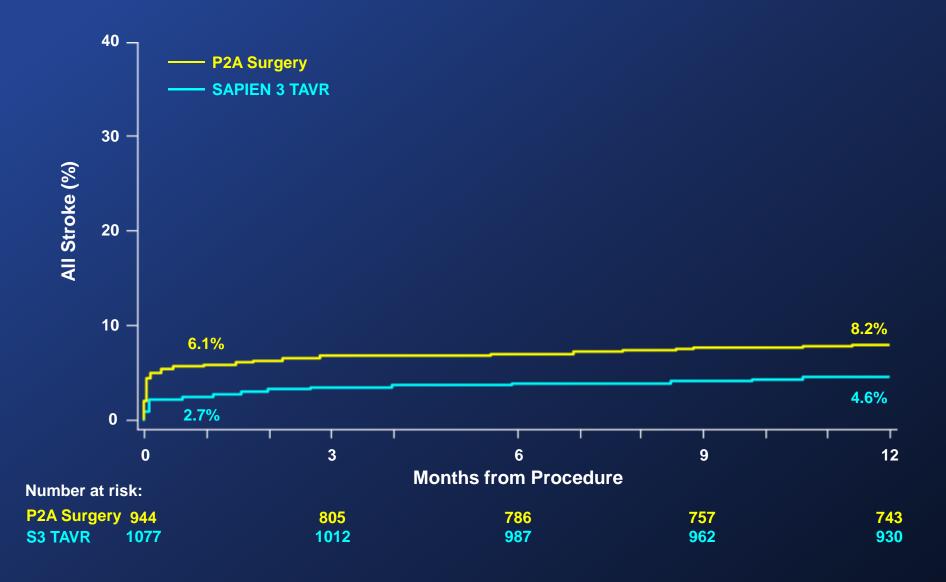
Unadjusted Time-to-Event Analysis All-Cause Mortality (AT)





Unadjusted Time-to-Event Analysis All Stroke (AT)





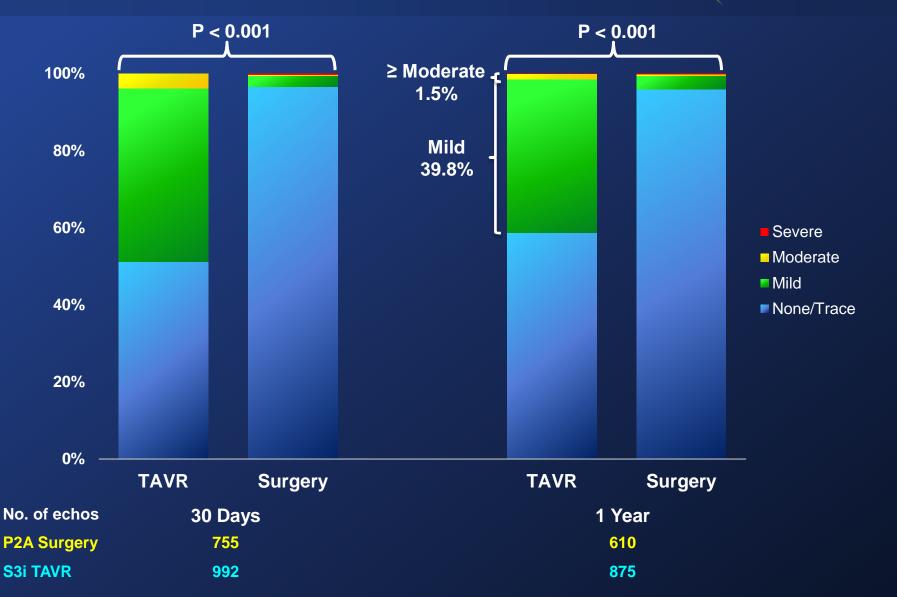
Other Unadjusted Clinical Outcomes At 30 Days and 1 Year (AT)



| | 30 D | ays | 1 Year | | |
|--------------------------------|--------------------|----------------------|--------------------|----------------------|--|
| Events (%) | TAVR (n = 1077) | Surgery (n = 944) | TAVR (n = 1077) | Surgery (n = 944) | |
| Re-hospitalization | 4.6 | 6.8 | 11.4 | 15.1 | |
| MI | 0.3 | 1.9 | 1.8 | 3.1 | |
| Major Vascular Complication | 6.1 | 5.4 | | | |
| AKI (Stage III) | 0.5 | 3.3 | | | |
| Life-Threat/Disabling Bleeding | 4.6 | 46.7 | | | |
| New Atrial Fibrillation | 5.0 | 28.3 | 5.9 | 29.2 | |
| New Permanent Pacemaker | 10.2 | 7.3 | 12.4 | 9.4 | |
| Re-intervention | 0.1 | 0.0 | 0.6 | 0.5 | |
| Endocarditis | 0.2 | 0.0 | 0.8 | 0.7 | |

Paravalvular Regurgitation 3-Class Grading Scheme (VI)





The PARTNER 2A and S3i Trial The Lancet On-line



Transcatheter aortic valve replacement versus surgical valve $\gg_{@}$ replacement in intermediate-risk patients: a propensity score analysis



Vinod H Thourani, Susheel Kodali, Raj R Makkar, Howard C Herrmann, Mathew Williams, Vasilis Babaliaros, Richard Smalling, Scott Lim,
S Chris Malaisrie, Samir Kapadia, Wilson Y Szeto, Kevin L Greason, Dean Kereiakes, Gorav Ailawadi, Brian K Whisenant, Chandan Devireddy,
Jonathon Leipsic, Rebecca T Hahn, Philippe Pibarot, Neil J Weissman, Wael A Jaber, David J Cohen, Rakesh Suri, E Murat Tuzcu, Lars G Svensson,
John G Webb, Jeffrey W Moses, Michael J Mack, D Craiq Miller, Craiq R Smith, Maria C Alu, Rupa Parvataneni, Ralph B D'Agostino Jr, Martin B Leon

John G.Wobb, Jeffrey W.Moses, Michael J. Mack, D. Grang Miller, Crang R. Smith, Maria C.A.D., Rugar Parvettanemi, Ralph B.D. Agestino Jr., Martin El Leon John G.Wobb, Jeffrey W.Moses, Michael J. Mack, D. Grang Miller, Crang R. Smith, Maria C.A.D., Rugar Parvettanemi, Ralph B.D. Agestino Jr., Martin El Leon

Special thanks to the PARTNER sites, the patients, the clinical research teams, and the manuscript writing group!

P2A and S3i Perspectives Key findings



Surgery better

- Reduced vascular complications
- Less PVR

TAVR better

- Reduced mortality and reduced strokes (esp. TF and with S3)
- Reduced ICU and hospital LOS
- Reduced AKI, severe bleeding, and new onset AF
- Improved valve hemodynamics (AVA and gradients)
- Better early recovery: 30-day QOL (NYHA class) and 6-minute walk test
- Increased days alive out-ofhospital (thru 2 years)

The PARTNER 2A and S3i Trial Clinical Implications



 The results from the PARTNER 2A randomized trial and the S3i propensity score analysis in > 3,100 intermediate-risk patients with severe aortic stenosis, provide strong evidence that SAPIEN 3 TAVR when compared with surgery <u>improves</u> <u>clinical outcomes and is the preferred therapy!</u>

Expanding Clinical Indications A TAVR Crossroads?

- Bioprosthetic valve failure (aortic and mitral)
- Intermediate and low-risk patients
- Low-flow, low-gradient AS
- Bicuspid AV disease
- AS + concomitant disease (CAD, MR, AF)
- Severe asymptomatic AS
- Moderate AS + CHF
- High-risk AR

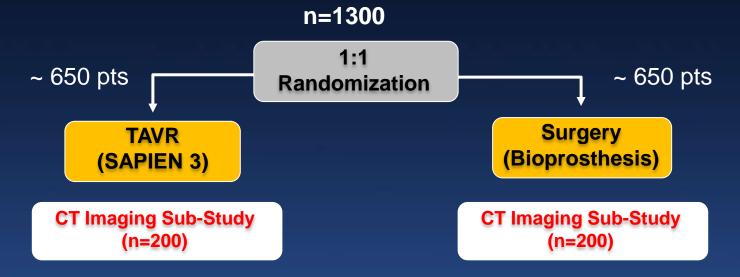




PARTNER 3 Low Risk Trial

Symptomatic Low-Risk Severe AS Patients

Heart Team determination of risk eligibility (STS < 4)



Primary Endpoint: Composite of all-cause mortality, all strokes, or re-hospitalization at 1 year (non-inferiority)



TAVR - UNLOAD Trial Design

Moderate AS + HF (600 patients, 1:1 randomized)

TAVR UNLOAD Trial

International Multicenter Randomized Heart Failure
LVEF < 50%
NYHA ≥ 2
Optimal HF
therapy
(OHFT)
Moderate AS



OHFT Alone

Follow-up:

1 month 6 months 1 year

Clinical endpoints Symptoms Echo QoL

Primary Endpoint Hierarchical

occurrence of:
All-cause death

- Disabling stroke
- Hospitalizations for HF, aortic valve disease
- Change in KCCQ





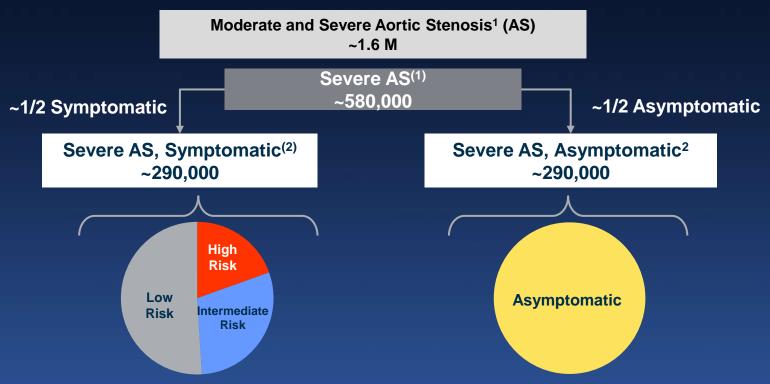


Reduced AFTERLOAD Improved LV systolic and diastolic function



Severe Aortic Stenosis in Asymptomatic Patients EARLY TAVR Trial

2015 Total U.S. Population







2. Freed 2010, lung 2007, Pellikka 2005; Brown 2008 (n=622)



Expanded TAVR Clinical Indications A Transformative Technology at the Crossroads?



