

*Keynote lecture...*

# **UPSTREAM management of aortic stenosis**

**Martin B. Leon, MD**

Columbia University/NYP Hospital  
Cardiovascular Research Foundation  
New York City

**TCTAP**

**April 20-23, 2022  
Seoul, Korea**

15 mins

# Financial Disclosures - Martin B. Leon, MD

*TCTAP 2022; Seoul, Korea; April 20-23, 2022*

Within the past 12 months, I or my spouse/partner have had a financial interest/arrangement or affiliation with the organization(s) listed below

## Financial Relationship

- Research Support
- Advisory Boards\*
- Equity

## Company

Abbott, Boston Scientific,  
Edwards Lifesciences, Medtronic

Abbott, Boston Scientific, Edwards  
Lifesciences, Gore, Medtronic

Alta, Ancora, Conveyor, East End Medical,  
K2, Medinol, Pi-cardia, Triventures, Venus  
MedTech, Valve Medical, XenterMD

# Roadmap for this Lecture

UPSTREAM conceptual framework

Under-diagnosis/treatment issues

Screening tools for aortic stenosis

Pre-emptive (earlier) AVR

Pharmaco-therapeutics for AVD

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***UPSTREAM conceptual framework***

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# UPSTREAM Conceptual Framework

- Aortic stenosis is a *continuous disease process* (both congenital bicuspid and senile calcific degenerative forms) *punctuated* by various clinical events (e.g. AF, cardiac symptoms) and structural changes (e.g. LVH, PAH, RH failure).
- *Earlier management*, both diagnosis and treatment, leads to optimal clinical outcomes.
- *Delaying progression* of calcific AS before the onset of symptoms or need for AVR should be an aspirational goal.
- Clinical research efforts should shift from late-stage reactive AVR to *early-stage pre-emptive AVR and other complementary therapy approaches*.
- The availability of less-invasive low-risk transcatheter technologies combined with more durable heart valves (a work in progress) coupled to enhanced (easily accessible) early diagnosis will *transform AS patient management paradigms in the future!*

# Traditional Thinking – Aortic Stenosis

## Aortic Stenosis

By JOHN ROSS, JR., M.D. AND EUGENE BRAUNWALD, M.D.

THE ADVENT of corrective operations for various forms of heart disease has placed increasing emphasis upon the need for accurate information concerning the natural history of patients with potentially correctible lesions. An understanding of the natural course assumes particular importance in the case of aortic stenosis because of the significant incidence of sudden death associated with this disease and the grave prognosis that appears to accompany the onset of certain symptoms,

patients with isolated valvular aortic stenosis of rheumatic etiology and patients without a history of rheumatic fever who have isolated calcific aortic stenosis; many of the latter patients are now considered to have developed calcification and stenosis of a congenitally bicuspid valve.<sup>1</sup> The review will focus primarily on the prognostic significance of three major symptoms—angina pectoris, syncope, and symptoms related to left ventricular failure

From the Cardiology B  
stitute, Bethesda, Maryland

Supplement V to Circulation

***... the grave prognosis that appears to accompany the onset of certain symptoms***

# Traditional Thinking – Aortic Stenosis

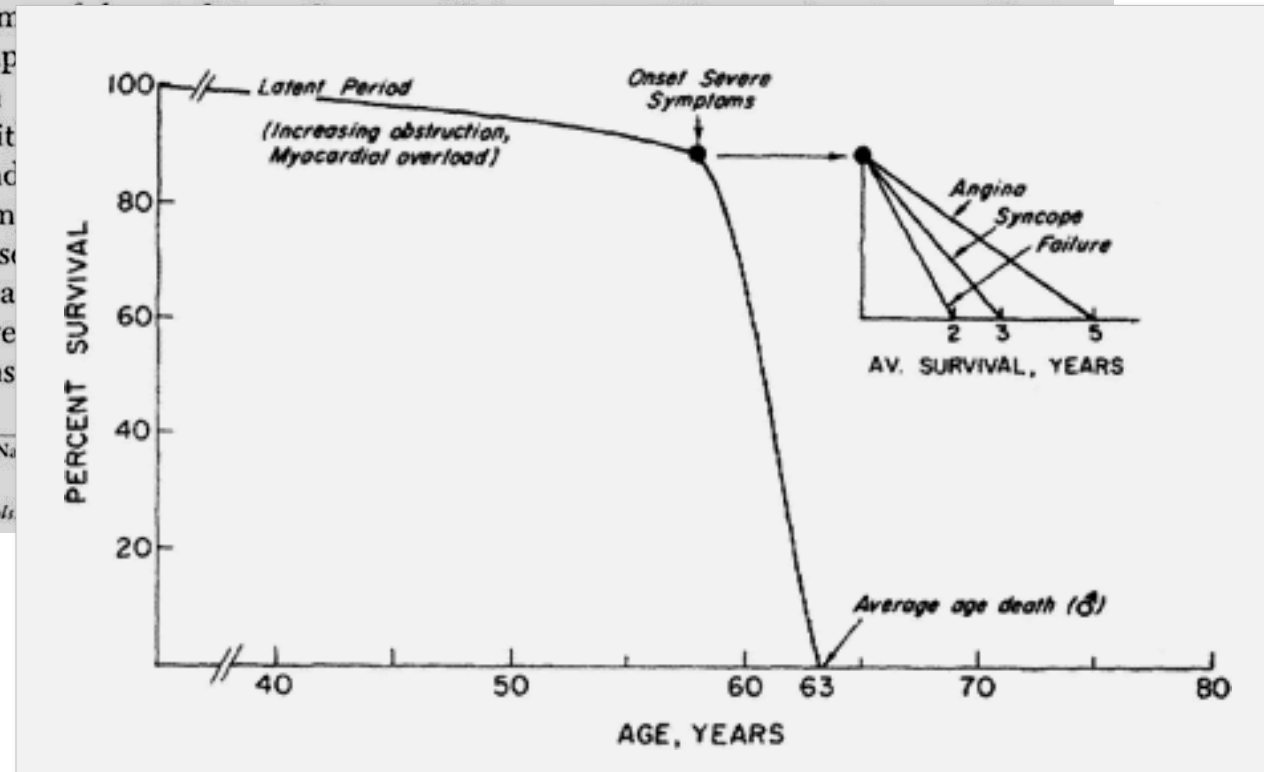
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From the Cardiology Branch, National Institutes of Health, Bethesda, Maryland.

Supplement V to *Circulation*, Vol. 38, No. 5, May 1968, pp. 869-874.



# Traditional Thinking – Aortic Stenosis

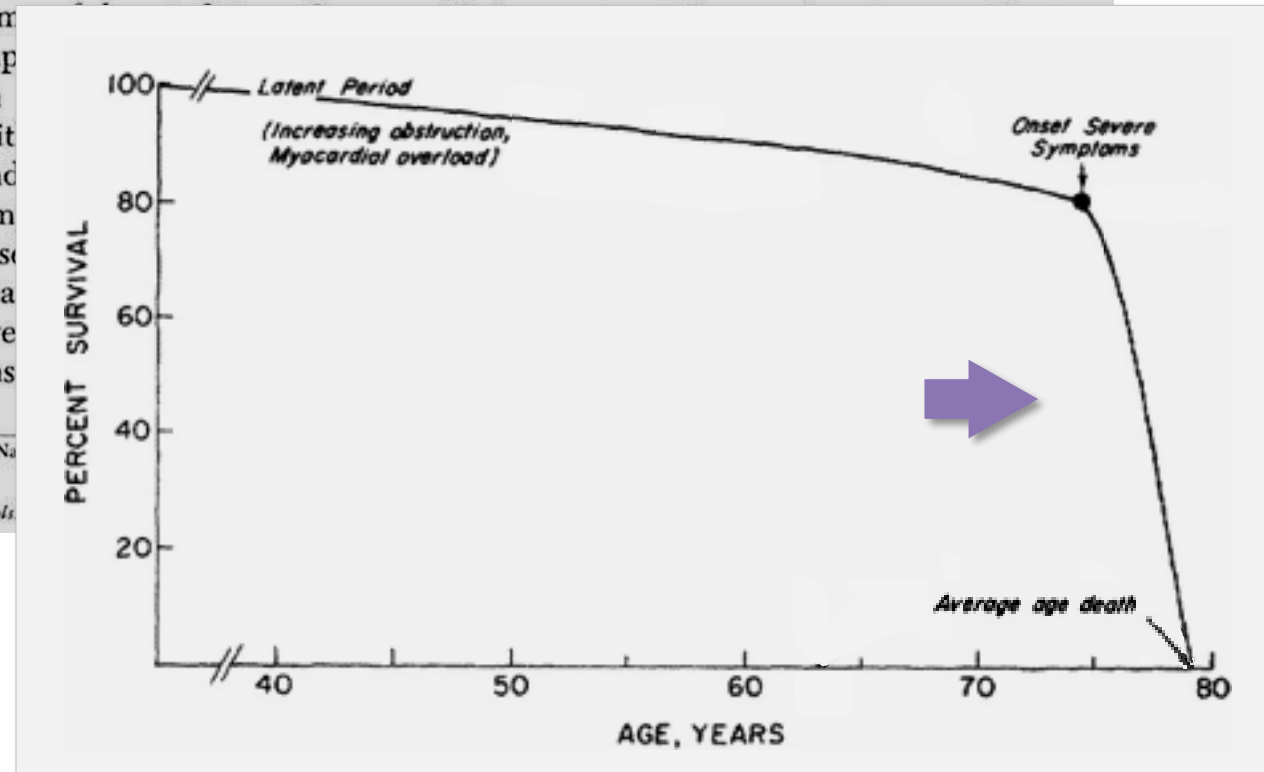
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From the Cardiology Branch, National Institutes of Health, Bethesda, Maryland.

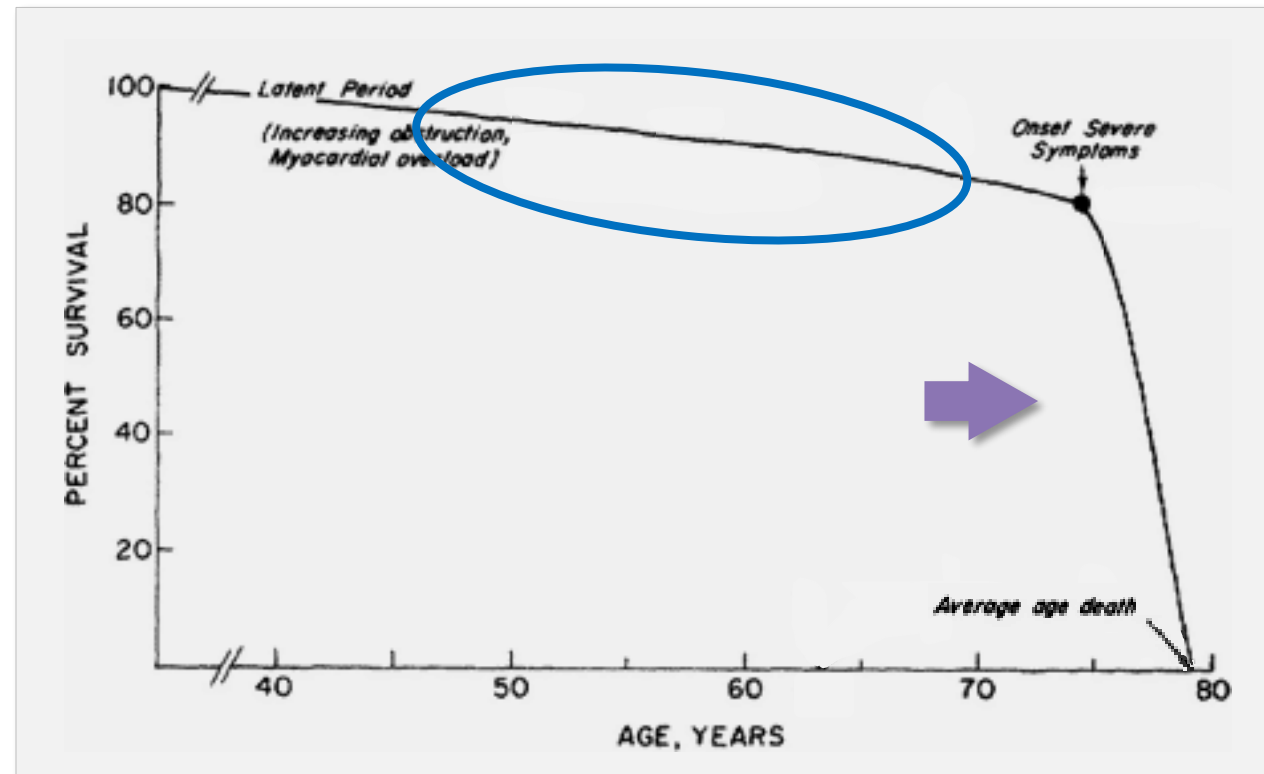
Supplement V to *Circulation*, Vol. 38





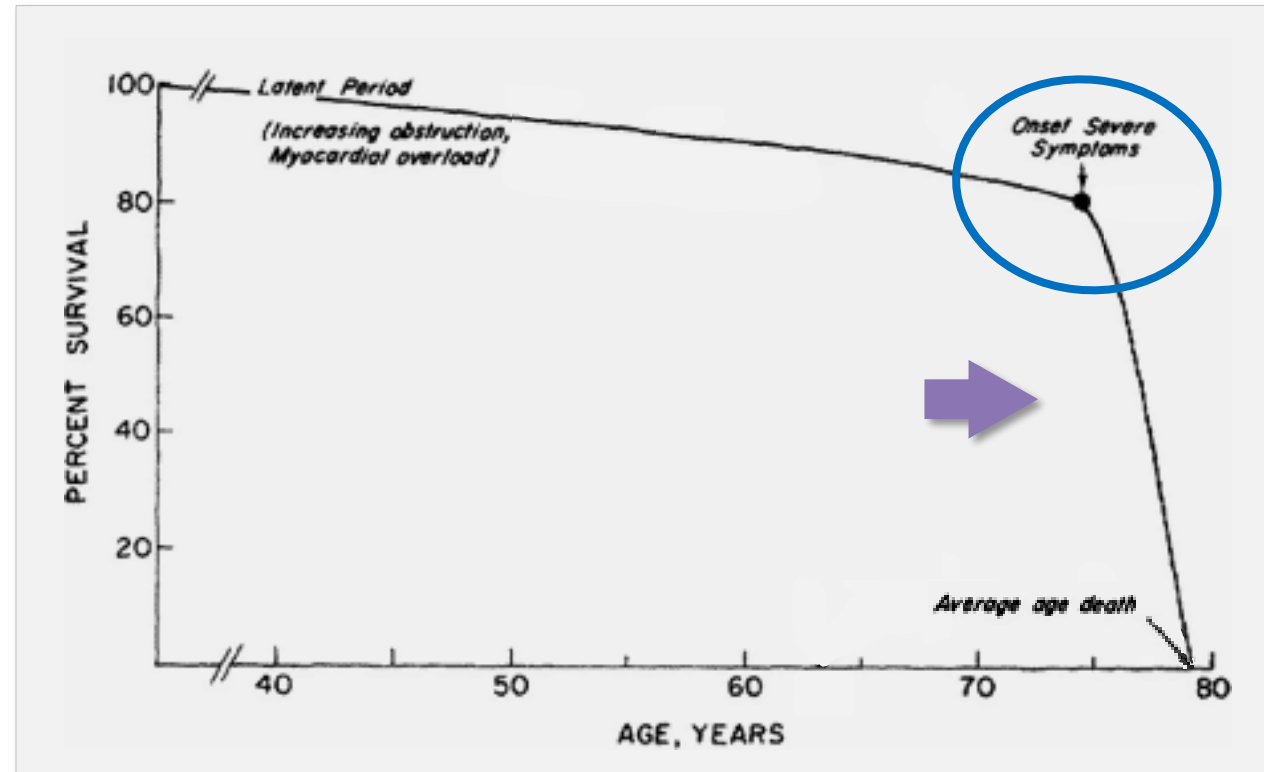
# Traditional Thinking – Aortic Stenosis

**Fundamental fallacies:** *1. there are no important reversible and irreversible structural changes during the so-called latent period which negatively impact subsequent clinical outcomes*



# Traditional Thinking – Aortic Stenosis

**Fundamental fallacies:** 2. *the onset of symptoms is discrete, easily identifiable (even in the elderly), and is inexorably linked to aortic stenosis severity*



# UPSTREAM Thinking – Aortic Stenosis

## Aortic Stenosis

By JOHN

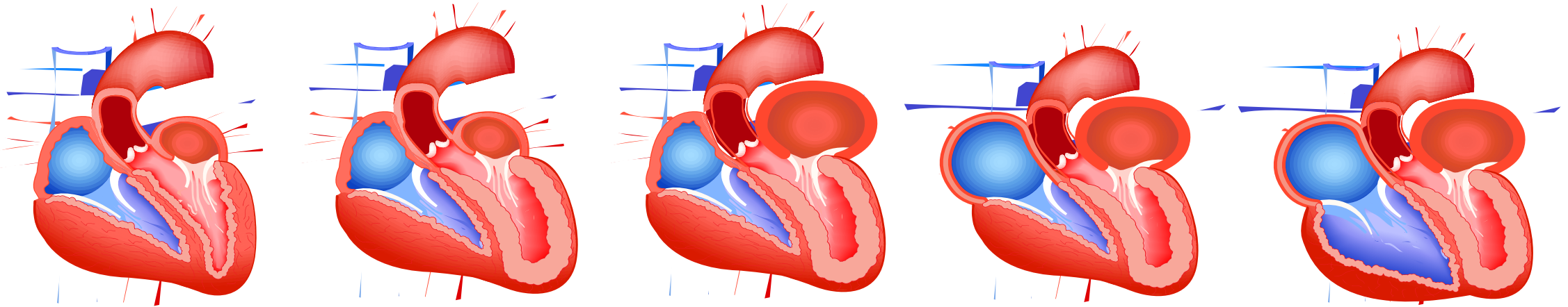
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*Supplement V to Circulation, Vols. XXXV*



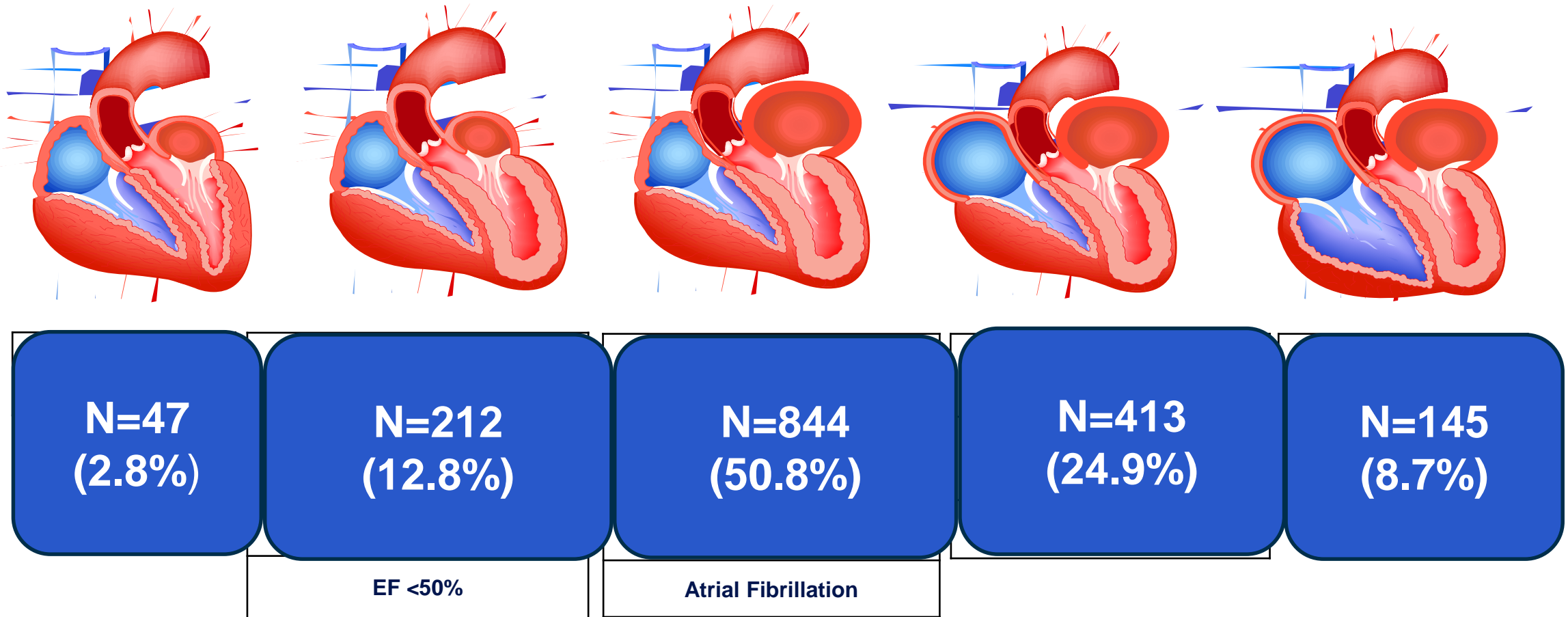
# Staging Classification in Severe AS (n=1,661 pts)



<b>Stage 0</b> No damage	<b>Stage 1</b> LV damage	<b>Stage 2</b> LA/Mitral damage	<b>Stage 3</b> PA/Tricuspid damage	<b>Stage 4</b> RV damage
	Increased LV Mass Index >115 g/m <sup>2</sup> Male >95 g/m <sup>2</sup> Female	Indexed left atrial volume >34mL/m <sup>2</sup>	PAS ≥60mmhg	Moderate-Severe RV dysfunction
	E/e' >14	Moderate-Severe MR	Moderate-Severe TR	
	EF <50%	Atrial Fibrillation		

*Patients hierarchically classified based on the presence of at least one variable in the highest stage (independent, not additive)*

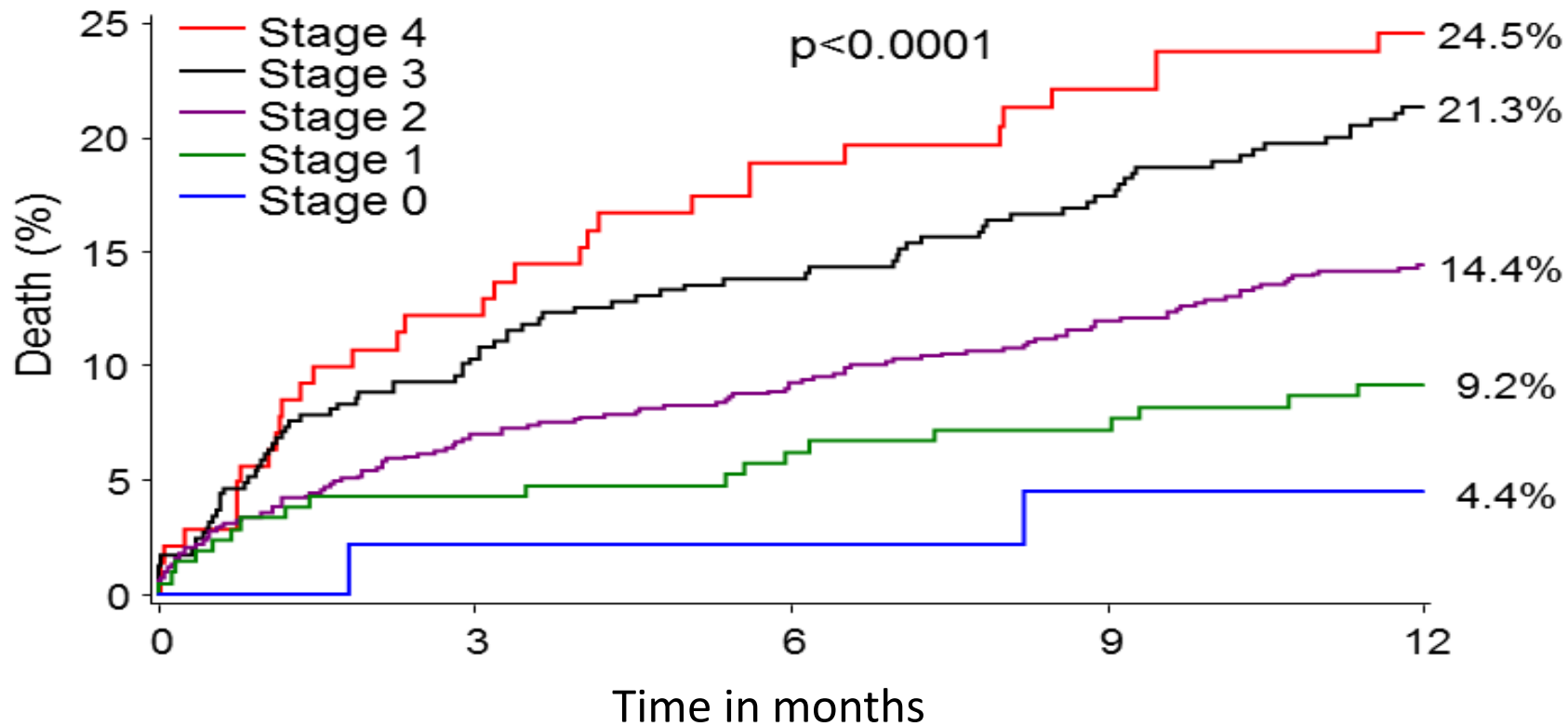
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# Staging Classification in Severe AS (n=1,661 pts)

## One-year Mortality after AVR

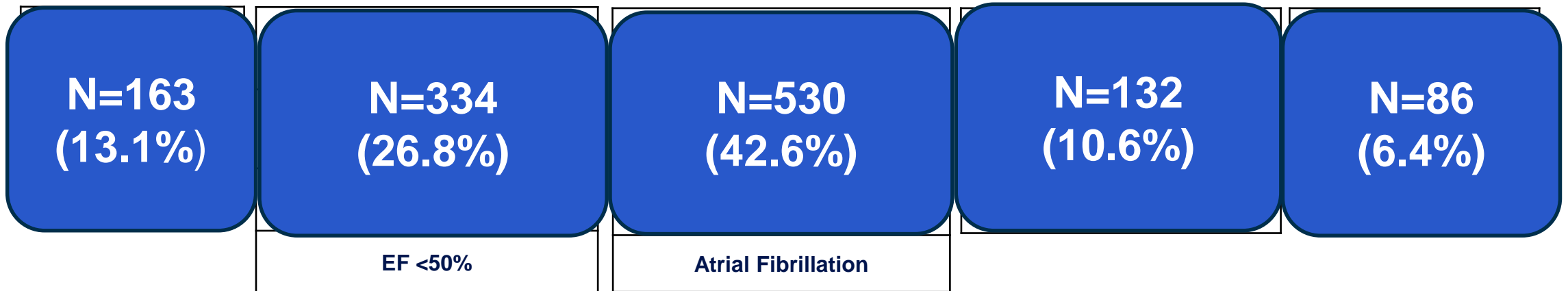
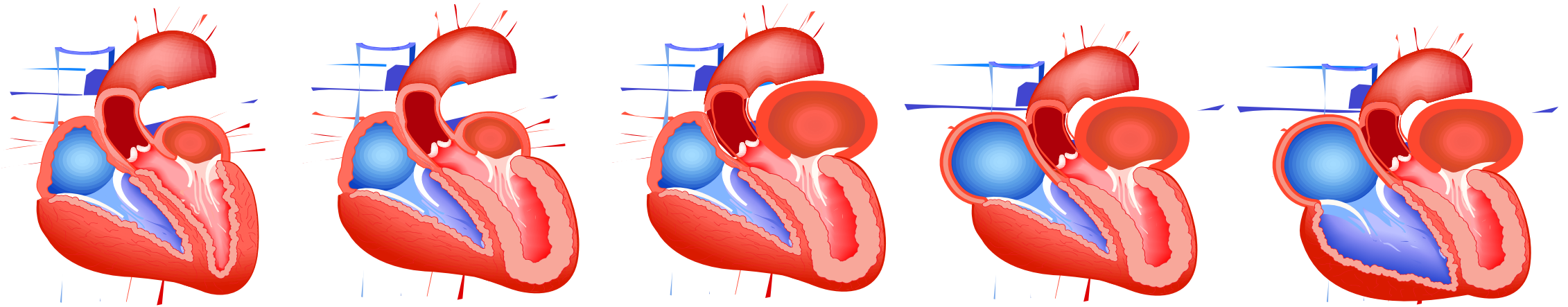


# Prognostic Implications of Associated Cardiac Abnormalities Detected on Echocardiography in Patients With Moderate Aortic Stenosis

Mohammed Rizwan Amanullah, MBBS,<sup>a,\*</sup> Stephan Milhorini Pio, MD,<sup>b,\*</sup> Arnold C.T. Ng, MBBS, PhD,<sup>c</sup> Kenny Y.K. Sin, MBBS,<sup>d</sup> Nina Ajmone Marsan, MD, PhD,<sup>b</sup> Zee Pin Ding, MBBS,<sup>a</sup> Martin B. Leon, MD,<sup>e</sup> Philippe G n reux, MD,<sup>f</sup> Victoria Delgado, MD, PhD,<sup>b</sup> See Hooi Ewe, MBBS, PhD,<sup>a</sup> Jeroen J. Bax, MD, PhD<sup>b</sup>

- 1245 patients with moderate AS followed in a longitudinal database
- Patients grouped according to index echocardiograms into 5 categories of severity of cardiac damage
- Significant higher mortality rates with increasing extent of extra-aortic valvular cardiac abnormalities (log-rank  $p < 0.001$ )

# Staging Classification in Moderate AS (n=1,245 pts)

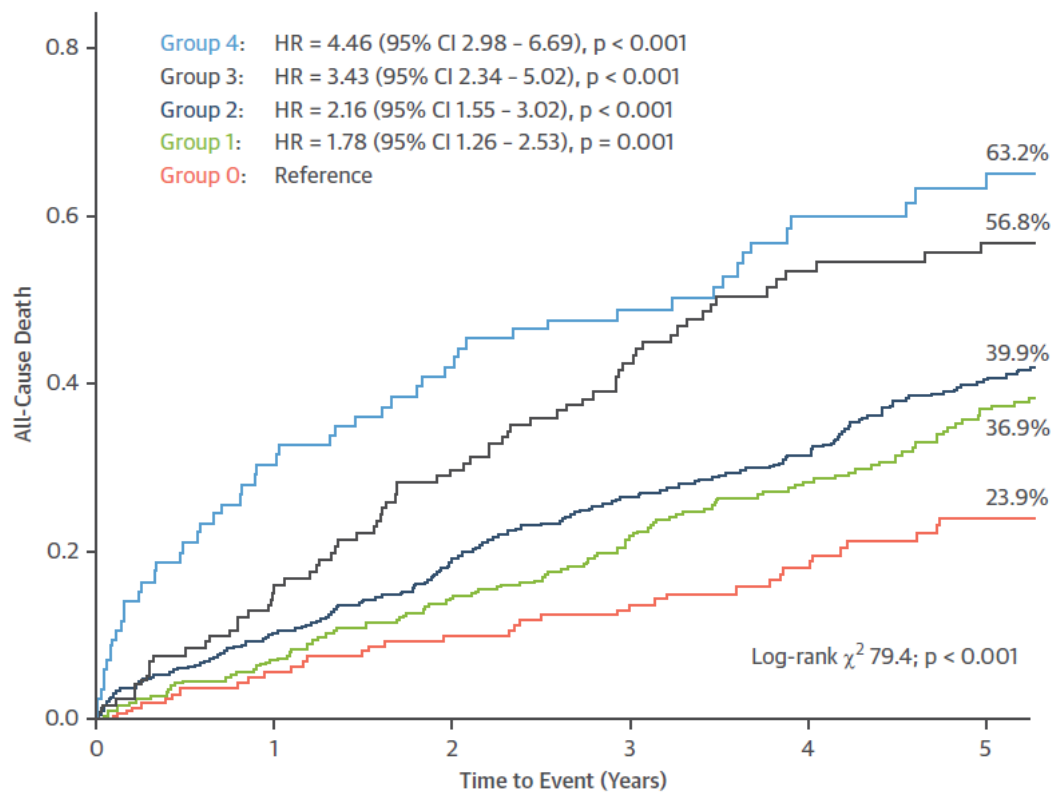


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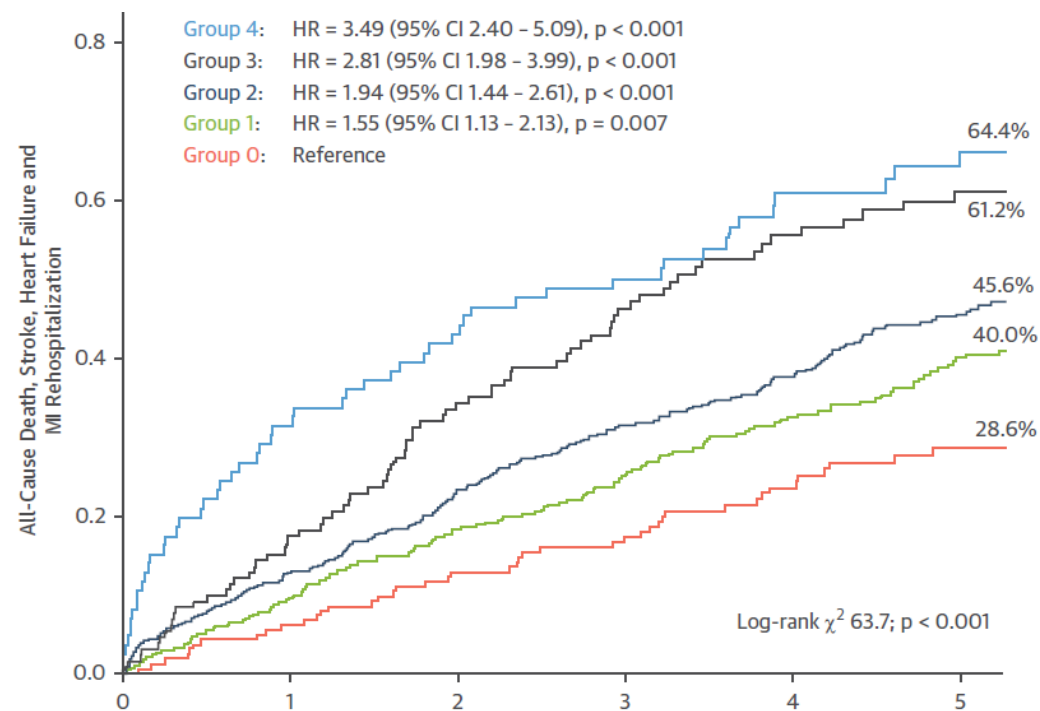


# Staging Classification in Moderate AS (n=1,245 pts)

## 5-yr Mortality



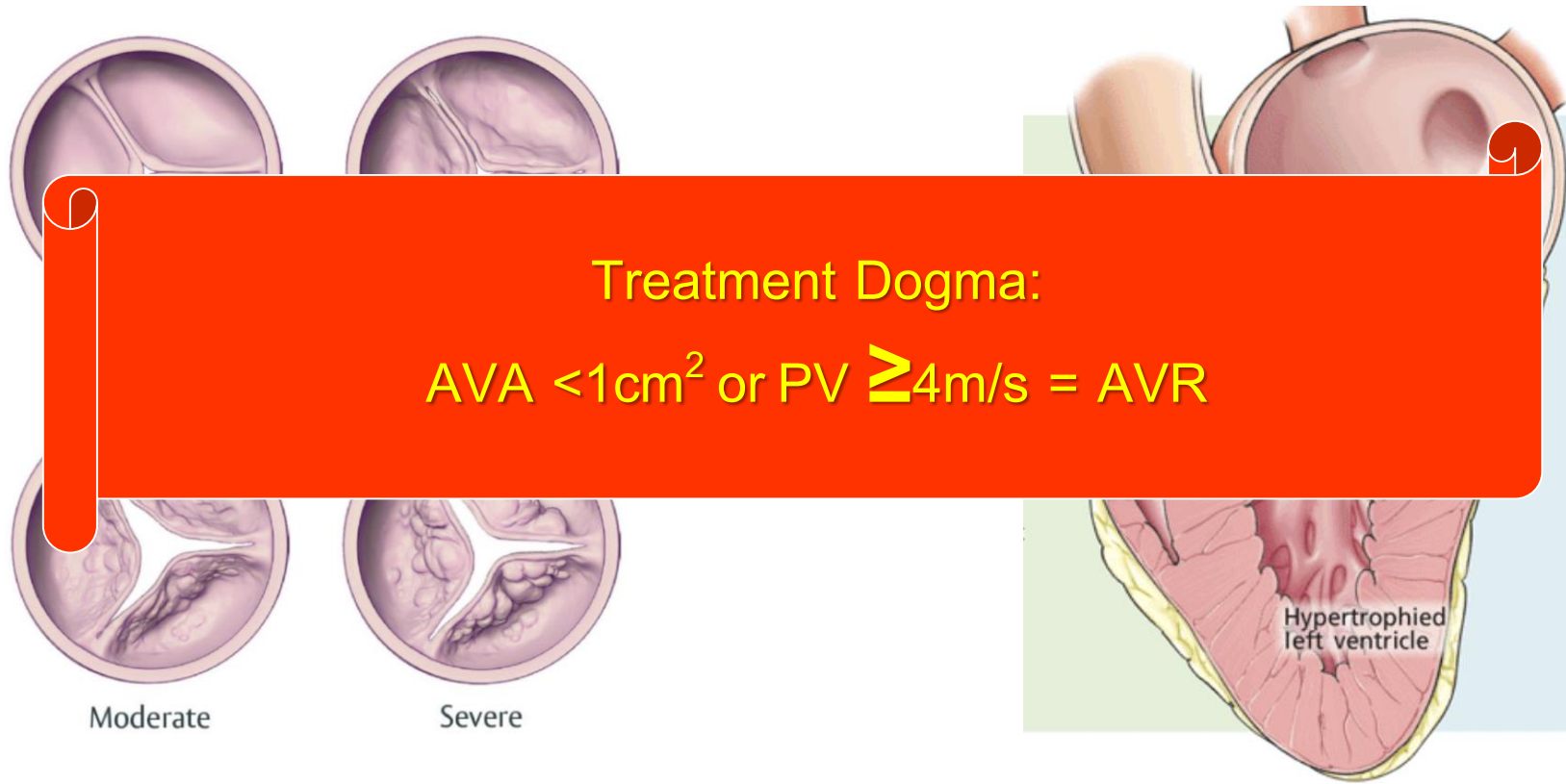
## 5-yr Mortality, Stroke or Rehosp



# Aortic Valve Therapies: The Future?

## *UPSTREAM AS Treatment*

*Two parallel processes with 'variable' linkage*



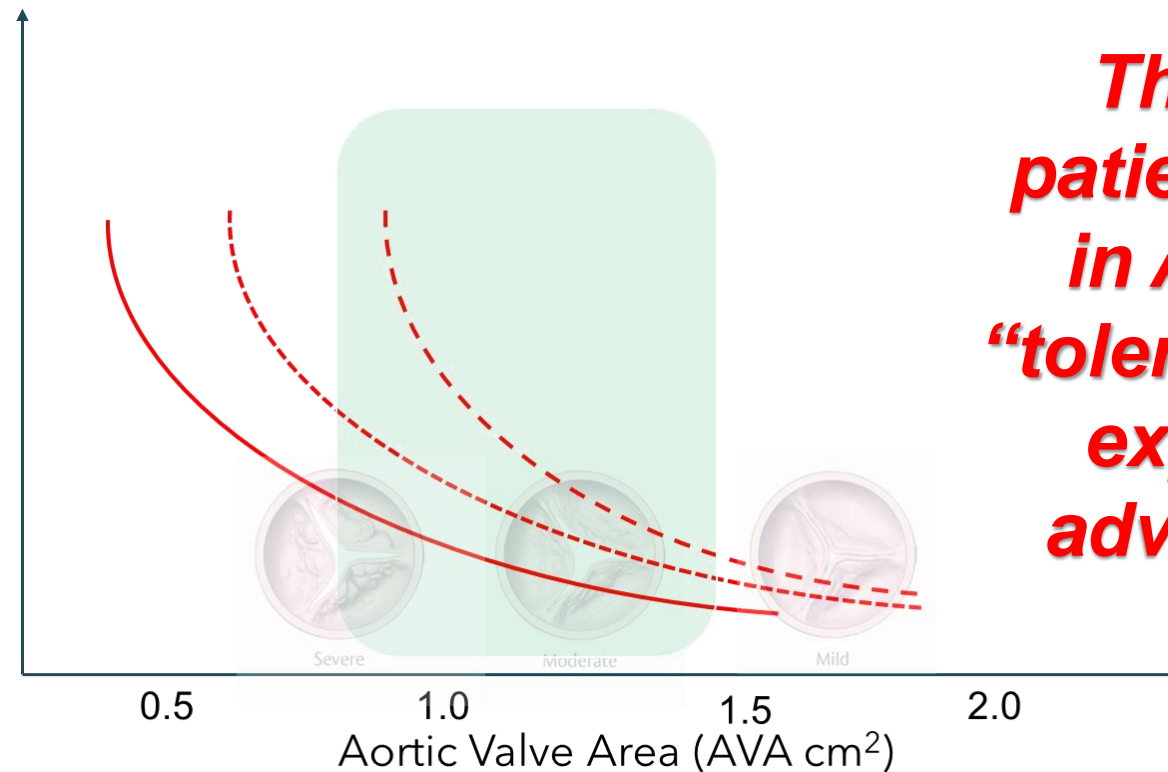
# Aortic Valve Therapies: The Future?

## *UPSTREAM AS Treatment*

*At what AS severity do adverse events occur?*

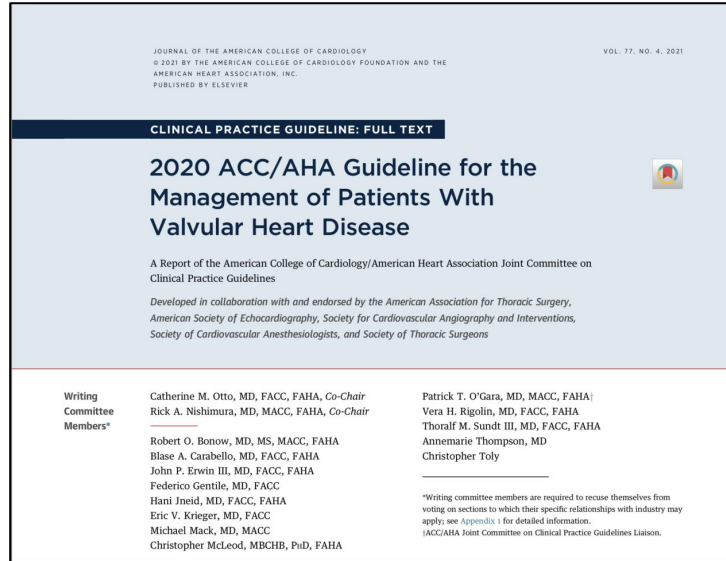
### **Adverse Events**

- Mortality
- Valve-related symptoms
- Cardiac damage



***There is wide patient variability in AS afterload “tolerance” and the expression of adverse events!***

# VHD Guidelines - Timing of Intervention for AS



COR	LOE	RECOMMENDATIONS
1	A	1. In adults with severe high-gradient AS (Stage D1) and symptoms of exertional dyspnea, HF, angina, syncope, or presyncope by history or on exercise testing, AVR is indicated (74–80).
1	B-NR	2. In asymptomatic patients with severe AS and an LVEF <50% (Stage C2), AVR is indicated (81–84).
1	B-NR	3. In asymptomatic patients with severe AS (Stage C1) who are undergoing cardiac surgery for other indications, AVR is indicated (57,63,85–87).
1	B-NR	4. In symptomatic patients with low-flow, low-gradient severe AS with reduced LVEF (Stage D2), AVR is recommended (88–95).
1	B-NR	5. In symptomatic patients with low-flow, low-gradient severe AS with normal LVEF (Stage D3), AVR is recommended if AS is the most likely cause of symptoms (96–98).

**5 Class 1 recommendations**

**All severe AS and 3/5 with symptoms**

# Roadmap for this Lecture

UPSTREAM conceptual framework

*Under-diagnosis/treatment issues*

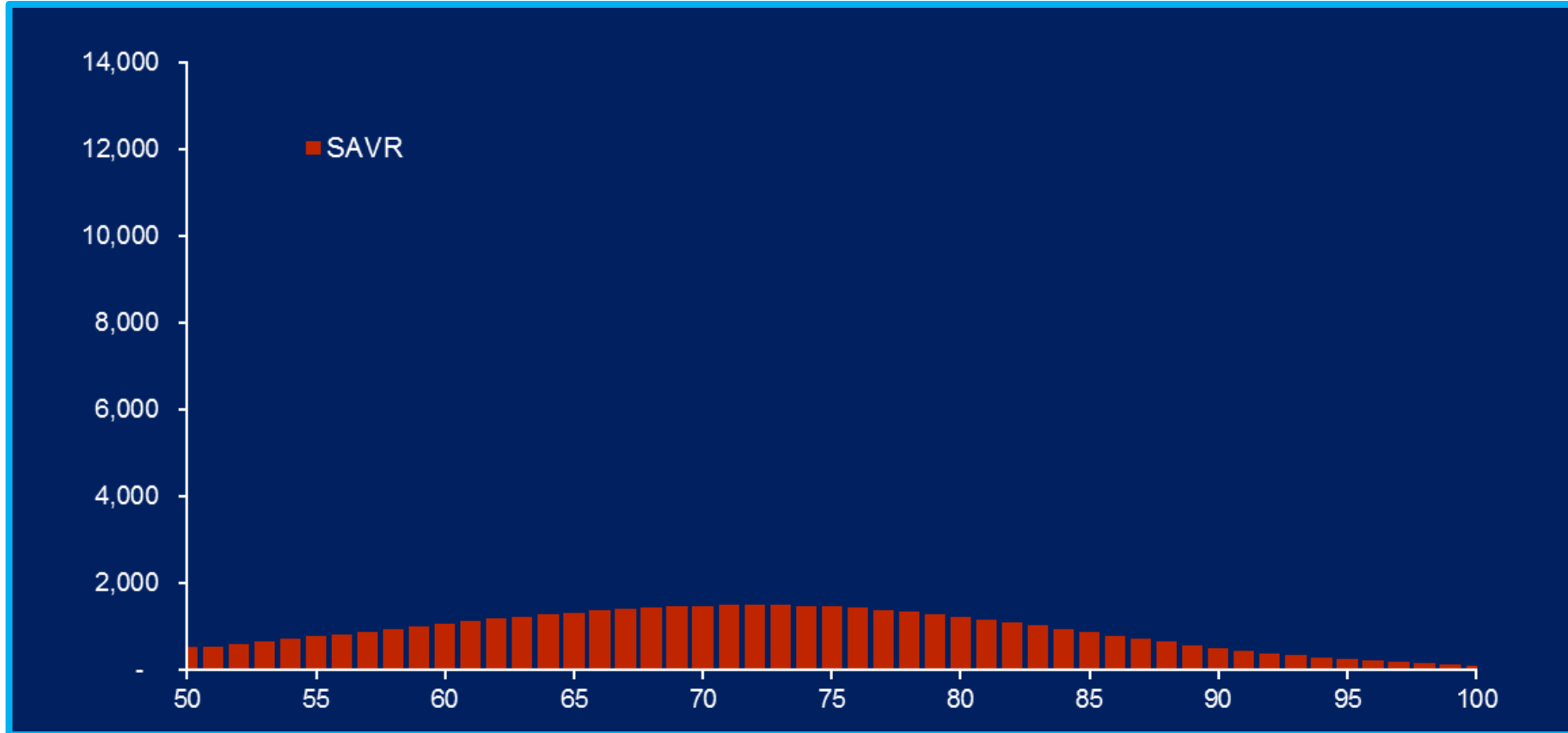
Screening tools for aortic stenosis

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Pharmaco-therapeutics for AVD

# Underdiagnosis and Undertreatment Issues

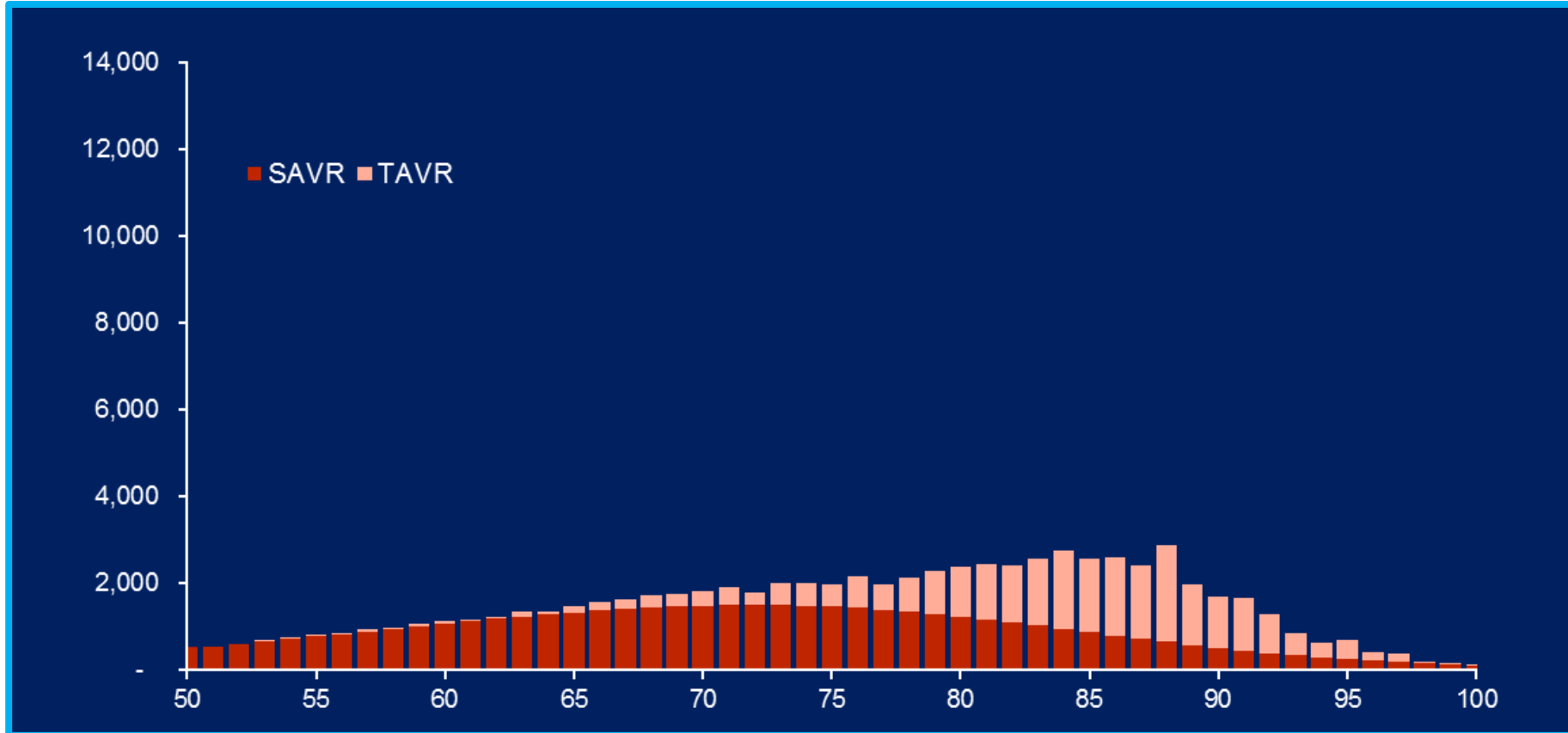
## 2015 Severe Symptomatic AS Patients in the U.S.



Nkomo 2006, Iivanainen 1996, Aronow 1991, Bach 2007, Freed 2010, Lung 2007, Pellikka 2005, Brown 2008, Thourani 2015

# Underdiagnosis and Undertreatment Issues

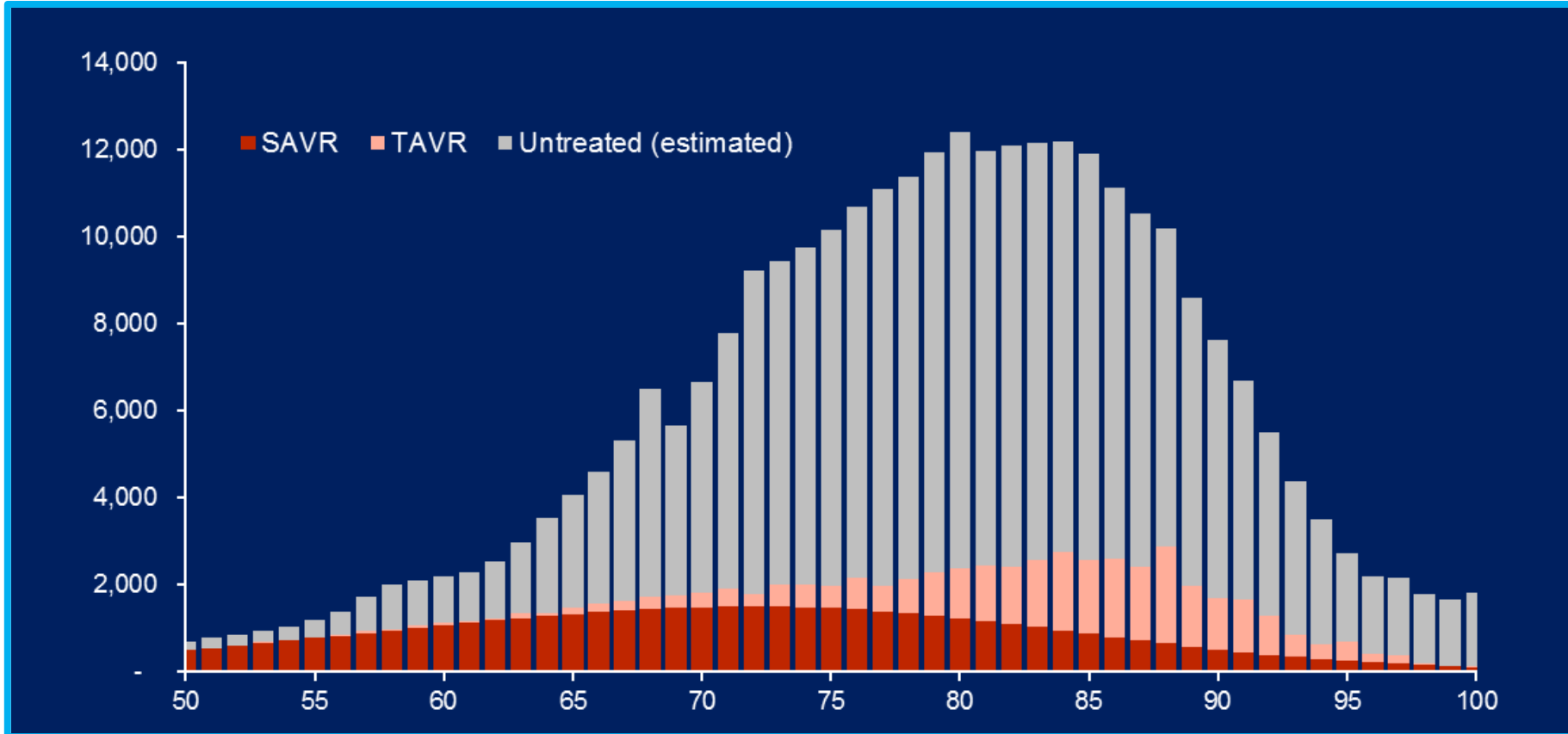
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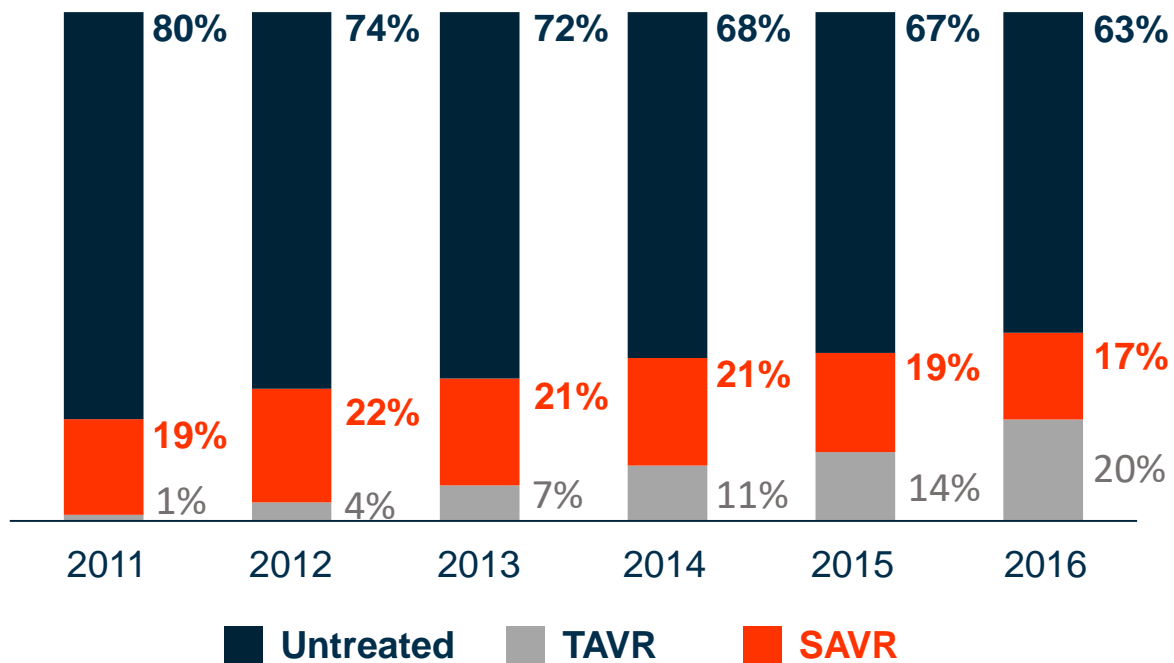




# Severe Symptomatic AS Undertreatment

*OPTUM database (80 million people)*

AVR treatment rate 1 year after diagnosis for cohort of patients with diagnosed ssAS (%)<sup>1</sup>



Over  
60%

of diagnosed  
symptomatic severe  
Aortic Stenosis (ssAS)  
patients went  
*untreated* in 2016

Treatment rates of  
ssAS have risen



As TAVR volume  
has grown

# Underdiagnosis and Undertreatment Issues

JAMA Cardiology | **Original Investigation**

## Racial, Ethnic, and Socioeconomic Disparities in Access to Transcatheter Aortic Valve Replacement Within Major Metropolitan Areas

Ashwin S. Nathan, MD, MS; Lin Yang, MS; Nancy Yang, BA; Lauren A. Eberly, MD, MPH;  
Sameed Ahmed M. Khatana, MD, MPH; Elias J. Dayoub, MD, MPP, MS; Sreekanth Vemulapalli, MD;  
Howard Julien, MD, MPH; David J. Cohen, MD, MSc; Brahmajee K. Nallamothu, MD, MPH;  
Suzanne J. Baron, MD, MSc; Nimesh D. Desai, MD, PhD; Wilson Y. Szeto, MD; Howard C. Herrmann, MD;  
Peter W. Groeneveld, MD, MS; Jay Giri, MD, MPH; Alexander C. Fanaroff, MD, MHS

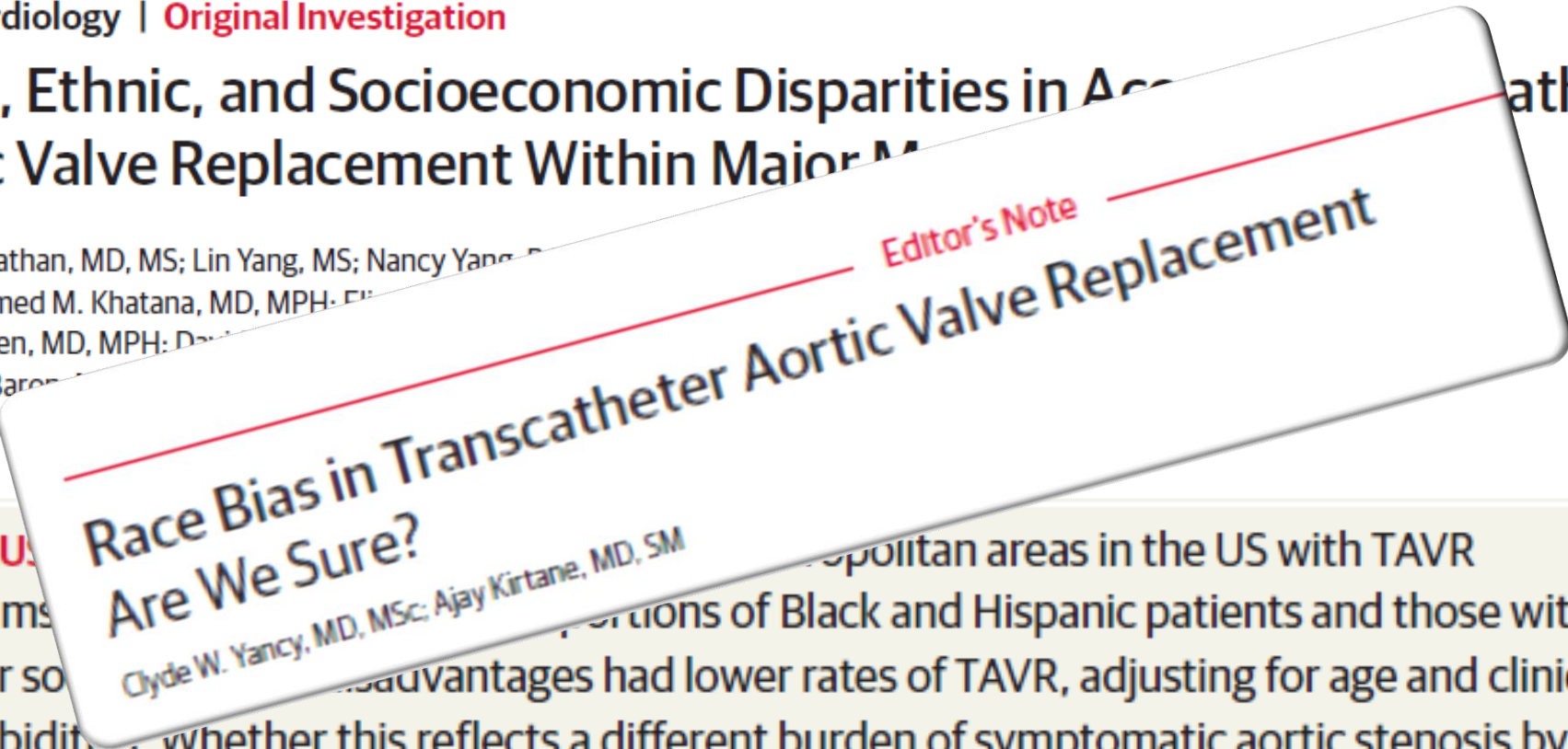
**DESIGN, SETTING, AND PARTICIPANTS** This multicenter, nationwide cross-sectional analysis of Medicare claims data between January 1, 2012, and December 31, 2018, included beneficiaries of fee-for-service Medicare who were 66 years or older living in the 25 largest metropolitan core-based statistical areas.

# Underdiagnosis and Undertreatment Issues

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**CONCLUSION**

### Race Bias in Transcatheter Aortic Valve Replacement: Are We Sure?

Clyde W. Yancy, MD, MSc; Ajay Kirtane, MD, SM

Major metropolitan areas in the US with TAVR programs. Disparities of Black and Hispanic patients and those with greater socioeconomic disadvantages had lower rates of TAVR, adjusting for age and clinical comorbidities. Whether this reflects a different burden of symptomatic aortic stenosis by race and socioeconomic status or disparities in use of TAVR requires further study.

# Roadmap for this Lecture

UPSTREAM conceptual framework

Under-diagnosis/treatment issues

***Screening tools for aortic stenosis***

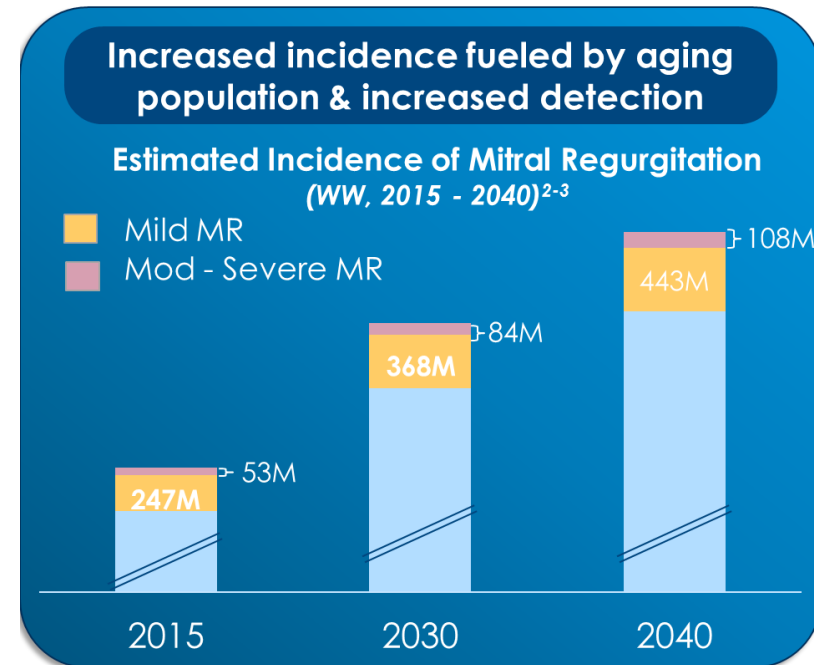
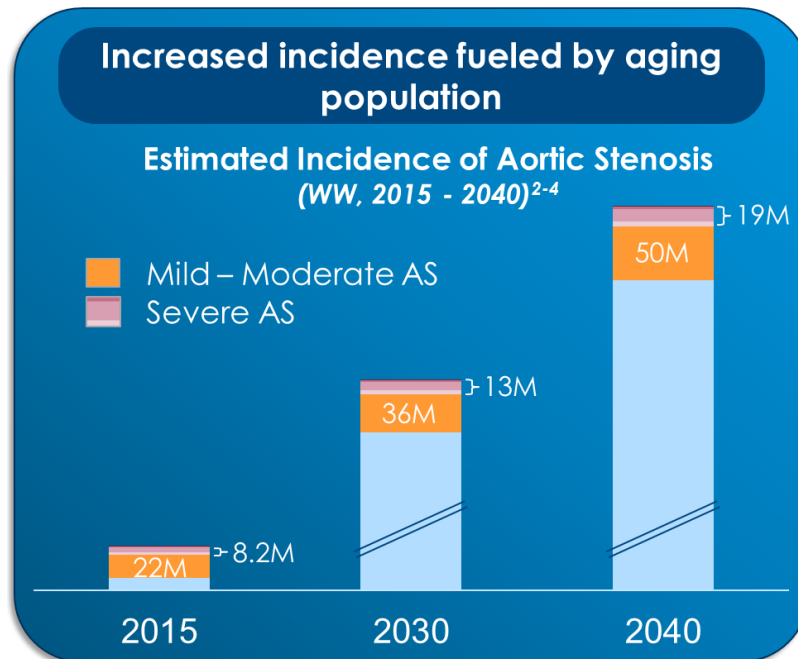
Pre-emptive (earlier) AVR

Pharmaco-therapeutics for AVD

# Valvular Heart Disease Therapies: The Future?

## *Growth and Access to Care*

Global mod-severe AS/MR incidence >150 million in 2040



**Access to care CRISIS:** under-diagnosis and under-treatment; Example: in the U.S., < 30% pts with severe symp AS receive AVR (surgery or TAVR) within 1yr of diagnosis!

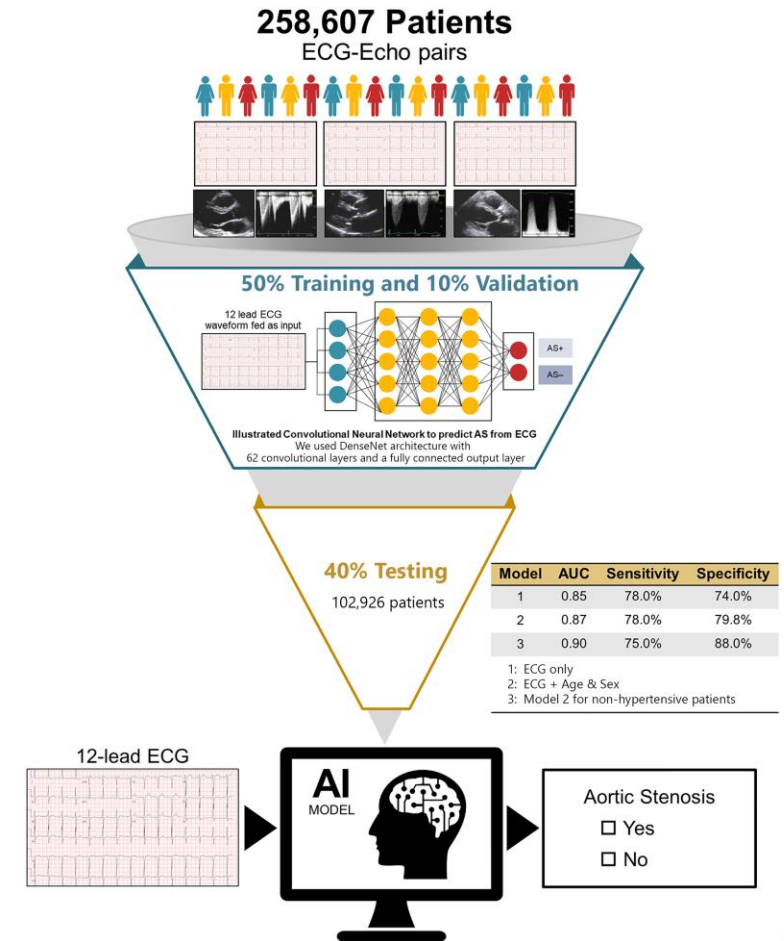
# Future Screening Tools for Valvular Heart Disease

## Artificial Intelligence/Machine Learning

### Electrocardiogram screening for aortic valve stenosis using artificial intelligence

Michal Cohen-Shelly <sup>1</sup>, Zachi I. Attia <sup>1</sup>, Paul A. Friedman <sup>1</sup>, Saki Ito <sup>1</sup>, Benjamin A. Essayagh <sup>1</sup>, Wei-Yin Ko <sup>1</sup>, Dennis H. Murphree <sup>1</sup>, Hector I. Michelena <sup>1</sup>, Maurice Enriquez-Sarano <sup>1</sup>, Rickey E. Carter <sup>2</sup>, Patrick W. Johnson <sup>2</sup>, Peter A. Noseworthy <sup>1</sup>, Francisco Lopez-Jimenez <sup>1</sup>, and Jae K. Oh <sup>1\*</sup>

In the test group, the AI-ECG labelled 3833 (3.7%) patients as positive with the area under the curve (AUC) of 0.85. The sensitivity, specificity, and accuracy were 78%, 74%, and 74%, respectively.

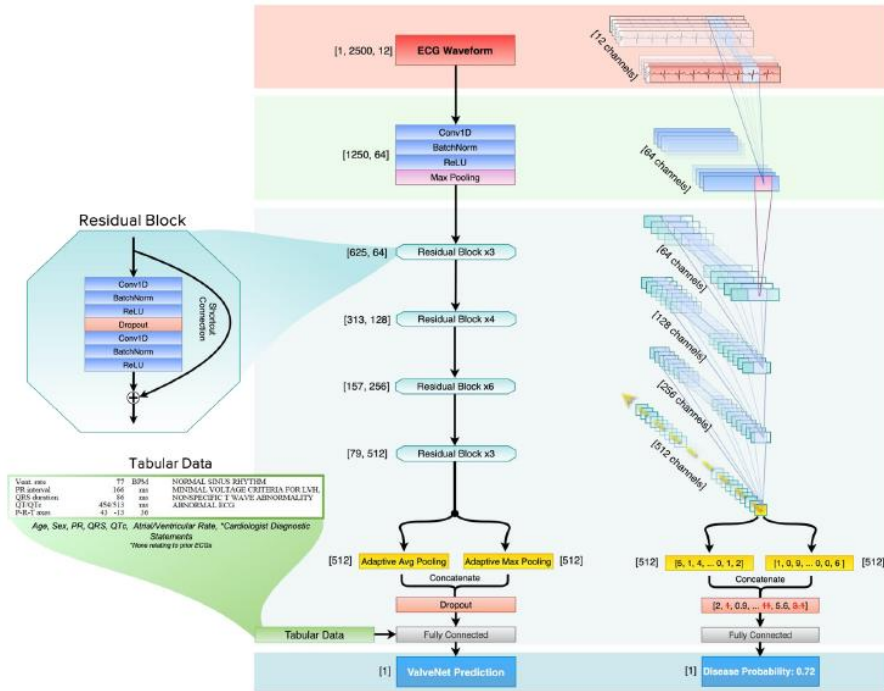


# Future Screening Tools for Valvular Heart Disease

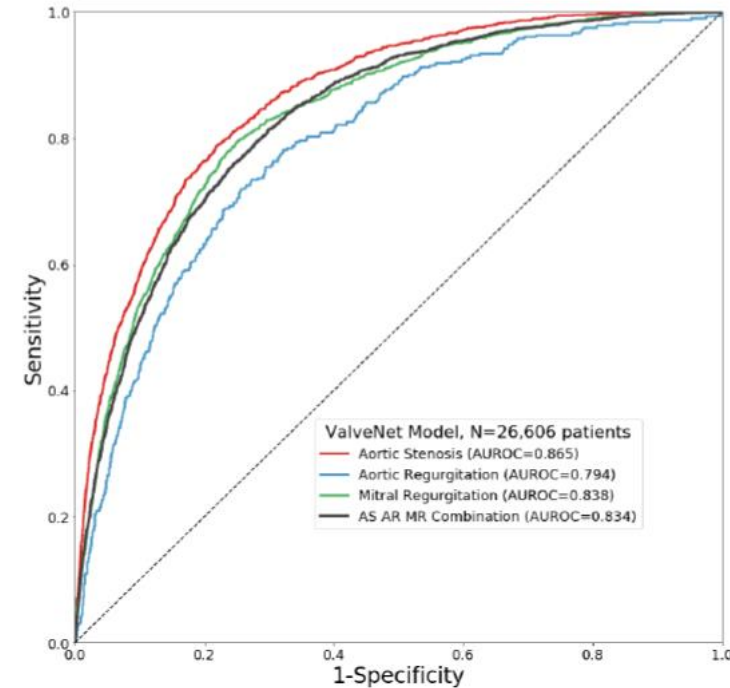
## Artificial Intelligence/Machine Learning

### JACC and TVT 2021

An artificial intelligence model for the detection of aortic stenosis, aortic regurgitation, and mitral regurgitation from electrocardiograms



AUROC per Valvular Disease and Combination Model in Test Cohort



Columbia test set model performance: AU-ROC for AS was 0.865 (95% CI 0.850-0.878), AR 0.794 (0.760-0.826), MR 0.838 (0.822-0.853) and AS/AR/MR 0.834 (0.822-0.846)

# Future Screening Tools for Valvular Heart Disease

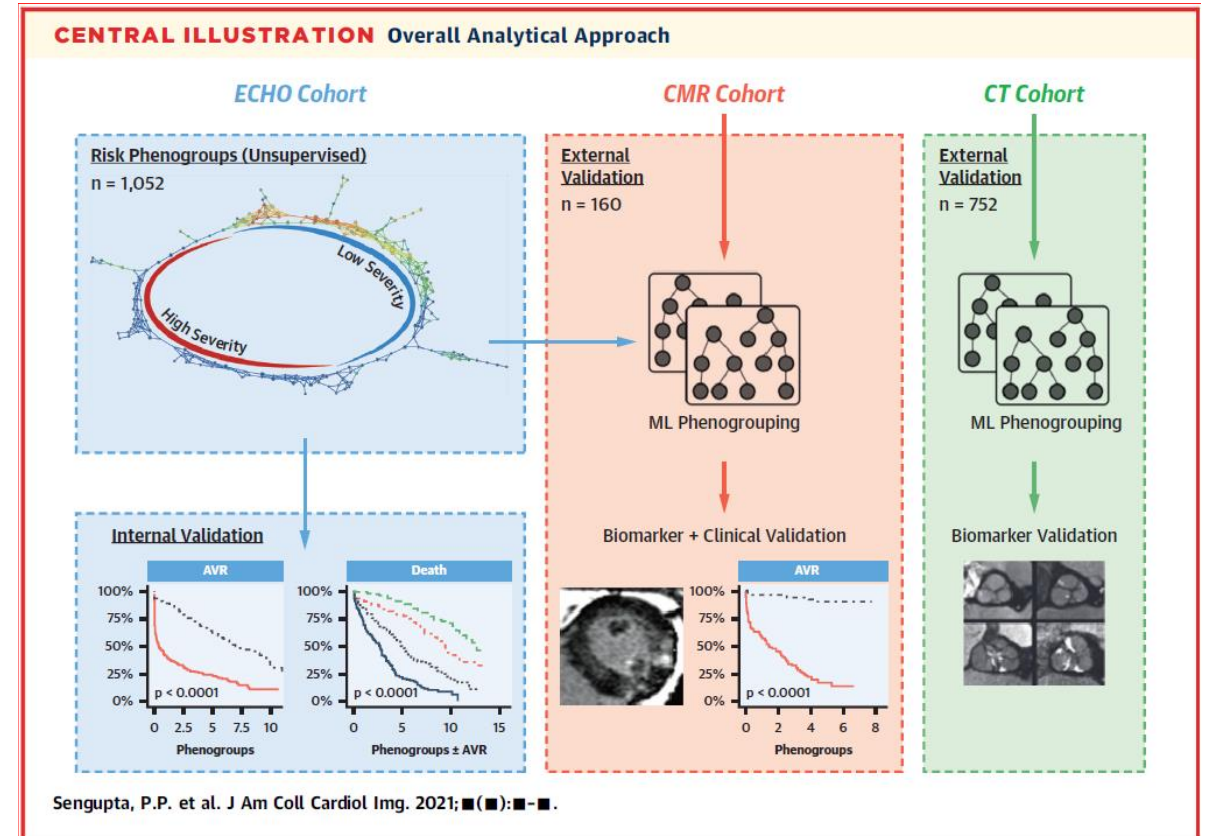
## Artificial Intelligence/Machine Learning

### A Machine-Learning Framework to Identify Distinct Phenotypes of Aortic Stenosis Severity

Partho P. Sengupta, MD, DM,<sup>a</sup> Sirish Shrestha, MS,<sup>a</sup> Nobuyuki Kagiyama, MD, PhD,<sup>a</sup> Yasmin Hamirani, MD,<sup>a</sup> Hemant Kulkarni, MD,<sup>a,b</sup> Naveena Yanamala, PhD,<sup>a</sup> Rong Bing, MBBS,<sup>c</sup> Calvin W.L. Chin, MD, PhD,<sup>d</sup> Tania A. Pawade, MD, PhD,<sup>c</sup> David Messika-Zeitoun, MD,<sup>e</sup> Lionel Tastet, MSc,<sup>f</sup> Mylène Shen, PhD,<sup>f</sup> David E. Newby, MD, PhD,<sup>c</sup> Marie-Annick Clavel, DVM, PhD,<sup>f</sup> Philippe Pibarot, DVM, PhD,<sup>f</sup> Marc R. Dweck, MD, PhD,<sup>c</sup> for the Artificial Intelligence for Aortic Stenosis at Risk International Consortium

#### Conclusions:

Machine learning can integrate ECHO measurements to augment the classification of disease severity in most patients with AS, with major potential to optimize the timing of AVR. (JACC Imaging 2021)





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# The RECOVERY Surgical AVR Trial

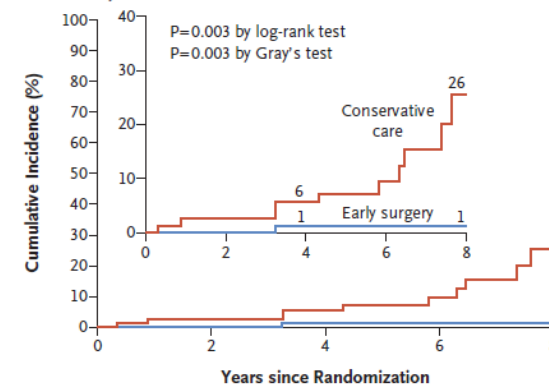


## Early Surgery or Conservative Care for Asymptomatic Aortic Stenosis

Duk-Hyun Kang, M.D., Ph.D., Sung-Ji Park, M.D., Ph.D., Seung-Ah Lee, M.D., Sahmin Lee, M.D., Ph.D., Dae-Hee Kim, M.D., Ph.D., Hyung-Kwan Kim, M.D., Ph.D., Sung-Cheol Yun, Ph.D., Geu-Ru Hong, M.D., Ph.D., Jong-Min Song, M.D., Ph.D., Cheol-Hyun Chung, M.D., Ph.D., Jae-Kwan Song, M.D., Ph.D., Jae-Won Lee, M.D., Ph.D., and Seung-Woo Park, M.D., Ph.D.

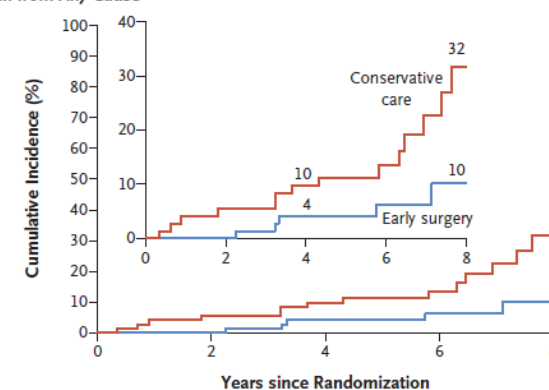
- 145 asymptomatic patients w very severe AS randomized to early surgery or conservative care
- 1<sup>ry</sup> endpoint (operative and FU death) was 1% vs. 15% in early surgery vs. conservative care (P=0.003)

A Operative Mortality or Death from Cardiovascular Causes



No. at Risk	0	2	4	6	8
Conservative care	72	68	65	36	12
Early surgery	73	73	70	38	13

B Death from Any Cause

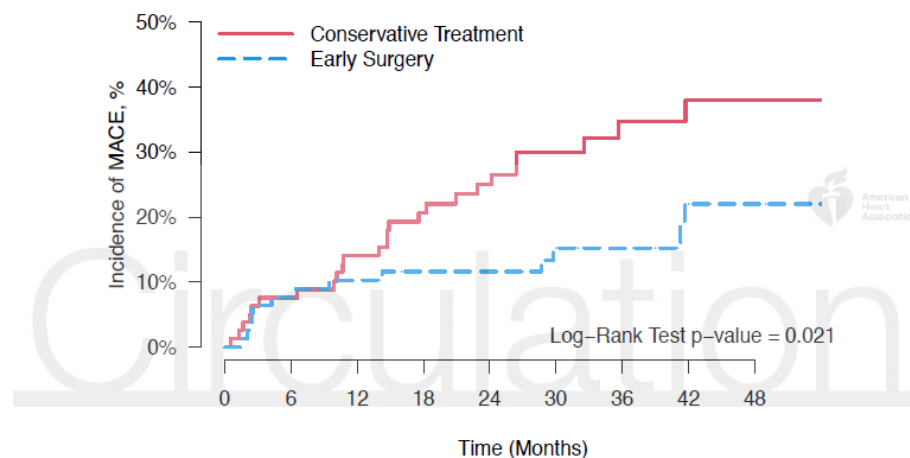


No. at Risk	0	2	4	6	8
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# The AVATAR Surgical AVR Trial

## Aortic Valve Replacement versus Conservative Treatment In Asymptomatic Severe Aortic Stenosis: The AVATAR Trial

- 157 asymptomatic patients (ETT confirmed) w severe AS, randomized to early surgery or conservative care at 9 centers from 7 EU countries; median FU 32 months
- Early surgery operative mortality 1.4%
- 1<sup>ry</sup> endpoint (MACE = death, MI, stroke and HF rehos) was lower with early surgery vs. conservative care (HR 0.46, 95% CI 0.23-0.90; p=0.02)



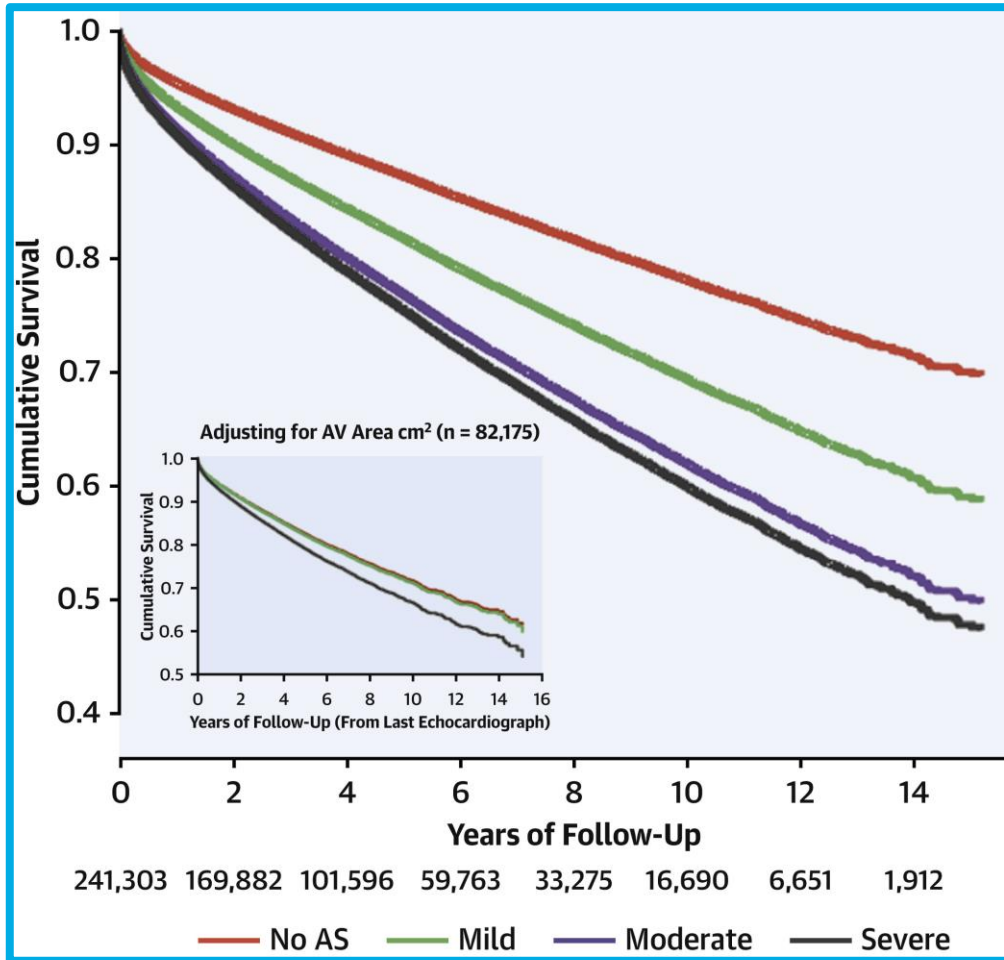
	Patients, n								
Conservative Treat.	79	73	66	59	49	36	25	19	12
Early Surgery	78	72	68	63	56	46	38	23	13

# Natural History of Untreated Mod AS

## *National Echo Database*

### Poor Long-Term Survival in Patients With Moderate Aortic Stenosis

Geoff Strange, PhD,<sup>a</sup> Simon Stewart, PhD,<sup>b</sup> David Celermajer, MD, PhD,<sup>c</sup> David Prior, MBBS, PhD,<sup>d</sup>  
Gregory M. Scalia, MBBS (Hons), MMedSc,<sup>e</sup> Thomas Marwick, MBBS, PhD,<sup>f</sup> Marcus Ilton, MD,<sup>g</sup> Majo Joseph, MBBS,<sup>h</sup>  
Jim Codde, PhD,<sup>i</sup> David Playford, MBBS, PhD,<sup>a</sup> on behalf of the National Echocardiography Database of Australia  
contributing sites



### Reasons...

- Misclassification issues?
- Echocardiography challenges
- Rapid progression to severe AS
- Already too much cardiac damage
- Intervention too late (missed opportunities) with limitations of active surveillance strategy

# Pre-emptive (earlier) TAVR

## *EARLY TAVR and UNLOAD Trials*

### Expanding TAVR Clinical Indications to 'Earlier' Treatment Scenarios

#### The EARLY TAVR Trial

Asymptomatic Severe AS and 2D-TTE (PV  $\geq 1.5$  cm<sup>2</sup> or AVA  $\leq 1$  cm<sup>2</sup>)  
Exclusion if patient is symptomatic, age  $< 65$  yo, EF  $< 50\%$ , concentric LVH, or surgical indications, or STS  $> 8$

Enrollment completed:  
**December 2021;**  
**900 patients**

Principal Investigators:  
Philippe Généreux, Allan Schwartz  
Chair: Martin B. Leon

Primary Endpoint (superiority of all-cause mortality, all stroke, and repeat hospitalizations)

Cardiovascular Research Foundation

COLUMBIA UNIVERSITY MEDICAL CENTER NewYork-Presbyterian

#### TAVR UNLOAD Trial - Moderate AS + HF

(300 patients, 1:1 Randomized)

PIs: Nicolas M. Van Mieghem and Martin B. Leon

International Multicenter Randomized

Heart Failure LVEF  $< 50\%$  NYHA  $\geq 2$  Optimal HF therapy (OHFT) Moderate AS

TAVR UNLOAD Trial

TAVR + OHFT

OHFT Alone

Follow-up: 1 month, 6 months, 1 year

Clinical endpoints: Symptoms, Echo, QoL

**Primary Endpoint**  
Hierarchical occurrence of:

- All-cause death
- Disabling stroke
- Hospitalizations for HF, aortic valve disease
- Change in KCCQ

Reduced AFTERLOAD  
Improved LV systolic and diastolic function

Cardiovascular Research Foundation

COLUMBIA UNIVERSITY MEDICAL CENTER NewYork-Presbyterian

# Upstream Mod AS Treatment

## *“At Risk” Predictors*

### Natural History of Moderate Aortic Stenosis

KELLEY D. KENNEDY, MD, RICK A. NISHIMURA, MD, FACC,  
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### Early Surgery versus Watchful Waiting in Patients with Moderate Aortic Stenosis and Left Ventricular Systolic Dysfunction

The natural history of moderate aortic stenosis in a veteran  
population

JAMA Cardiology | **Original Investigation**

### Outcomes of Patients With Asymptomatic Aortic Stenosis Followed Up in Heart Valve Clinics

### Poor Long-Term Survival in Patients With Moderate Aortic Stenosis



### Characteristics and Prognosis of Patients With Moderate Aortic Stenosis and Preserved Left Ventricular Ejection Fraction

ARTICLE

### Prospective Study of Asymptomatic Valvular Aortic Stenosis

Clinical, Echocardiographic, and Exercise  
Predictors of Outcome

### Clinical and Echocardiographic Predictors of Outcomes in Patients With Moderate (Mean Transvalvular Gradient 20 to 40 mm Hg) Aortic Stenosis

### Excess Mortality Associated with Progression Rate in Asymptomatic Aortic Valve Stenosis

### Prognostic Risk Stratification of Patients with Moderate Aortic Stenosis

### Aortic valve surgery and survival in patients with moderate or severe aortic stenosis and left ventricular dysfunction

Prognostic Implications of Moderate  
Aortic Stenosis in Patients With  
Left Ventricular Systolic Dysfunction

### Mild and moderate aortic stenosis

Natural history and risk stratification by echocardiography

# Upstream Mod AS Treatment

## *“At Risk” Predictors*

- Cardiac symptoms (esp. heart failure NYHA 3 or 4)
- Low ejection fraction (< 60% LVEF)
- Atrial fibrillation (persistent or recent paroxysmal)
- Low stroke volume (SVI < 35 cc/m<sup>2</sup>)
- Severe diastolic dysfunction (by echo criteria)
- Rapid AS progression (increase PV > 0.3 m/sec/year)
- Elevated cardiac biomarkers (BNP)
- Elevated AV calcium score by CT

# Get with the Guidelines (Moderate AS)

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**CLINICAL PRACTICE GUIDELINE: FULL TEXT**

## 2020 ACC/AHA Guideline for the Management of Patients With Valvular Heart Disease

A Report of the American College of Cardiology/American Heart Association Joint Committee on Clinical Practice Guidelines

*Developed in collaboration with and endorsed by the American Association for Thoracic Surgery, American Society of Echocardiography, Society for Cardiovascular Angiography and Interventions, Society of Cardiovascular Anesthesiologists, and Society of Thoracic Surgeons*

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## Grading Moderate AS

	Aortic sclerosis	Mild	Moderate	Severe
Peak velocity (m/s)	≤2.5 m/s	2.6–2.9	3.0–4.0	≥4.0
Mean gradient (mmHg)	–	<20	20–40	≥40
AVA (cm <sup>2</sup> )	–	> 1.5	1.0–1.5	<1.0
Indexed AVA (cm <sup>2</sup> /m <sup>2</sup> )	–	>0.85	0.60–0.85	<0.6
Velocity ratio	–	> 0.50	0.25–0.50	<0.25

J Am Soc Echo 2017;30:372-92.



# VHD Mod AS Guidelines- Timing and Follow-Up

## *Timing of Intervention Recommendations*

2b

C-EO

11. In patients with moderate AS (Stage B) who are undergoing cardiac surgery for other indications, AVR may be considered.

## *Follow-Up Recommendations*

Mild ( $V_{max}$  2.0–2.9 m/s)

Every 3-5 years

**Moderate ( $V_{max}$  3.0–3.9 m/s)**

**Every 1-2 years**

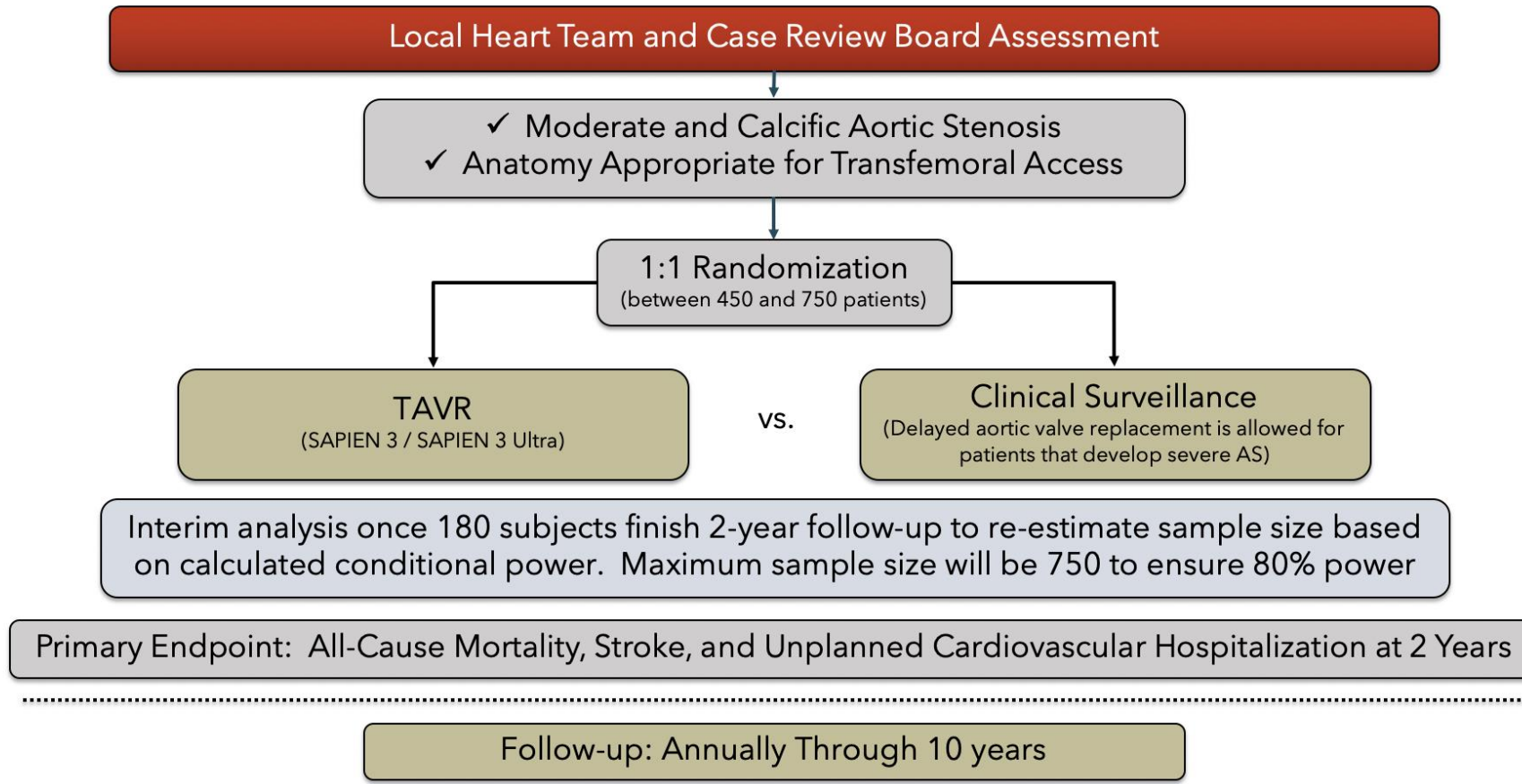
Severe Asymptomatic ( $V_{max} \geq 4$  m/s)

Every 6-12 months

# Upstream Mod AS Treatment: The Future?

## *The PROGRESS Trial*

### Study Design

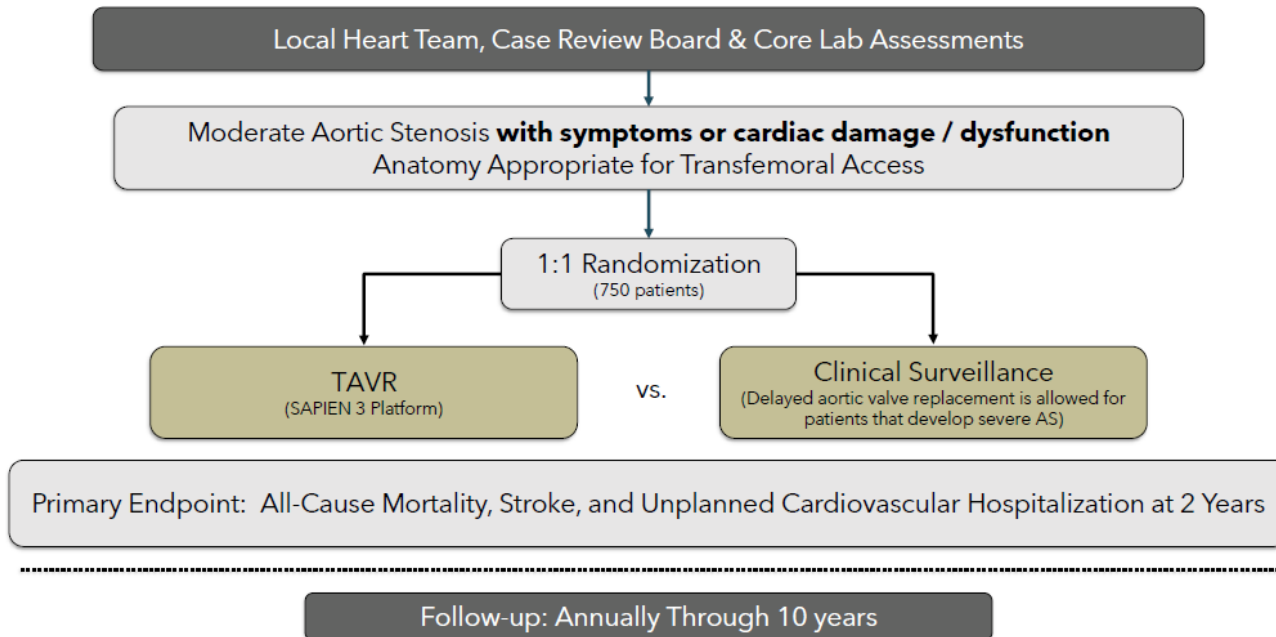


# Upstream Mod AS Treatment: The Future?

## *The PROGRESS Trial*

### The PROGRESS Trial (750 pts)

#### Study Design



### Inclusion Criteria (1)

Patients must be **≥65** years old

**Moderate AS** is defined as:

#### 1. Moderate AVA

AVA 1.0 - 1.5cm<sup>2</sup> OR

AVA < 1.0 cm<sup>2</sup> with AVAi > 0.6 cm<sup>2</sup>/m<sup>2</sup> if BMI <30kg/m<sup>2</sup>; OR

AVA < 1.0 cm<sup>2</sup> with AVAi > 0.5 cm<sup>2</sup>/m<sup>2</sup> if BMI ≥30kg/m<sup>2</sup>

**AND**

#### 2. Moderate peak aortic velocity or gradient:

Peak velocity 3.0 to < 4.0 m/s OR

Mean gradient 20 to < 40mmHg

Subjects who only meet **one of the above criteria** on resting TTE are **eligible** if both criteria are met following **dobutamine stress echo (DSE)**

# Upstream Mod AS Treatment: The Future?

## *The PROGRESS Trial*

### The PROGRESS Trial (750 pts)

### Inclusion Criteria (2)

#### Study Design



Local Heart Team, Case Review Board & Core Lab Assessments

Moderate Aortic Stenosis **with symptoms or cardiac damage / dysfunction**  
Anatomy Appropriate for Transfemoral Access

1:1 Randomization  
(750 patients)

TAVR  
(SAPIEN 3 Platform)

vs.

Clinical Surveillance  
(Delayed aortic valve replacement is allowed for patients that develop severe AS)

Primary Endpoint: All-Cause Mortality, Stroke, and Unplanned Cardiovascular Hospitalization at 2 Years

Follow-up: Annually Through 10 years

#### 1. Evidence of Symptoms

NYHA  $\geq 2$ , dyspnea, angina, syncope

**OR**

#### 2. Evidence of Cardiac Damage or Dysfunction

LVEF  $< 60\%$

Diastolic dysfunction  $\geq$  Grade 2

Stroke volume index  $< 35$  mL/m<sup>2</sup>

Persistent atrial fibrillation or any paroxysmal episode within 6 months

NT-ProBNP  $> 3x$  normal

Elevated calcium score ( $> 1200$  AU for females,  $> 2000$  AU for males)

# AS Severity Grading and Cardiac Staging

Grade or Stage	Stage 0 None	Stage 1 LV	Stage 2 LA-mitral	Stage 3 PA-tricuspid	Stage 4 RV
<b>Grade 0</b> $V_{\max} < 2\text{m/s}$					
<b>Grade 1</b> $V_{\max} 2\text{-}2.9\text{m/s}$					
<b>Grade 2</b> $V_{\max} 3\text{-}3.9\text{m/s}$					
<b>Grade 3</b> $V_{\max} \geq 4\text{m/s}$					

# AS Severity Grading and Cardiac Staging

Grade or Stage	Stage 0 None	Stage 1 LV	Stage 2 LA-mitral	Stage 3 PA-tricuspid	Stage 4 RV
<b>Grade 0</b> $V_{\max} < 2\text{m/s}$					
<b>Grade 1</b> $V_{\max} 2\text{-}2.9\text{m/s}$					
<b>Grade 2</b> $V_{\max} 3\text{-}3.9\text{m/s}$					
<b>Grade 3</b> $V_{\max} \geq 4\text{m/s}$		<b>AVR</b>	<b>AVR</b>	<b>AVR</b>	<b>AVR</b>

# AS Severity Grading and Cardiac Staging

Grade or Stage	Stage 0 None	Stage 1 LV	Stage 2 LA-mitral	Stage 3 PA-tricuspid	Stage 4 RV
Grade 0 $V_{\max} < 2\text{m/s}$					
Grade 1 $V_{\max} 2\text{-}2.9\text{m/s}$					
Grade 2 $V_{\max} 3\text{-}3.9\text{m/s}$	<b>PROGRESS</b>	<b>PROGRESS</b>	<b>PROGRESS</b>	<b>PROGRESS</b>	<b>PROGRESS</b>
Grade 3 $V_{\max} \geq 4\text{m/s}$	<b>EARLY TAVR</b>				

# First PROGRESS Patient Enrolled



*Philippe G n reux & Morristown Med. Ctr. Team*



# Roadmap for this Lecture

UPSTREAM conceptual framework

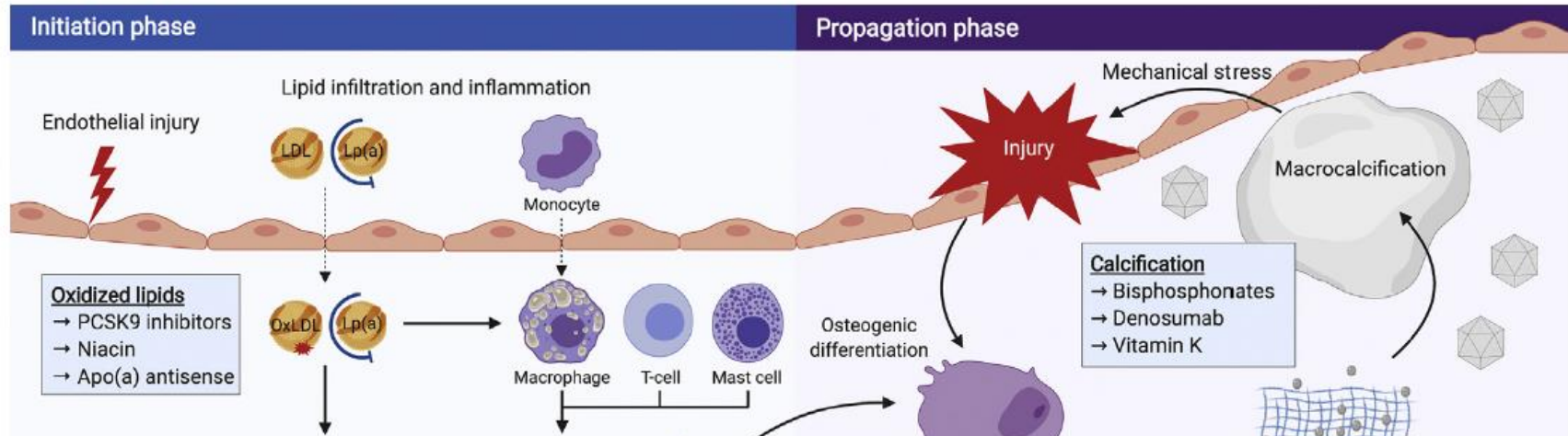
Under-diagnosis/treatment issues

Screening tools for aortic stenosis

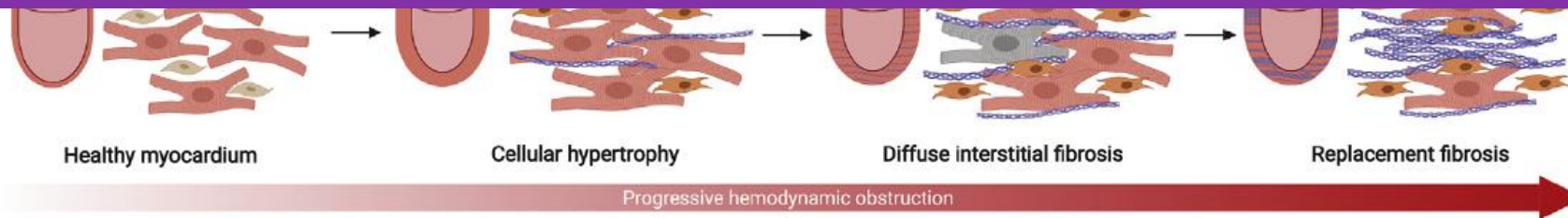
Pre-emptive (earlier) AVR

***Pharmaco-therapeutics for AVD***

# Pathophysiology of Aortic Stenosis



As of today, there are **NO** known proven medical therapies to slow or prevent the progression of CAVD.



# Future Perspectives on Medical Rx for CAVD

Table 1  
Ongoing randomized clinical trials in aortic stenosis

Study	Target	Treatment	Main Inclusion Criteria	Follow-up	Primary Efficacy Endpoints
PCSK9 inhibitors in the progression of aortic stenosis (NCT03051360)	ApoB-containing lipoproteins; PCSK9	Biweekly injection of PCSK9 inhibitor vs placebo	Mild-moderate aortic stenosis (n = 140)	2 years	Change in aortic valve CT calcium score and <sup>18</sup> F-NaF uptake
EAVaLL—Early Aortic Valve Lipoprotein (a) Lowering (NCT02109614)	Lipoprotein(a)	Daily extended-release niacin 1500–2000 mg vs Placebo	Aortic sclerosis or mild aortic stenosis + elevated Lp(a) levels (>50 mg/dL) (n = 150)	2 years	Change in aortic valve CT calcium score
SALTIRE II—Study Investigating the Effect of Drugs Used to Treat Osteoporosis on the Progression of Calcific Aortic Stenosis (NCT02132026)	Mineral metabolism	<ul style="list-style-type: none"> <li>Alendronic acid (n = 50) vs placebo tablets (n = 25)</li> <li>Denosumab (n = 50) vs placebo injections (n = 25)</li> </ul>	Aortic stenosis ( $V_{max} > 2.5$ m/s)	2 years	Change in aortic valve calcium score, aortic valve <sup>18</sup> F-NaF uptake
BASIK2—Bicuspid Aortic Valve Stenosis and the Effect of Vitamin K2 on calcium metabolism on <sup>18</sup> F-NaF PET/MRI (NCT02917525)	Vitamin K2-Matrix Gla protein	Daily vitamin K2 360 µg (n = 22) vs placebo (n = 22)	Bicuspid aortic valve and calcified mild to moderate aortic stenosis	18 months	Change in aortic valve <sup>18</sup> F-NaF uptake at 6 mo; change in aortic valve calcium score (secondary endpoint at 6 + 18 mo)
EvoLVeD—Early Valve Replacement Guided by Biomarkers of LV Decompensation in Asymptomatic Patients With Severe AS (NCT03094143)	Midwall fibrosis and timing of intervention	Early aortic valve replacement vs routine care	Asymptomatic severe aortic stenosis ( $V_{max} > 4.0$ m/s; or $V_{max} > 3.5$ with AVA $< 0.6$ cm <sup>2</sup> /m <sup>2</sup> )	± 3 y (until 88 events accrue)	Composite of all-cause mortality or unplanned aortic stenosis-related hospitalisation

Abbreviations: ApoB, apolipoprotein B; AVA, aortic valve area;  $V_{max}$ , peak aortic jet velocity.

# The “Statin Era” of Medical Rx for CAVD

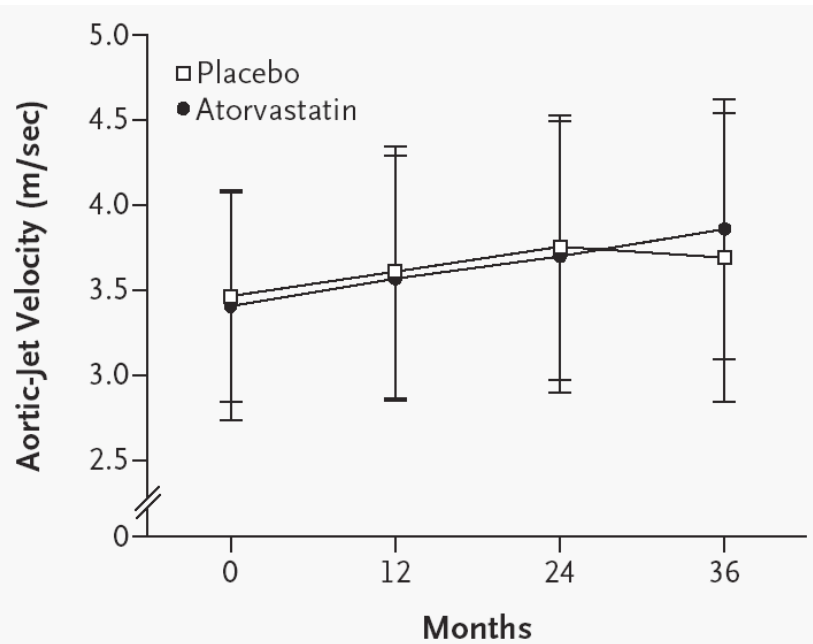
- AS is a degenerative process resulting from “wear and tear”, predominantly of the valve leaflets.
- AS shares many similarities with atherosclerosis (risk factors, mechanisms).
- *Thus, AS is a potentially modifiable atherosclerotic disease.*
- Hope for pharmacotherapy in AS: STATINS!



# Failure of Statin Rx to Treat CAVD

## SALTIRE (2005)

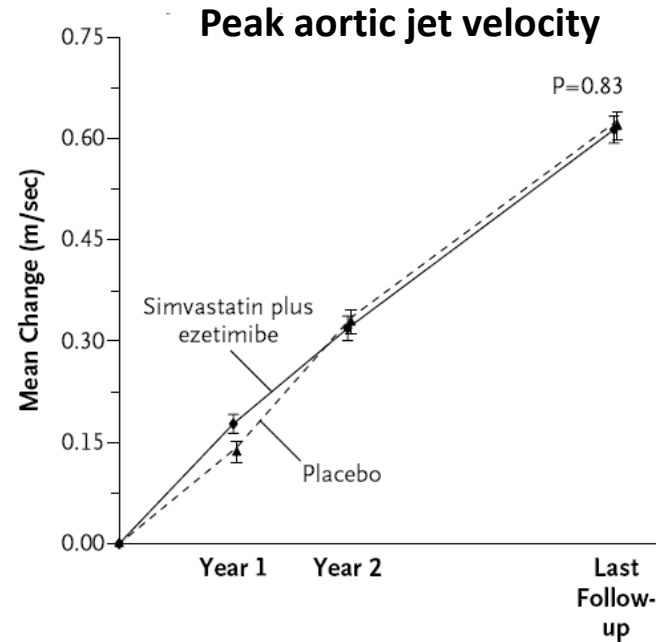
N = 155 pts



Cowell et al, NEJM,  
352:2389-97,2005

## SEAS (2008)

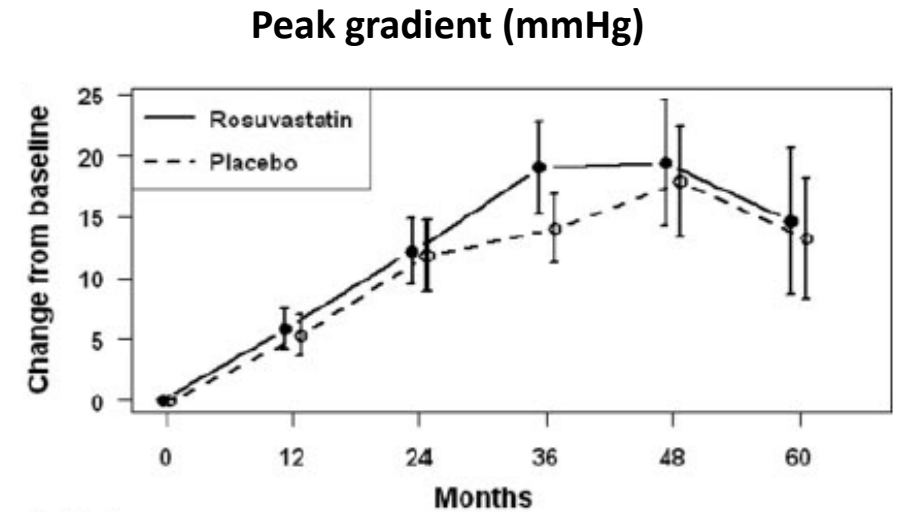
N = 1,873 pts



Rossebo et al, NEJM,  
359:1343-56, 2008

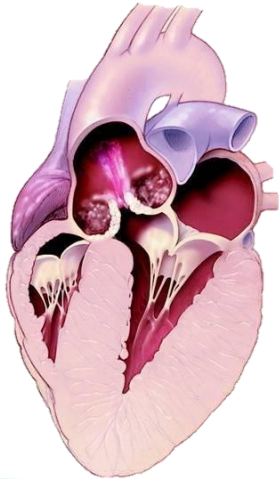
## ASTRONOMER (2010)

N = 269 pts



Chan et al, Circulation  
121:306-314, 2010

# Modern Thinking – Medical Rx for CAVD



- Several promising targets have been identified and several RCTs are planned or ongoing
- The « one drug fits all » concept will not be effective for all AS patients
- Need to tailor therapy according to age, sex, AoV Phenotype, and AS severity

# Modern Thinking – Medical Rx for CAVD

**Lp(a) lowering  
PCSK9i**



**Young age  
BAV  
Mild/moderate AS**

**ARBs  
Antifibrotic therapy**



**Young/ old age  
TAV/ BAV  
Women**

**Bisphosphonates  
RANK Ab, Vit K**



**Old age  
TAV/ BAV  
Mild/Moderate AS**

# Medical Therapies for CAVD

Circulation

PERSPECTIVE

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## Unloading the Stenotic Path to Identifying Medical Therapy for Calcific Aortic Valve Disease

Barriers and Opportunities

Brian R. Lindman, MD, MSc and W. David Merryman, PhD  
Circulation 2021; 143:1455-57



# Medical Therapies for CAVD

## Potential Therapeutic Targets

### Targets in the NOTCH1 pathway

- Cadherin-11
- lncRNA *H19*
- miRNA-34a
- RANKL

### Targets for atherosclerosis/vascular disease

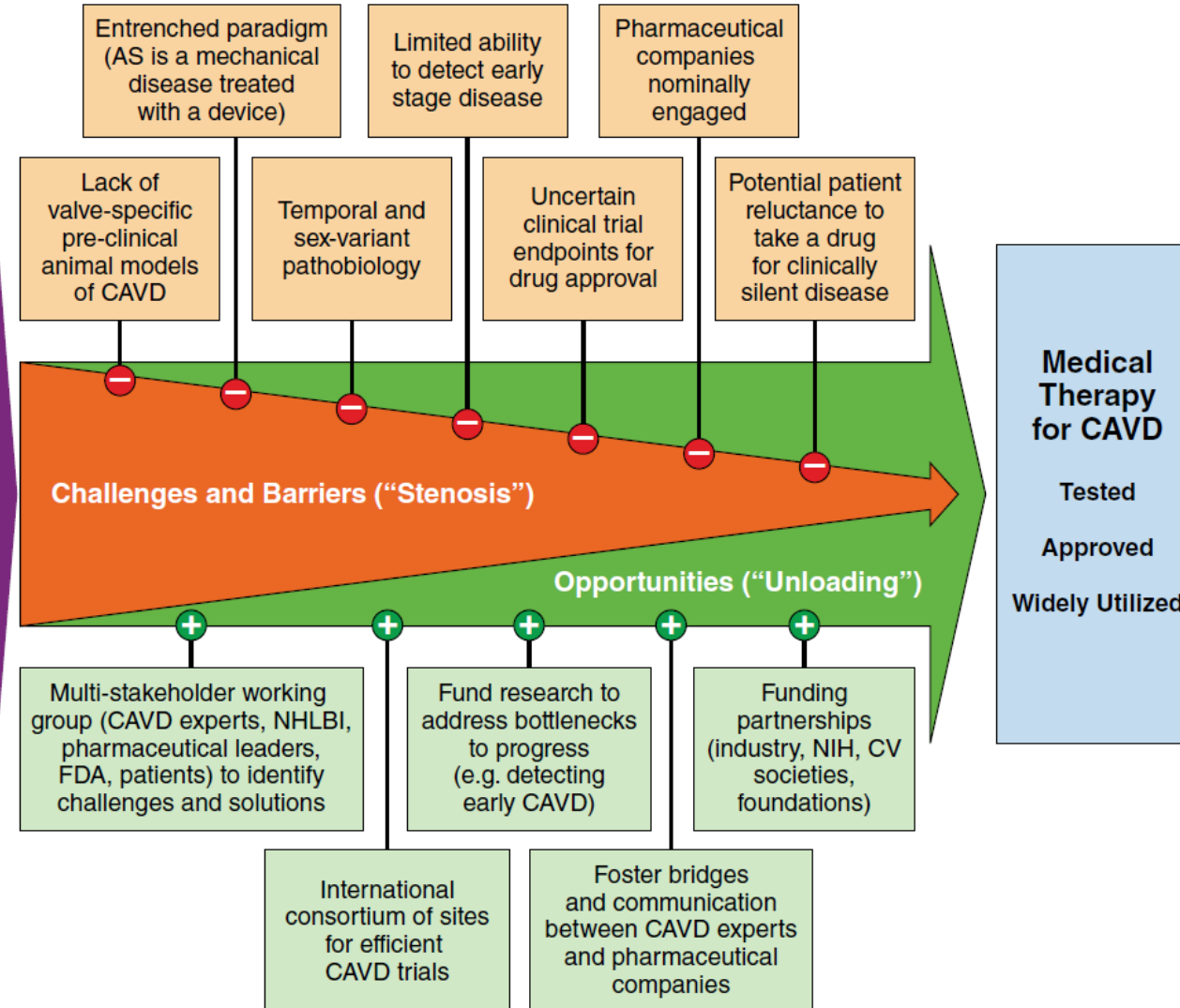
- Lp(a)
- PCSK9
- Matrix Gla protein
- Cathepsin S

### Metabolic targets

- Soluble guanylate cyclase
- P2Y purinoreceptor 2
- PPAR gamma
- Sodium-dependent phosphate transporter 1
- Dipeptidyl peptidase 4

### G-protein-coupled receptor targets

- Angiotensin II receptor type 2
- Serotonin 2B receptor



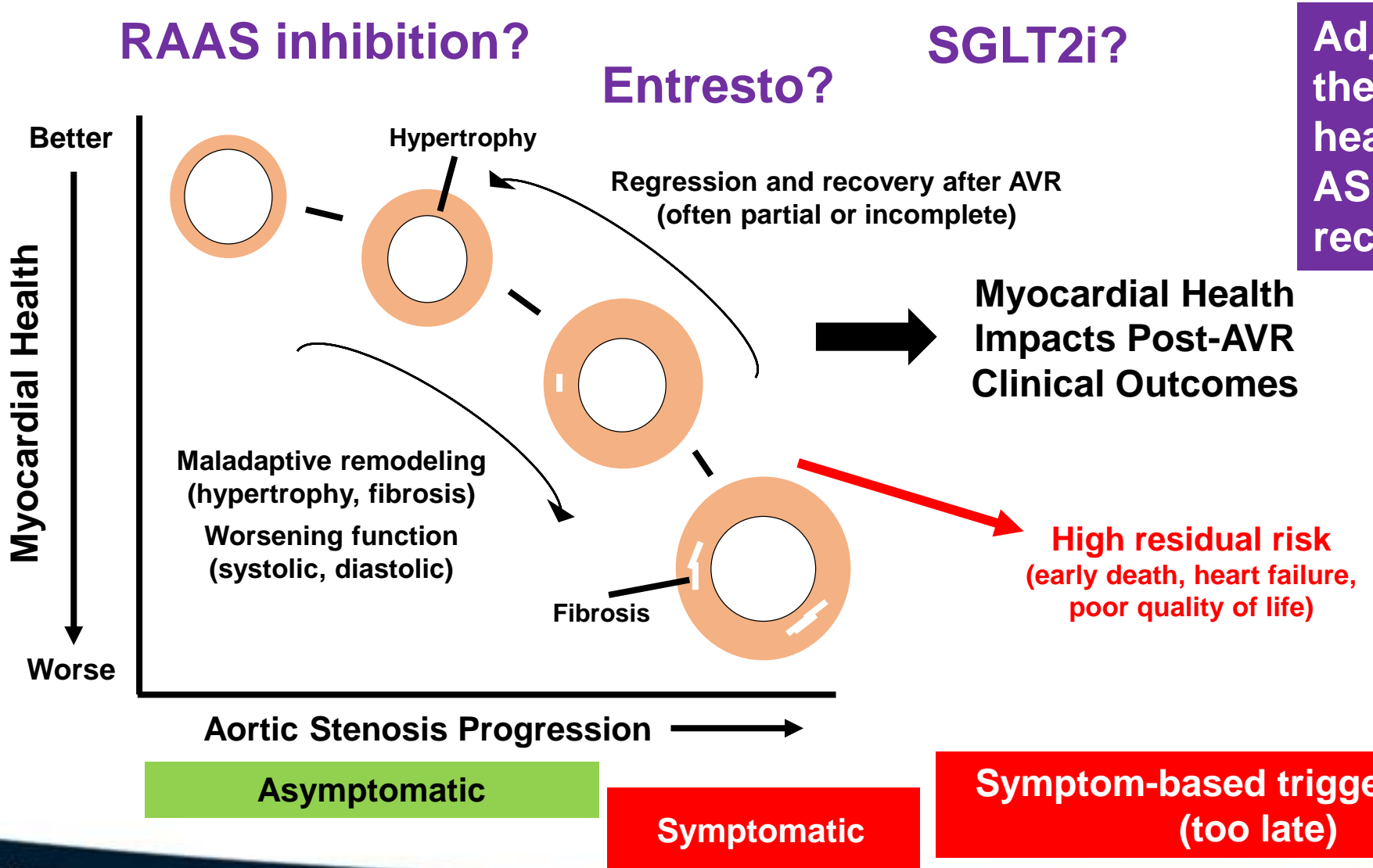
# Medical Therapies for CAVD

## VIEWPOINT

## Prevention and Mitigation of Heart Failure in the Treatment of Calcific Aortic Stenosis A Unifying Therapeutic Principle

- After TAVR, up to 50% of patients are dead, have residual heart failure (HF) symptoms or poor QoL at 1 year
- HF is the most common admitting diagnosis during the first year after TAVR with rates only slightly lower in the year after TAVR compared to the year before
- **Residual risk from HF is primarily due to cardiac remodeling and irreversible injury**
- Before the onset of symptoms and before AS is “severe”, chronic pressure overload from years of AS leads to a series of molecular and tissue-level myocardial alterations (e.g., fibrosis, apoptosis, inflammation, microvascular dysfunction, etc.) that presage global/macroscopic changes in cardiac structure and function

# Medical Therapies for CAVD



Increased mortality, residual heart failure, poor QoL

# Medical Therapies for CAVD

## *Key Points*

- Aortic stenosis is a disease of both the valve and the myocardium.
- Currently, there are no medical therapies that have been proven to slow down or halt disease progression in aortic stenosis.
- Novel insights in the valve and myocardial pathophysiology of aortic stenosis progression have identified numerous molecular targets related to oxidized lipids, calcification, and fibrosis, although only a few have thusfar been translated to clinical trials.
- A multi-drug approach to precisely target disease stage and patient phenotype is the most realistic and promising.
- The clinical trial process must be rejuvenated including the use of non-invasive imaging modalities such as CT calcium scoring, 18F-NaF PET, and MRI to assist in risk stratification and as surrogate clinical endpoints.

# AS Severity Grading and Cardiac Staging

Grade or Stage	Stage 0 None	Stage 1 LV	Stage 2 LA-mitral	Stage 3 PA-tricuspid	Stage 4 RV
Grade 0 $V_{max} < 2\text{m/s}$	Red	Red	Red	Red	Red
Grade 1 $V_{max} 2-2.9\text{m/s}$					
Grade 2 $V_{max} 3-3.9\text{m/s}$	Yellow	Yellow	Yellow	Yellow	Yellow
Grade 3 $V_{max} \geq 4\text{m/s}$	Yellow	Green	Green	Green	Green


Multi-drug 'precision' medical Rx

# AS Severity Grading and Cardiac Staging

Grade or Stage	Stage 0 None	Stage 1 LV	Stage 2 LA-mitral	Stage 3 PA-tricuspid	Stage 4 RV
Grade 0 $V_{max} < 2\text{m/s}$					
Grade 1 $V_{max} 2-2.9\text{m/s}$					
Grade 2 $V_{max} 3-3.9\text{m/s}$	PROGRESS	PROGRESS	PROGRESS	PROGRESS	PROGRESS
Grade 3 $V_{max} \geq 4\text{m/s}$	EARLY TAVR	AVR	AVR	AVR	AVR

Multi-drug 'precision' medical Rx

# UPSTREAM Management of AS



You Can't Solve Upstream  
Problems Down Stream

**(Martin B. Leon)**