Current and Future Landscape of Trans-Catheter Mitral Valve Replacement

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DISCLOSURE STATEMENT OF FINANCIAL INTEREST

In the past 12 months, I or my spouse/partner has had a financial interest/arrangement with the organization(s) listed below.

BSCI

- Scientific Advisory Board Member
- Speaker Bureau
- Equity Ownership

Mvalve[™] Technologies Ltd.

- Founder/ Executive chairman
- Intellectual Property
- Equity Ownership

TRANS CATHETER MITRAL VALVE REPLACEMENT

- In recent years, with the addition of minimally invasive surgical techniques, chordal sparing procedures and more durable bio-prostheses, *Mitral Valve Replacement* has become a viable option for treatment of severe MR
- When comparing repair vs replacement in ischemic MR, Acker and colleagues, from the CTS Network observed no significant difference in left ventricular reverse remodeling or survival at 12 months between patients who underwent mitralvalve repair and those who underwent replacement. *Replacement provided a more durable correction of mitral regurgitation*.

TRANS CATHETER MITRAL VALVE REPLACEMENT

The advent of trans-catheter heart valve therapy for the Aortic valve has led to novel and lesser invasive approaches for Cardiac Valve Replacement.

TRANS CATHETER MITRAL VALVE REPLACEMENT: Challenges

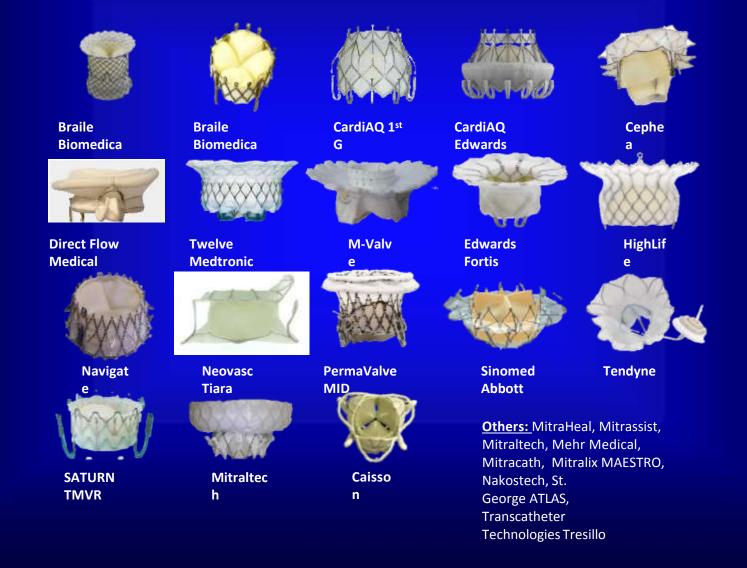
• <u>Delivery</u>

 Increased device size (more than aortics) with need for more folding may lead to excessive compression with serious concerns over durability

• <u>Fixation</u>

- More complex structure
- No calcium to anchor
- Annulus is not round, particularly when diseased and less pliable
- Orientation may be important
- <u>Seal</u>
 - Paravalvular leaks in mitral position are usually not well tolerated compared to aortic PVL (hemolysis)
- <u>Function</u>
 - LVOT obstruction may be a concern
 - Need to preserve the subvalvular apparatus remains imperative

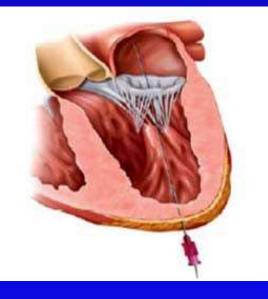
TRANS CATHETER MITRAL VALVE REPLACEMENT: Landscape



Transapical approach

Pros

- Straight shot
- TAVR experience





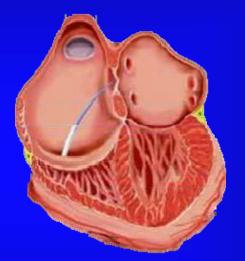
Cons

- LV dysfunction / large bore catheters (>30F)
- Retrograde approach (subvalvular apparatus entanglement)
- Thoracotomy (invasive)

Transseptal approach

Pros

- Direct antegrade approach
- Avoids LV puncture
- Transseptal puncture





Cons

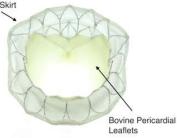
- Navigation and steering more than transatrial
- Veno-arterial access (submitral apparatus)
- Atrial septal defect / large catheter OD

TRANS CATHETER MITRAL VALVE REPLACEMENT: Where are we today !









Most active clinical program to date : Abbott TendyneTM



- D-shaped
- Outer and inner frame
- Anchoring tether with hemostatic pad
- Retrievable, repositionable

Tendyne Transcatheter Mitral Valve

Tendyne Procedure

- ✓ Insert Catheter into LA
- ✓ Align D-Shape Cuff
- ✓ Intra-Annular

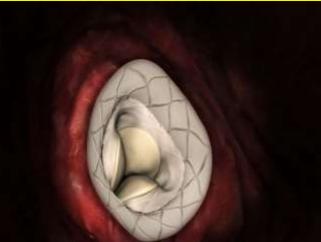
Deployment

Secure Tether with
 Apical Pad



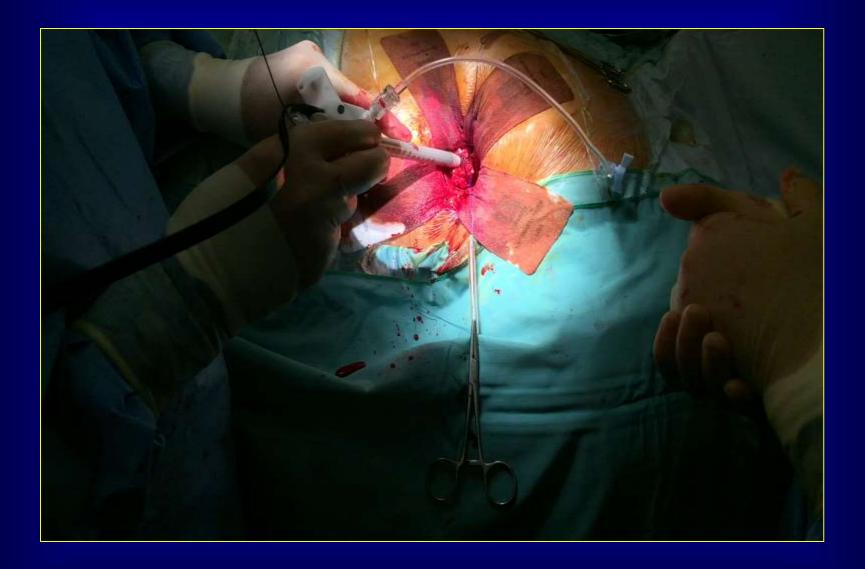
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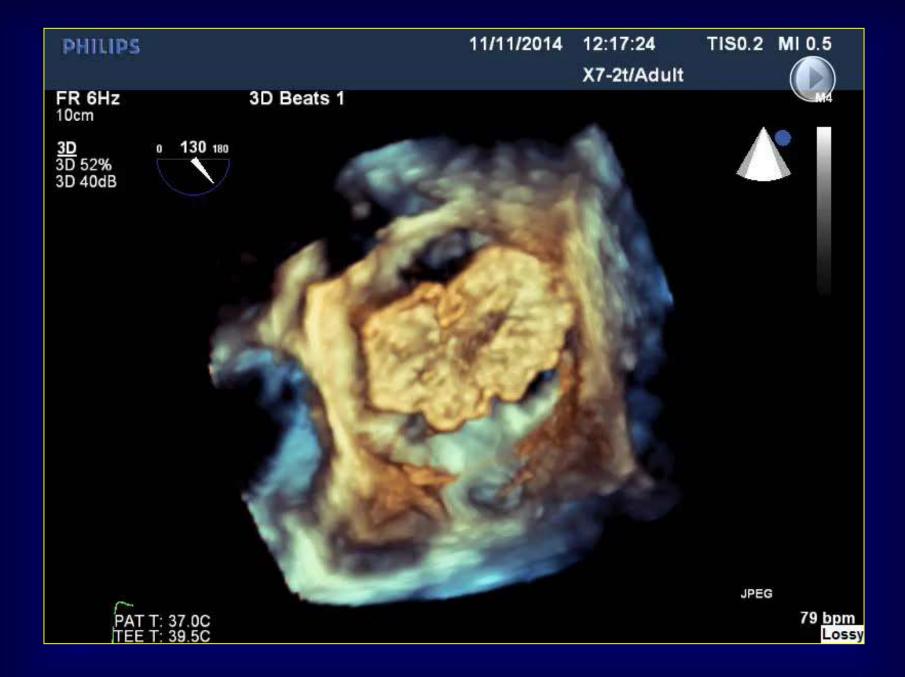


















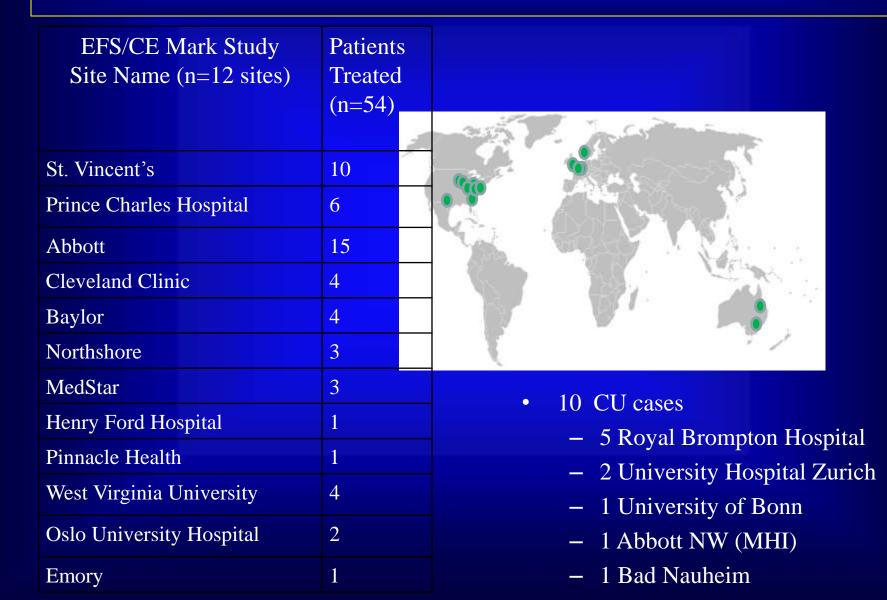




Apical pad placement (Courtesy D Muller)



Tendyne Implant Experience



Tendyne GFS: Patient Overview (n=30)

| Baseline Mitral Valve pathology | |
|--|------------|
| Primary MR | 3 (10%) |
| Secondary MR | 23 (76.7%) |
| Mixed pathology | 4 (13.3%) |
| Baseline LV function | N=29 |
| LVEF <30% | 3 (10.3%) |
| LVEF 30-50% | 14 (48.3%) |
| LVEF>50% | 12 (41.4%) |

D. Muller, TCT 2016

GFS: Acute Outcomes

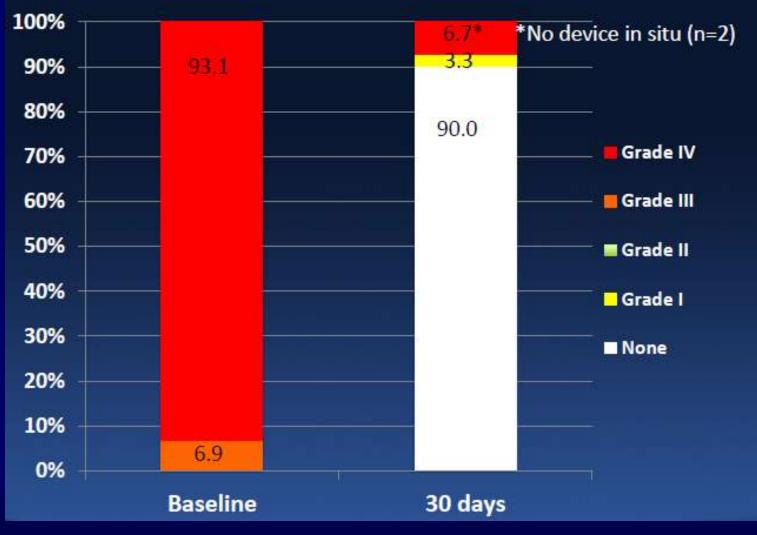
| Outcome | N=30 |
|--------------------------------------|----------|
| Death (all cause) | 0 (0%) |
| CVA | 0 (0%) |
| Major bleeding | |
| Transfusion | 3 (10%) |
| Device-related | |
| Device embolization | 0 (0%) |
| Cardiac perforation | 0 (0%) |
| Paravalvular leak | 1 (3.3%) |
| Device Retrieval | |
| LVOT obstruction | 1 (3.3%) |
| Did not properly seat - access issue | 1 (3.3%) |

Tendyne TMVI: D30 Outcomes

| Outcome | N=30 |
|------------------------|---------------------------------|
| Death (all cause) | 1 (3.3%) |
| Cardiac | 0 (0%) |
| Non-cardiac | 1 (3.3%) |
| CVA | 0 (0%) |
| MV surgery | 0 (0%) |
| Re-hospitalisation | |
| Heart failure | 4 (13.8%) |
| LVAD/transplant | 0 (0%) |
| Other (ileus) | 1 (3.3%) |
| Device-related | |
| Hemolysis, transfusion | 1 (3.3%) |
| Leaflet thrombosis | 1 (3.3%) D. Muller, T |

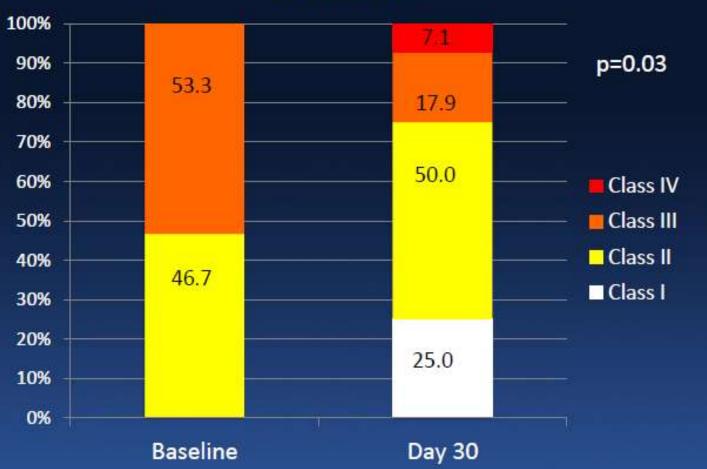
CT 2016

MR severity post-TMVI (n=30)



D. Muller, TCT 2016

Functional capacity post-TMVI (n=30)



NYHA Class

D. Muller, TCT 2016

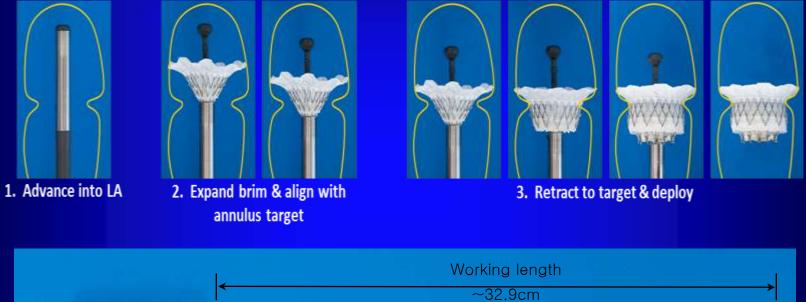
Medtronic TwelveTM Intrepid Design Concept

Differentiated, dual stent design

- Conforms to native anatomy
- Separates fixation & sealing from valve function
- Isolates valve from the dynamic anatomy
- Preserves native mitral apparatus
- Ensures LVOT patency
- Suits both primary & secondary mitral valve disease
- Manages all patient sizes with one valve size



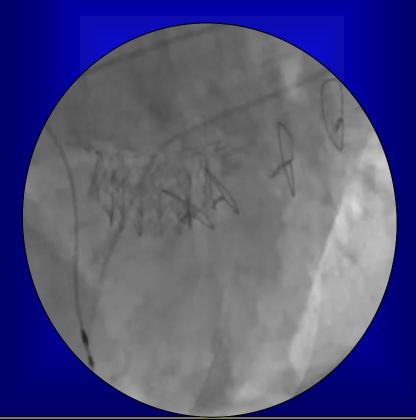
IntrepidTM TMVR delivery system and deployment





IntrepidTM TMVR Post-deployment Images from Human implant

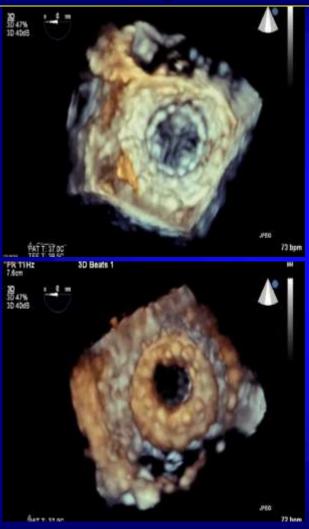
- Good sealing
- Secure fixation

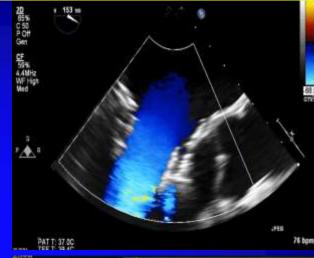


IntrepidTM TMVR Post-deployment Images from Human implant

Atrial View

Ventricular View







Intrepid Valve

Patent LVOT

Patient Demographics Pilot Study Clinical experience

R

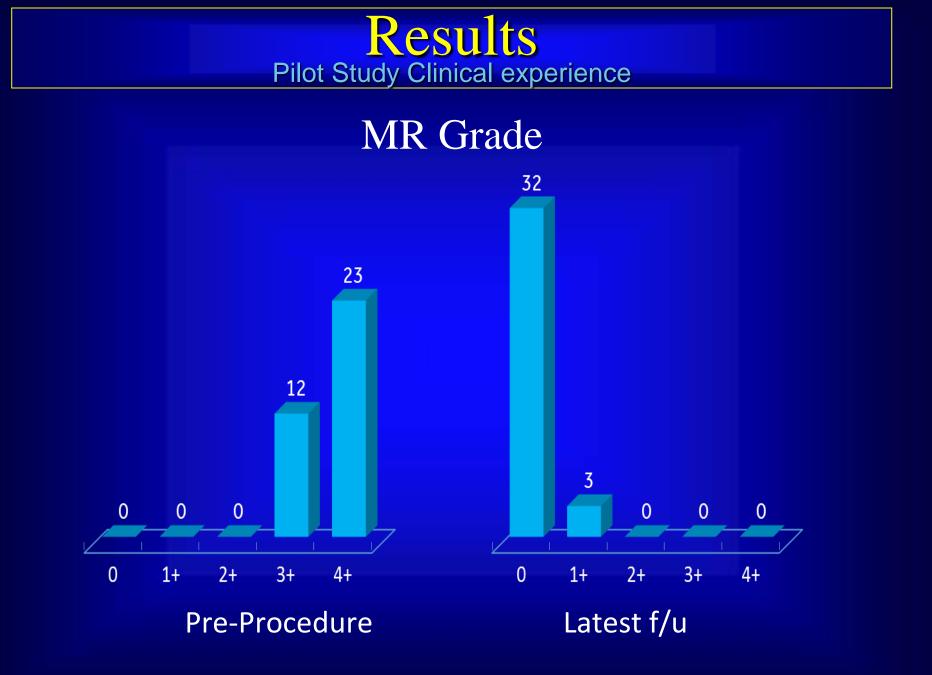
| Baseline Characteristics (n=38) | | Baseline Echocardiogram (n=38) | | | |
|---------------------------------|-------------------|--------------------------------|-----|-----|--|
| | | | FMR | DMF | |
| Age (years) | 73 (range: 48-90) | MR Etiology | 30 | 8 | |
| Sex (female) | 12 | LVEF mean (%) | 38 | 53 | |
| NYHA Functional Cla | ass | < 30 | 4 | 0 | |
| II | 4 | 30 - 50 | 22 | 3 | |
| III | 27 | > 50 | 4 | 5 | |
| IV | 7 | MR grade \geq 3+ (%) | 10 | 0 | |
| Prior MI / Coronary A | Artery | | | | |
| Disease | 28 | | | | |
| Previous Cardiac Surg | gery 17 | | | | |
| Atrial Fibrillation | 25 | | | | |
| Pacemaker/BiV/ICD | 14 | | | | |
| STS Mortality score | mean | | | | |
| (%) | 6.5 (range: 1-31) | | | | |

Results Pilot Study Clinical experience

Procedural Outcomes (n=38)

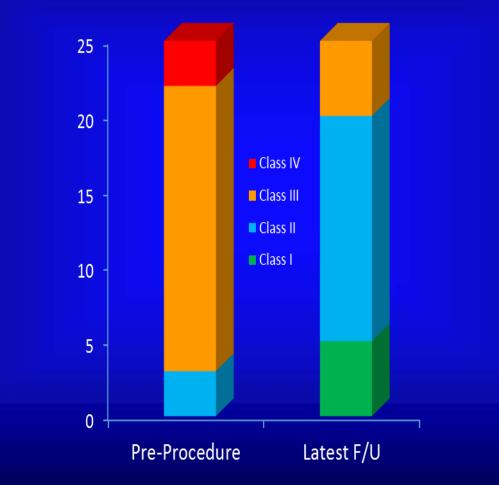
| Successful Deployment | 35/37 ¹ | |
|--|--------------------|----------------|
| Apical Access Time (min) | 30 | (range: 17-53) |
| Deployment Time (min) | 15 | (range: 4-29) |
| Mean LVOT Gradient ² (mmHg) | 2 | (range: 0-4) |
| Mean MV Gradient ² (mmHg) | 4 | (range: 0-7) |

1 - in one patient deployment was not attempted
 2 - latest follow-up



Results Pilot Study Clinical experience

NYHA Class



The CardiAQTM -Edwards

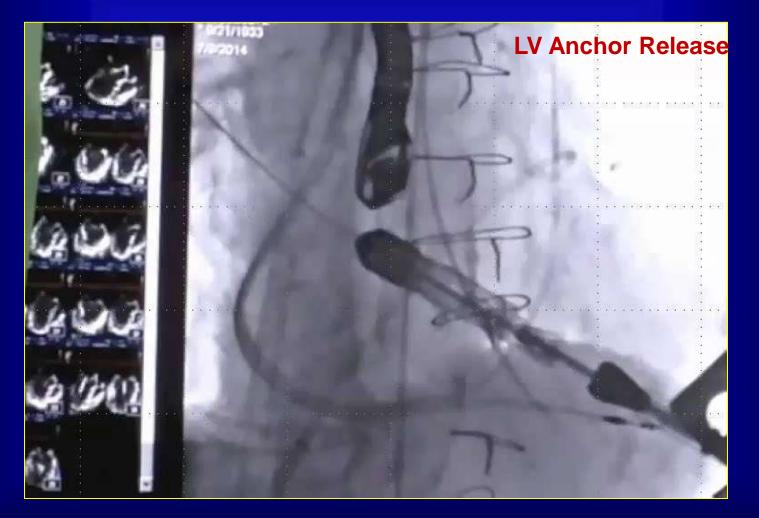
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Open Frame Cells Bovine Pericardial Leaflets ONE VALVE, MULTIPLE DELIVERY SYSTEMS **TS** – Transseptal approach Left Atrial Anchors Intra-annular **TA** – Transapical approach **Sealing Skirt** ANCHORING MECHANISM Preserves chords and utilizes native leaflets Tapered Promotes load distribution among Outflow annulus, leaflets and chords DESIGNED TO PROMOTE PHYSIOLOGIC FLOW Eliminate mitral regurgitation Left Ventricular Anchors Supra-annular position and tapered outflow to minimize risk of LVOT obstruction Intra-annular sealing skirt to minimize PV leak Open frame cells to promote atrial flow

The CardiAQTM -Edwards Transapical TMVR Procedure



The CardiAQTM -Edwards Transapical TMVR Procedure



The CardiAQTM -Edwards TMVR Early Compassionate Use Experience

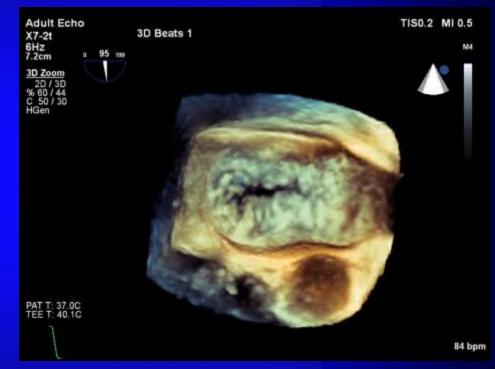
- 12 patients treated under compassionate use as of Nov 2015
 - First-ever TMVR TS patient 2012 with 1st generation device
- 11 patients with 2nd generation valve (2014-2015)
 - 82% male
 - Prior CABG: 73%
 - Etiology: 64% FMR, 36% DMR
 - LVEF range <20-72%</p>
 - Technical success rate (successful delivery, deployment and retrieval of DS): 82%
 - Two procedure related deaths:
 - 1 interaction with mechanical AV
 - 1 malpositioning due to sub-leaflet calcification
 - Four non-valve related deaths (all had good valve function):
 - Pneumonia (PO day 9)
 - Right heart failure/cardiac decompensation (PO day 7)
 - Multi-organ failure (PO day 18)
 - Sepsis (PO day 36)

The CardiAQTM -Edwards TMVR Clinical Program Status

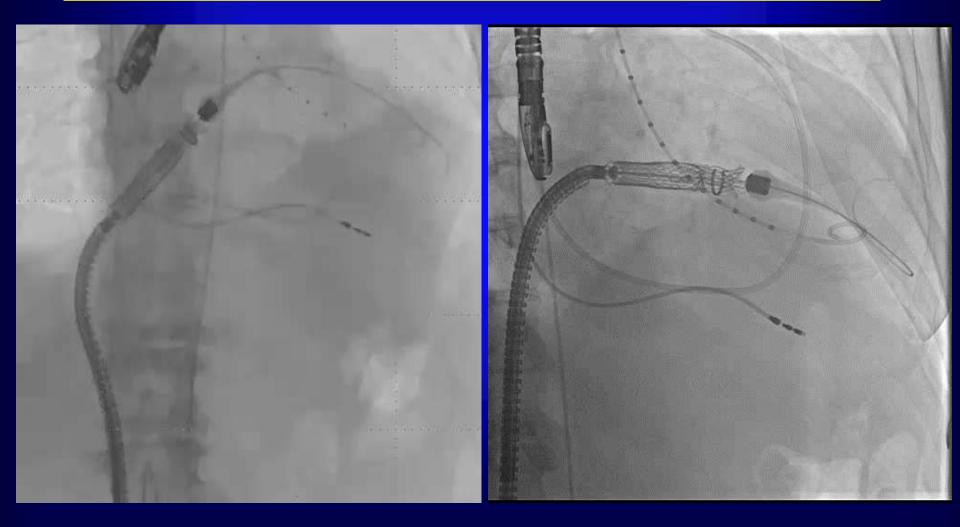
- Compassionate use experience ongoing in Europe
- US EFS trial enrolling: high risk patients
 - Brief pause in case scheduling while key clinical learnings were being implemented, but full patient screening is now continuing in anticipation of Q2 cases
- Future Pipeline
 - Reduced delivery profile
 - Additional valve sizes
 - Delivery system improvements
 - Current TS approach is technically more demanding, but less invasive than transapical approach
 - Technical improvements expected to make this procedure easier
 - Proven valve tissue the same bovine pericardial tissue and processes as Edwards surgical valves

Trans-septal TMVR with CardiAQ-Edwards





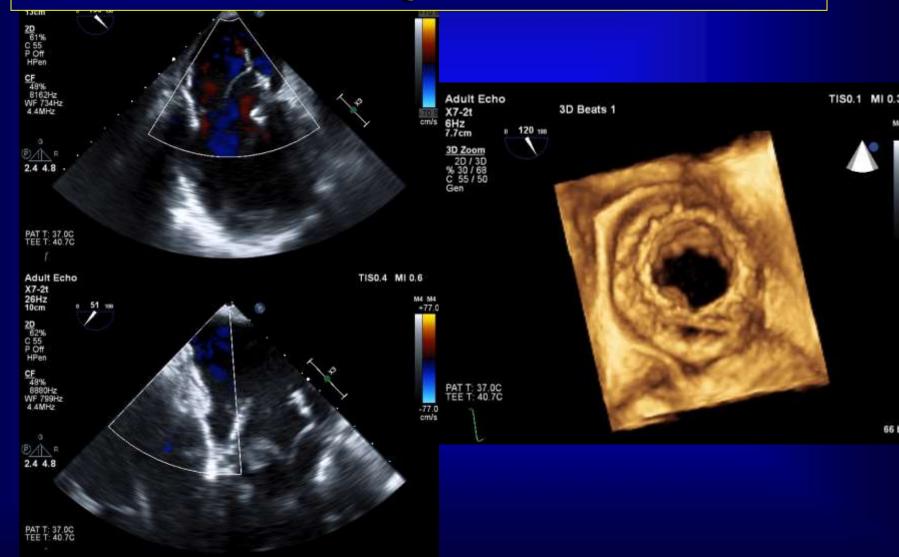
Transseptal TMVR with CardiAQ-Edwards



Transseptal TMVR with CardiAQ-Edwards



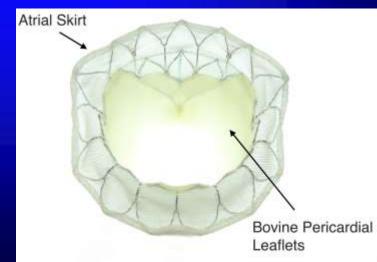
Transseptal TMVR with CardiAQ-Edwards



Neovasc TIARATM Trans Catheter Mitral Valve

- Anatomically shaped (D-shaped)
- Nitinol based, self-expanding frame
- Bovine pericardium 3 leaflets
- Ventricular anchors to fix the valve onto fibrous trigons and posterior annulus
- 35mm and 40mm available sizes

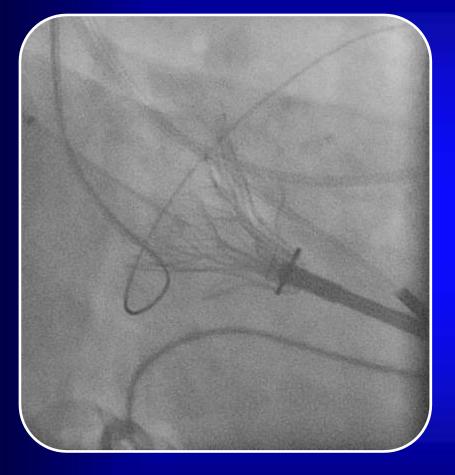


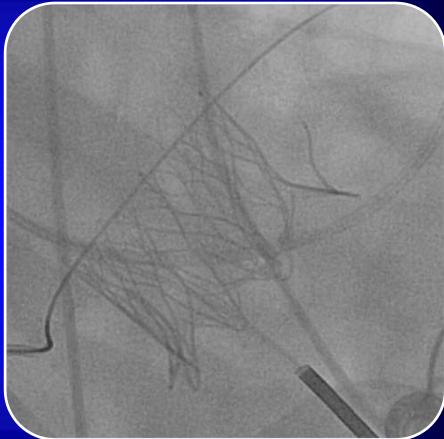


Delivery System

- 32 F sheathless system
- Self dilating tip
- Transapical approach







Referral Sites: TIARA-I Early Feasibility Trial Sites

- United States (Columbia University, Northwestern University, University of Washington)
- Belgium (Middelheim University)
- Canada (St. Paul's Hospital, London Health Sciences Centre, University of Alberta Hospital)

Special Access/Compassionate Use Programs

- Canada (St. Paul's Hospital, London Health Sciences Centre, University of Alberta Hospital)
- Italy (San Raffaele Hospital)
- Germany (Hamburg University Clinic Eppendorf)

Baseline Demographics (n=24)

| Mitral Valve Pathology | |
|---|-------------------|
| Degenerative MR | 5 (21%) |
| Functional MR | 15 (63%) |
| Mixed MR | 3 (12%) |
| Rheumatic | 1 (4%) |
| Baseline LVEF | |
| < 30% | 9 (38%) |
| 31 – 50% | 14 (58%) |
| >50% | 1 (4%) |
| Mean LVEDD (mm) | 68 ± 11 (52 – 94) |
| Mean Systolic Pulmonary Artery Pressure (mmHg) | 51 ± 16 (23 – 79) |

| Outcome | n=24 |
|---|---------------------|
| Death | 0 |
| CVA/MI | 0 |
| Permanent Pacemaker | 1 (4%) |
| Conversion to open MVR | 3 (12.5%) |
| Device Malpositioning/Embolization | 3 (12.5%) |
| LVOT Obstruction | 0 |
| Major Bleed | 1 (4%) |
| 30 Day Outcomes | |
| Day 30 Outcomes* | n=22 |
| Death | 3 (13.6%) |
| Cardiac | 2 (Arrhythmia, VSD) |
| Non-Cardiac | 1 (Sepsis) |
| CVA/MI | 0 |
| Reintervention | 0 |

*2 patient has not reached the 30 day timepoint

Landscape of trans-catheter mitral valve replacement therapies with *early* human experience

| Device | Edwards CardiAQ | Neovasc Tiara | Abbott Tendyne | Medtronic Intrepid |
|---------------------|--------------------|------------------|-------------------|-----------------------|
| | 100000 | | | |
| Fixation required | | | | |
| Posterior leaflet | 14 | 2 | | - |
| Posterior ridge | - | | | - |
| Anterior leaflet | 15 | 4- | | |
| Recapture/retrieval | | . u | Retrievable | Retrievable |
| Suitable for | | | | 50001 |
| FMR / DMR | + / +/- | +/+ | +/+ | +/+ |
| Sheath size | 36 Fr | 32 Fr | 32 Fr | 35 Fr |
| N patients treated | 14 | 17 | 37 | 17 |
| Procedural success | 9/11 (82%) | 14 (82%) | 26/28 (93%) | 15 (88%) |
| Early mortality | 6/12 (50%) | 3 (18%) | 1/23 (4%) | 4 (24%) |



HighLife Device Characteristics and Unique Features

- The valve
 - 31mm TA valve
 - Bovine pericardium
 - Nitinol frame
 - Polyester cover





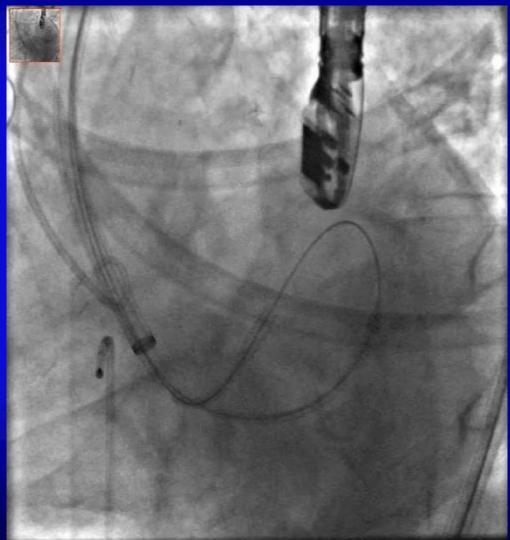
- The ring **TF** implant
 - Polymer tube
 - Nitinol hook

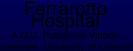
Ferrarotto Hospital A.O.U. Policlinico-Vittorio Emanuele University of Catania, Italy Polyester cover

HighLife Device Characteristics and Unique Features

- 3 step approach
 - Guide wire looped around mitral leaflets
 - Ring implanted over guide wire loop
 - Valve-in-Ring implantation

Ring insertion and closure







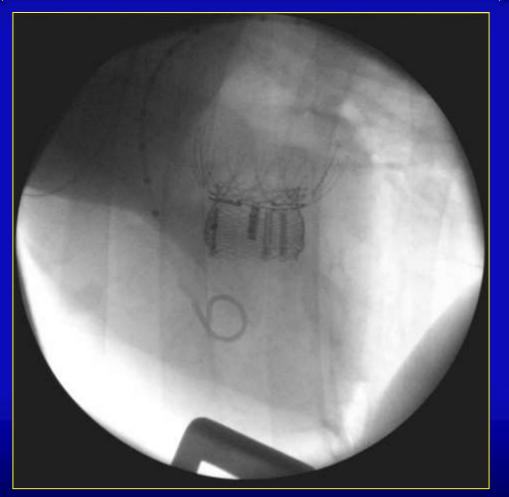
- MValve is developing a similar approach to valve in valve, this time enabling *Trans- catheter* valve replacement, without a prior surgical prosthesis in the mitral position:
 - Step 1 deployment of a proprietary valve support/dock device around the native mitral annulus
 - Step 2 deployment of a transcatheter valve prosthesis within the Docking support system.



Advantages of the MValveTM System approach

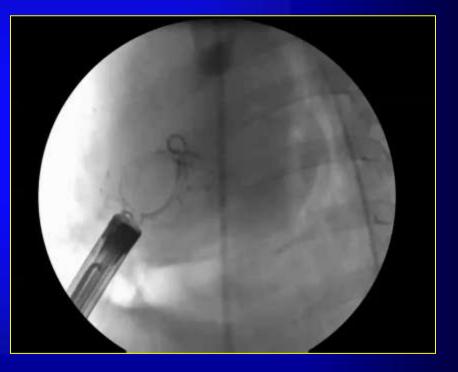
- The MValveTM dock is designed as a UNIVERSAL device fully compatible with a variety of commercially available as well as proprietary trans-catheter valves.
- The docking system enables accurate and optimal positioning of the valve prosthesis given it's:
 - Excellent fluoroscopic visibility
 - Sealing with minimal/no paravalvular leaks

LotusTM in MValveTM (in vivo swine model)

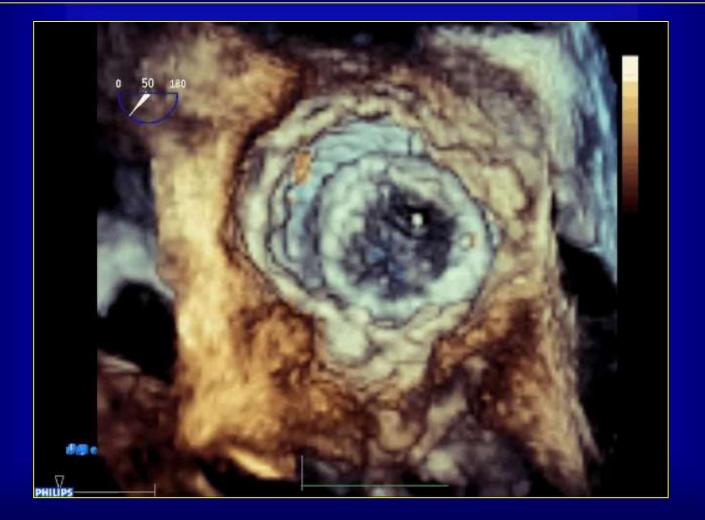


MValveTM System is fully retrievable

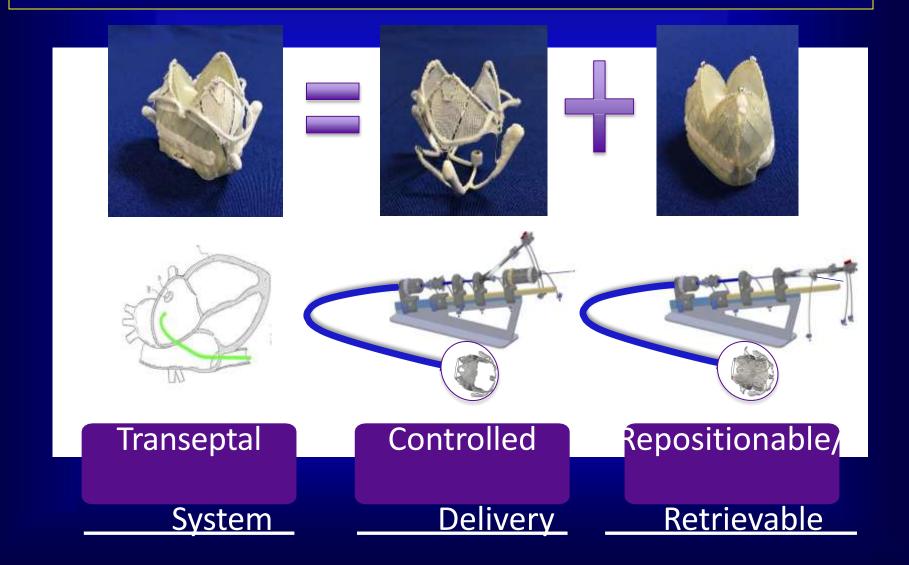
Using a custom retrieval system, the MValveTM dock can be re-captured, collapsed and fully withdrawn



First Human Experience



Caisson TMVR System



Caisson TMVR System



FIH Outcomes (n=5)

| Pt. | Days Since Implant | Status | Intra-Op PVL | | Device Embolization | Device Retrieval |
|--------|-----------------------|----------|--------------|---------------------|------------------------|---------------------|
| 02-001 | (28) ⁽¹⁾ | Deceased | Mild | None ⁽²⁾ | No | No |
| 02-002 | 116 | Alive | None | None | No | No |
| SAP | 96 | Alive | None | None | No | No |
| 02-003 | 89 | Alive | None | Mild | No | No |
| 02-004 | N/A ⁽³⁾ | Alive | N/A | N/A | No | Yes |

1: Death day 28 following Colectomy

2: None on Day 25 TEE

3: Patient received MitraClip following Device Retrieval

FIH 30-Day Quantified Outcomes (n=5)

| Pt. | Days Since Implant | NYHA: BL -> 30d | MR: BL -> 30d | EF: BL -> 30d |
|--------|-----------------------|-----------------------|------------------|------------------|
| 02-001 | (28) (1) | III -> N/A | 4 -> Trace (2) | 30% -> N/A |
| 02-002 | 116 | -> | 3+ -> 0 | 57% -> 68% |
| SAP | 96 | -> | 4+ -> 0 | 28% -> 35% |
| 02-003 | 89 | -> | 4+ -> 1+ | 58% -> 70% |
| 02-004 | N/A ⁽³⁾ | III -> N/A | 4+ -> N/A | 40% -> N/A |

- 1: Day of death
- 2: Day 25 TEE
- 3: Patient received MitraClip following Device Retrieval

Cephea's TMVR System

(1) Antegrade Delivery Approach

Trans-atrial and trans-septal

(2) Low Profile Frame Structure

Sub-annular anchoring
Minimal LVOT interference and sub-valvular injury
Enables trans-septal delivery

(3) Suspension Leaflet Central Core

Isolates leaflets function from dynamic annular compression
Flexibility in design of anchoring elements

(4) Optimized AV Hemodynamics

Smooth transition from LA to LV



Cephea's TMVR System

Positioning + Partial Deployment

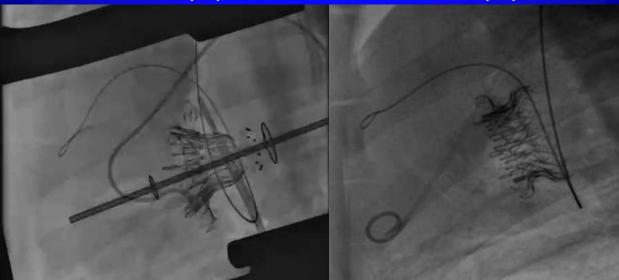
Ventricular Disk Deployment



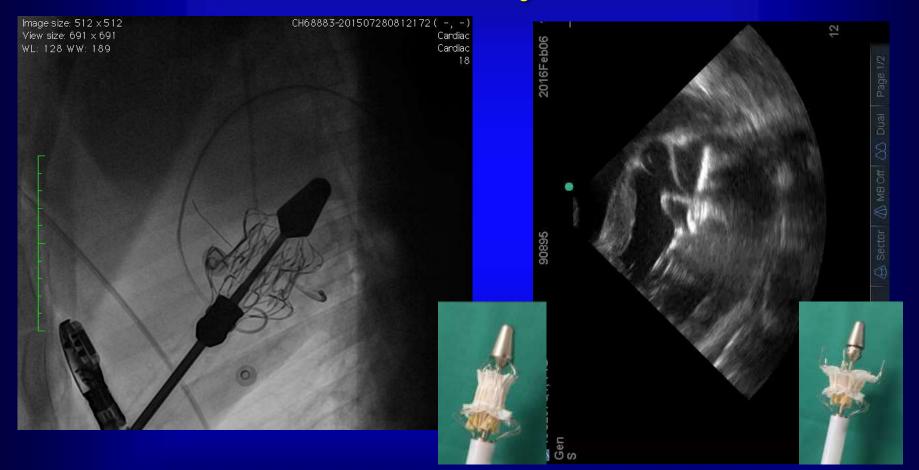
Full Device Deployment



Post-Deployment



Accufit[™] Sinomed TMVR System



AccufitTM Sinomed TMVR System

Chronic animal experiments

