

Below the knee DCB

Where are we and what do we know?

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Disclosure Statement of Financial Interest

Within the past 12 months, I or my spouse/partner have had a financial interest/arrangement or affiliation with the organization(s) listed below.

| Affiliation/Financial Relationship | Company |
|------------------------------------|--|
| • Grant/Research Support | • Abbott, Covidien/Medtronic |
| • Consulting (non-compensated) | • Covidien/Medtronic, Boston Scientific, Abbott |
| • Major Stock Shareholder/Equity | • Arsenal, Primacea, TissueGen, CV Ingenuity, Spirox, Scion Cardiovascular, Syntervention, Essential Medical |
| • Royalty Income | • None |
| • Ownership/Founder | • Innovation Vascular Partners, Consulting |
| • Intellectual Property Rights | • None |
| • Other Financial Benefit | • None |

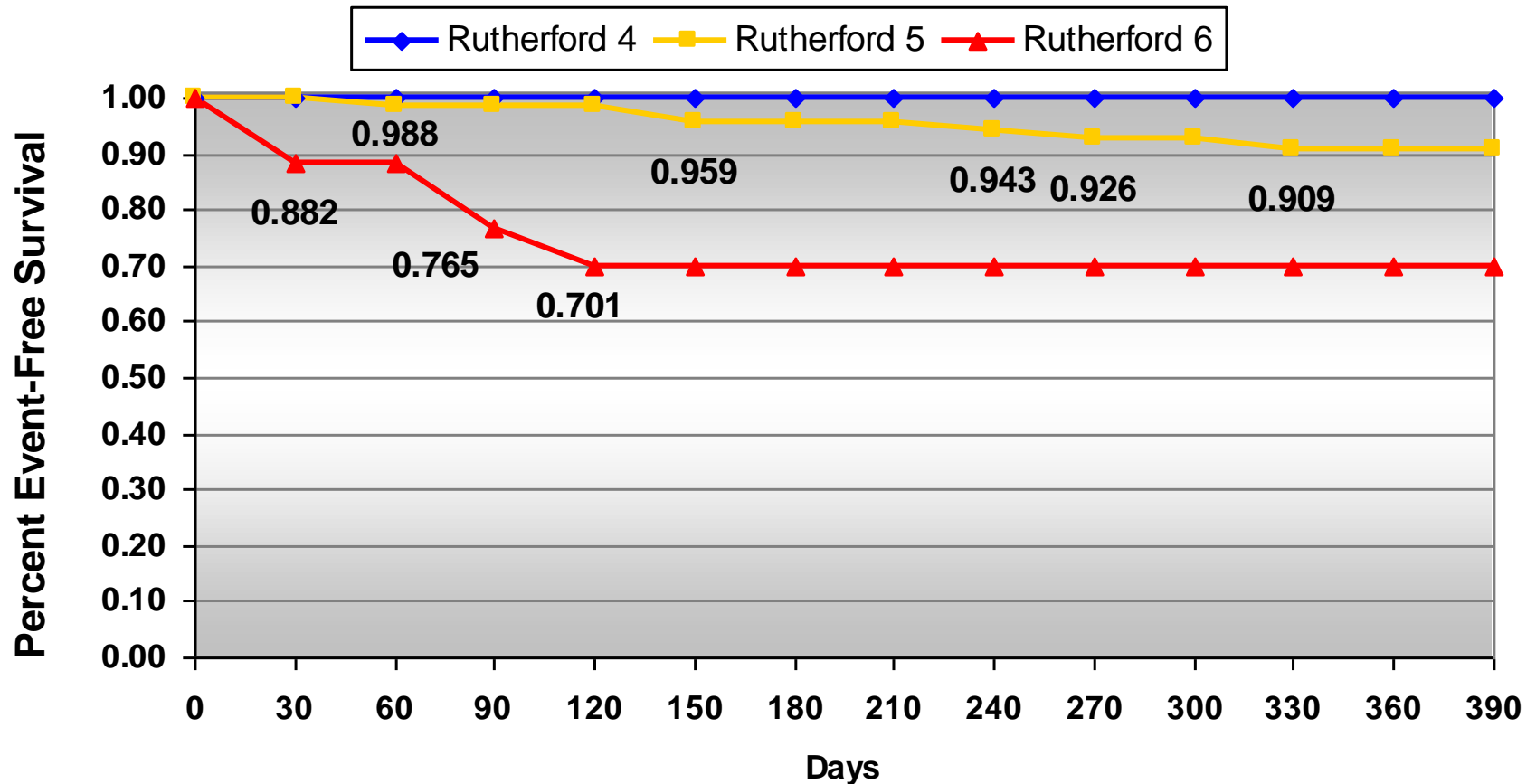
Infra-popliteal revascularization

- Short vessel
 - popliteal
- Long vessels
 - tibials
- Generally angled proximally and distally
- Usually calcified
- Total occlusions
- Generally critical limb
 - Outcomes based on AFS



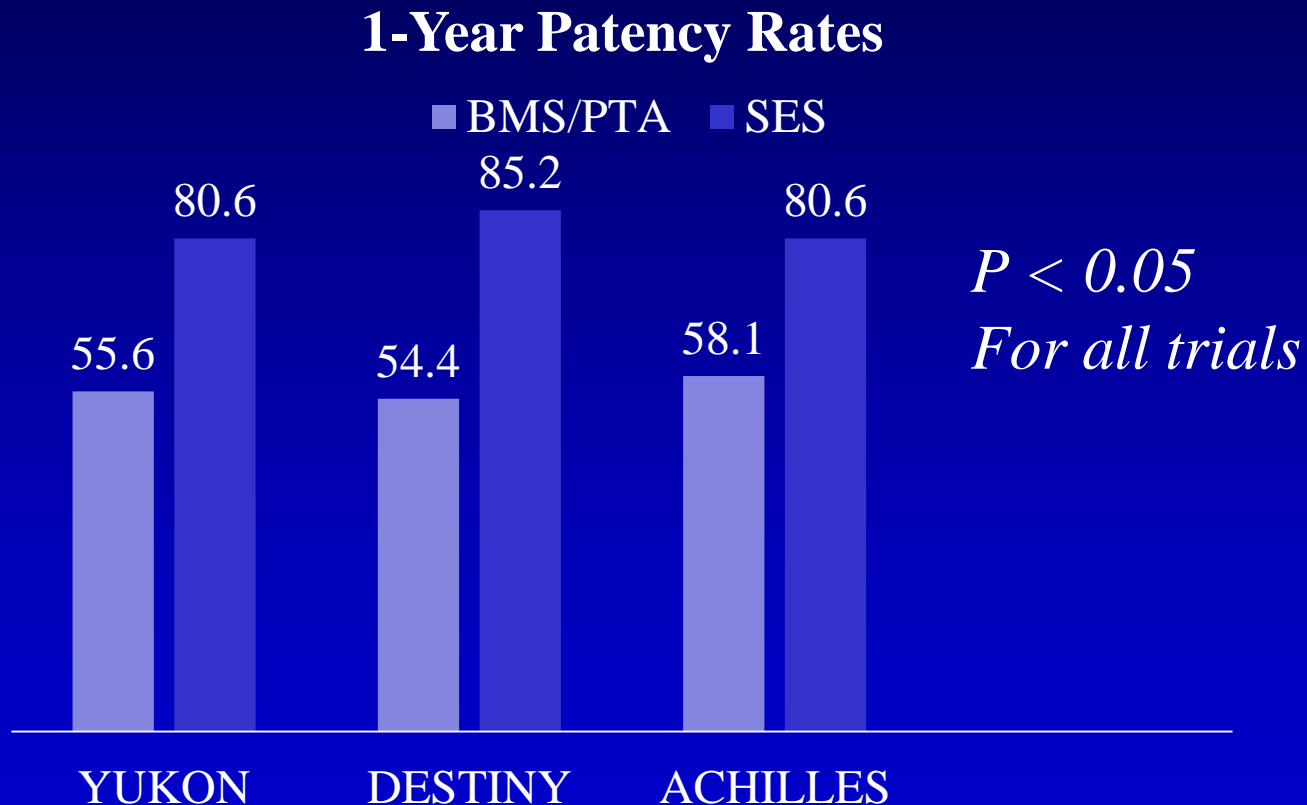
EXCEL TLR

Kaplan-Meier 12 Month Freedom from Major Amputation
by Baseline Rutherford Criteria



YUKON, DESTINY & ACHILLES Trials (n=515)

Primary Patency



Rastan et al. EHJ 2011
Scheinert et al. LINC 2011
Bosiers et al. JVS 2011

LIBERTY 360

- LIBERTY 30-day outcomes:

| | Rutherford Class | | |
|---------------------------------------|------------------|-------|-------|
| | R2-3 | R4-5 | R6 |
| Freedom from MAE (30-Day) | 99.0% | 95.7% | 90.7% |
| Major Amputation | 100% | 98.8% | 95.8% |
| Target Vessel Revascularization (TVR) | 99.4% | 96.9% | 97.9% |
| Death | 99.6% | 99.7% | 95.9% |

- Quality of life also improved from baseline across all Rutherford classes.

- Duplex Ultrasound
- 6-minute walk test
- Health economics

DEFINITIVE LE

| Subgroup | Claudicants (n=743) | | CLI (n=279) | |
|---------------------------|------------------------------|-----------------------|------------------------------|-----------------------|
| | Patency (PSVR \leq 2.4) | Lesion Length (cm) | Patency (PSVR \leq 2.4) | Lesion Length (cm) |
| All (n=1022) | 78% | 7.5 | 71% | 7.2 |
| Lesion type | | | | |
| Stenoses (n=806) | 81% | 6.7 | 73% | 5.8 |
| Occlusions (n=211) | 64% | 11.1 | 66% | 10.3 |
| Lesion Location | | | | |
| SFA (n=671) | 75% | 8.1 | 68% | 8.6 |
| Popliteal (n=162) | 77% | 6.0 | 68% | 5.4 |
| Infrapopliteal (n=189) | 90% | 5.5 | 78% | 6.0 |
| Limb Salvage | 95% | | | |

Primary IN.PACT DEEP Outcomes

| Primary Efficacy | DEB | PTA | <i>p</i> |
|----------------------------------|---------------|----------------|--------------|
| 12-month LLL (mm) ^[1] | 0.61 ± 0.78 | 0.62 ± 0.78 | <i>0.950</i> |
| 12-month CD-TLR ^[2] | 9.2% (18/196) | 13.1% (14/107) | <i>0.291</i> |

| Primary Safety | DEB | PTA | <i>p</i> |
|--|-------------------|-------------------|--|
| 6-month Death Major Amputation or CD TLR | 17.7% (41/232) | 15.8% (18/114) | <i>0.021 (non-inferiority)</i> <i>0.662 (superiority)</i> |

1. Angio Cohort, Corelab adjudicated. Angiographic Imaging 12-month FU compliance = 70.9% (DEB) vs. 71.4% (PTA)
2. Clinically driven TLR of the target lesion in the (major) amputation free surviving subjects at 12 months. "Clinically driven TLR" defined as any TLR of the target lesion associated with: a) deterioration of RC and / or b) Increase in size of pre-existing wounds and / or c) occurrence of a new wound(s), with b) and c) adjudicated by the Wound Healing Core lab

Angio Cohort Outcomes

| 12-month Outcomes ^[1] | DEB | PTA | <i>p</i> |
|---|---------------|---------------|-----------------|
| Mean Lesion Length (mm±SD) | 59.1 ± 41.7 | 79.7 ± 74.6 | <i>0.060</i> |
| Binary (50%) Rest. Rate (%) | 41.0% (25/61) | 35.5% (11/31) | <i>0.609</i> |
| Occlusion Rate (%) | 11.5% (7/61) | 16.1% (5/31) | <i>0.531</i> |
| Longitudinal Restenosis (%) _[2] | 62.7 ± 56.2 | 93.2 ± 60.8 | <i>0.167</i> |
| Revalidated Lumen Loss ^[3] | DEB | PTA | <i>p</i> |
| 12-month LLL (mm, mean ± SD) | 0.51 ± 0.66 | 0.60 ± 0.97 | <i>0.654</i> |

1. Angio Cohort, Corelab adjudicated. Angiographic Imaging 12-month FU compliance = 70.9% (DEB) vs. 71.4% (PTA)
2. Mean % of stenosis length vs. treated lesion length± SD (Angiographic Cohort, ITT)
3. As evaluated by additional angiographic core laboratory (Beth Israel Deconess Medical Center, Boston, MA) to confirm earlier analysis

LEVANT BTK

Inflow Treatment
if needed

PTA Predilatation
with uncoated balloon

Have now expanded inclusion to RB3 for enrollment

*Successful PTA With
Outflow*

Randomize 2:1

Test Arm:

*dilatation of ALL target lesions with
drug-coated balloon*

Control Arm:

*dilatation of all target lesions with
uncoated balloon*

Suboptimal PTA

*absence of above-ankle reconstitution
>75% residual stenosis*

*Treat per Standard
Practice*

30-day follow-up for safety

Current Status of Lutonix 014 BTK IDE Study

- *48 Active Sites*
- *382 Randomized Subjects*
 - *287 have completed 6 month follow-up*
 - *222 have completed 12 month follow-up*
- *12 subjects with a Major Amputation (3.2%)*
- *The Data Monitoring Committee (DMC) has met 11 times and unanimously recommended continuation of the study with no modifications.*

Information current as of 03.06.2017

BIOLUX

- RCT 1:1 Paseo DCB to Paseo PTA
 - 72 patients
- Endpoints 30 day, 6 month (angio) and 12 MAE
- 6 month patency DCB 82.9% vs PTA 73.9% (p=NS)

| TABLE 1. Baseline Characteristics of the Study Population | | | |
|---|------------------------|-----------------------------|---------|
| TABLE 1. Baseline Characteristics of the Study Population | | | |
| Characteristic | Control Group (n = 31) | Intervention Group (n = 31) | P Value |
| Age, years | 65.2 (10.5) | 64.8 (11.2) | 0.891 |
| Male sex | 28 (90.3) | 29 (93.5) | 0.612 |
| Previous myocardial infarction | 12 (38.7) | 11 (35.5) | 0.789 |
| Current smoking | 15 (48.4) | 14 (45.2) | 0.834 |
| Diabetes mellitus | 8 (25.8) | 7 (22.6) | 0.678 |
| Hyperlipidemia | 22 (70.3) | 21 (67.7) | 0.712 |
| Calcification† | — | — | — |
| None | 19 (55.9) | 31 (81.6) | 0.018 |
| Mild | 6 (17.6) | 4 (10.5) | 0.501 |
| Moderate | 1 (2.9) | 0 (0.0) | 0.472 |
| Moderate/severe | 3 (8.8) | 1 (2.6) | 0.338 |
| Severe | 5 (4.7) | 2 (5.3) | 0.243 |
| Moderate to severe | 9 (26.5) | 3 (7.9) | 0.056 |
| Thrombus present | 0 (0.0) | 0 (0.0) | >0.999 |
| Treated lesion length, mm | 113.1 ± 88.1, 24–351 | 115.0 ± 86.9, 39–295 | 0.960 |

Time-To-Event Estimates of Clinical Outcomes at Follow-Up

| 365 Days | DEB | PTA | p Value |
|-----------------------------|-----------|-----------|---------|
| MAE | 13 (41.1) | 14 (39.1) | 0.957 |
| 180 Days | | | |
| Death | 3 (9.4) | 2 (6.0) | 0.575 |
| MAE | 8 (24.8) | 9 (25.0) | 0.944 |
| In CLI patients only | 2 (8.6) | 2 (7.9) | 0.917 |
| Death | 2 (6.1) | 1 (2.9) | 0.499 |
| Amputation target extremity | 8 (23.7) | 9 (25.7) | 0.988 |
| In CLI patients only | 1 (4.0) | 1 (3.7) | 0.921 |
| Major | 1 (3.3) | 2 (5.6) | 0.631 |
| Amputation target extremity | 8 (23.7) | 7 (19.6) | 0.636 |
| In CLI patients only | 1 (4.3) | 1 (4.1) | 0.619 |
| TLR | | | |
| Major | 1 (3.3) | 2 (5.6) | 0.631 |
| Lesion based | 12 (30.1) | 15 (30.6) | 0.805 |
| TLR lesion | 6 (14.6) | 10 (19.7) | 0.460 |
| Subject based | 10 (34.9) | 10 (30.0) | 0.817 |
| Subject based | 5 (16.8) | 9 (26.5) | 0.805 |
| TLR, subject based | 5 (16.8) | 6 (17.5) | 0.881 |
| TVR | | | |
| Target lesion | 0 (0.0) | 1 (2.8) | 0.815 |
| thrombosis | 0 (0.0) | 1 (2.8) | 0.999 |
| thrombosis | 0 (0.0) | 1 (2.8) | >0.999 |
| Patency loss | 7 (17.1) | 13 (26.1) | 0.298 |
| (lesion based)* | 20 (50.8) | 22 (45.6) | 0.908 |

IDEAS

- Small RCT DES vs DCB
- Primary endpoint angio patency at 6 months
- DES PP 28% vs DCB 42%

| TABLE 3 Angiographic and Clinical Outcomes: QVA and Outcome Measures at 6 Months (ITT Analysis) | | | |
|--|-------------|--------------|---------|
| | DES Group | PCB Group | p Value |
| QVA analysis | | | |
| Post-procedure stenosis, % | 9.6 ± 2.2 | 24.8 ± 3.5 | <0.0001 |
| 6-month vessel stenosis, % | 50.6 ± 6.6 | 54.3 ± 8.1 | 0.73 |
| Late lumen loss, mm | 1.35 ± 0.2 | 1.15 ± 0.3 | 0.62 |
| Length of >50% restenosis, cm | 3.6 ± 1.5 | 4.3 ± 1.6 | 0.16 |
| Outcome measures | | | |
| Binary restenosis >50% | 7/25 (28) | 11/19 (57.9) | 0.0457 |
| Positive remodelling, late lumen loss <0 mm | 0/25 (0) | 3/19 (15.8) | 0.07 |
| Target lesion revascularization | 2/26 (7.7) | 3/22 (13.6) | 0.65 |
| Rutherford class at 6 months | 1 (1, 2.75) | 1 (1, 3.5) | 0.87 |
| Values are mean ± SD, n/n (%), or median (interquartile range). | | | |

Siablis D, et al JACC Cardio Interv 2014 Sep 7 (9): 1048-56

Future trials

- BSC Ranger BTK
 - FDA approved IDE Fem-pop study
- Spectranetics Stellarx BTK
- Interest in limus driven therapy

Possible Reasons for Failed Trials for DCB in BTK

- Drug does not work in BTK lesions
- Insufficient drug dosing in BTK studies
- Improper DCB sizing or insufficient duration of therapy
- PTX delays wound healing
- Loss of drug due to transit time
- Calcification impedes drug delivery
- Recoil effect in small vessels >>>Drug effect
- Endpoints have not been validated
- Heterogeneity of treatment in multi-center studies
 - Procedural differences
 - Differences in post-procedural wound care

Areas For Improvement

- Vessel preparation
- Improved balloon platform for optimal drug delivery
- Optimal Drug Dosing
- Optimal Drug Application
 - Crystalline>>Amorphous??
 - Nanoparticles??
- Appropriate trial design
 - Primary Endpoint - Patency vs Wound healing?
 - Patency easier to measure and reflects device performance
 - Wound healing is true desired outcome, but influenced by several factors not related to device being studied

What should we choose?

- All interventions afford AFS in short focal lesions
 - BMS primary patency poor
 - Focal DES excellent primary patency compared with BMS
 - Non-stent technologies
 - Directional atherectomy (DEFINITIVE LE) reported outcomes for popliteal and infra-popliteal disease in both claudicants and/or CLI
 - LIBERTY forthcoming
- DCB (IN-Pact DEEP) failed in largest trial for below knee use
 - Principal studies using DCB still may be appealing but given the data?
- Current review of data supports revascularization for infra-popliteal disease though choice is at discretion
 - All DCB BTK data remain mired in the definitions and endpoints
 - Till this is well defined and accepted, seems PTA alone is best option
- Combined therapies for longer lesions seem appealing though currently untested