

# The Upstream Management of Aortic Stenosis

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# Disclosure Statement of Financial Interest

Within the past 12 months, I or my spouse/partner have had a financial interest/arrangement or affiliation with the organization(s) listed below.

## Affiliation/Financial Relationship

- Grant/Research Support
- Scientific Advisory Board
- Executive Physician Council

## Company

- Edwards Lifesciences, Abbott
- Medtronic
- Boston Scientific Corp



# Upstream Management of Aortic Stenosis

?



# Upstream Management of CAD

- Pathophysiology
- Risk Factor Modification (i.e. lipids, smoking etc)
- Detection (CAC, CCTA, stress testing, Invasive angio)
- Criterion for Treatment (symptoms, anatomy, FFR)
- Treatment Options (Medical, PCI, CABG)
- Effectiveness and Durability of Treatment Options

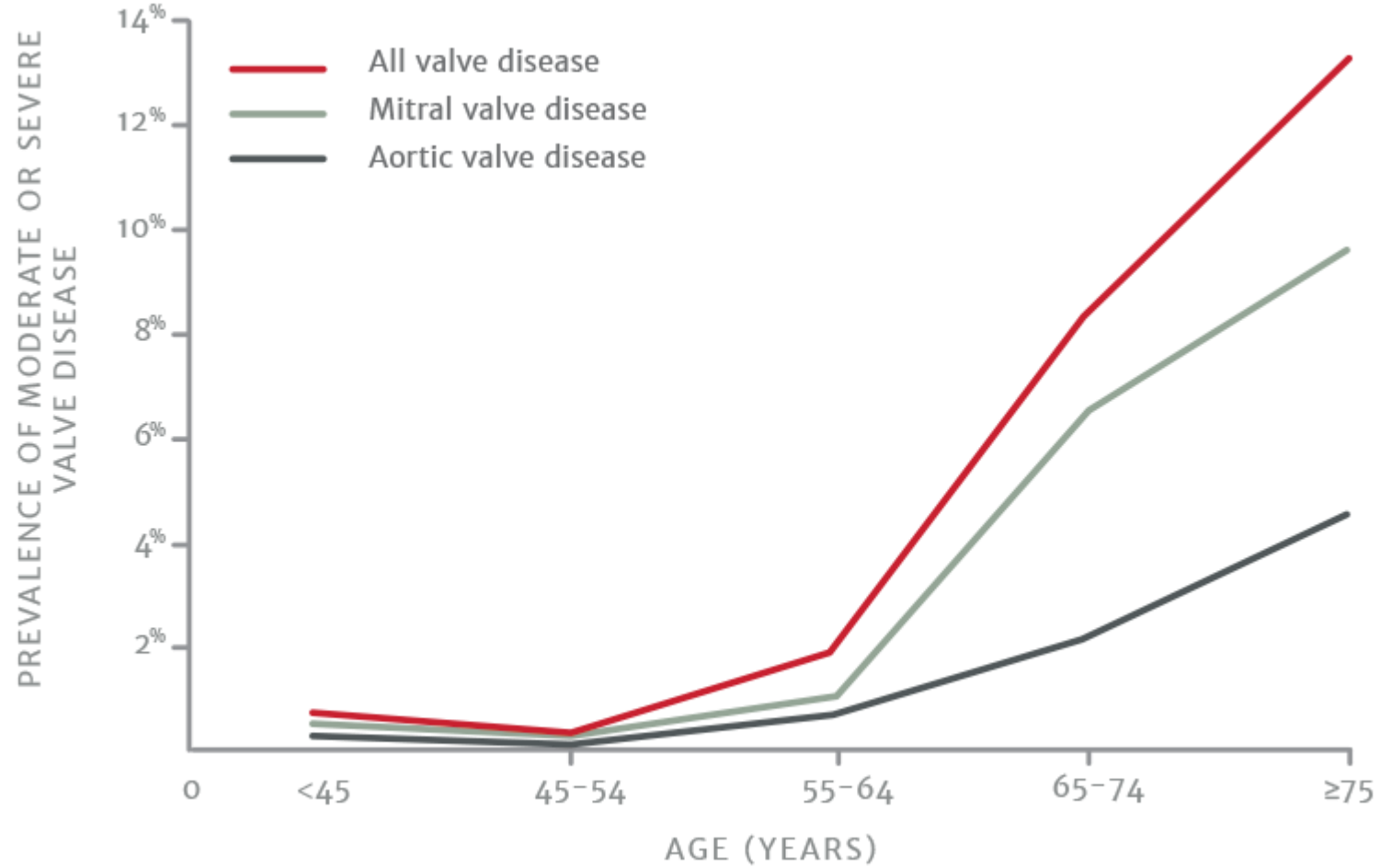


# Upstream Management of Aortic Stenosis

- Pathophysiology
- Risk Factors

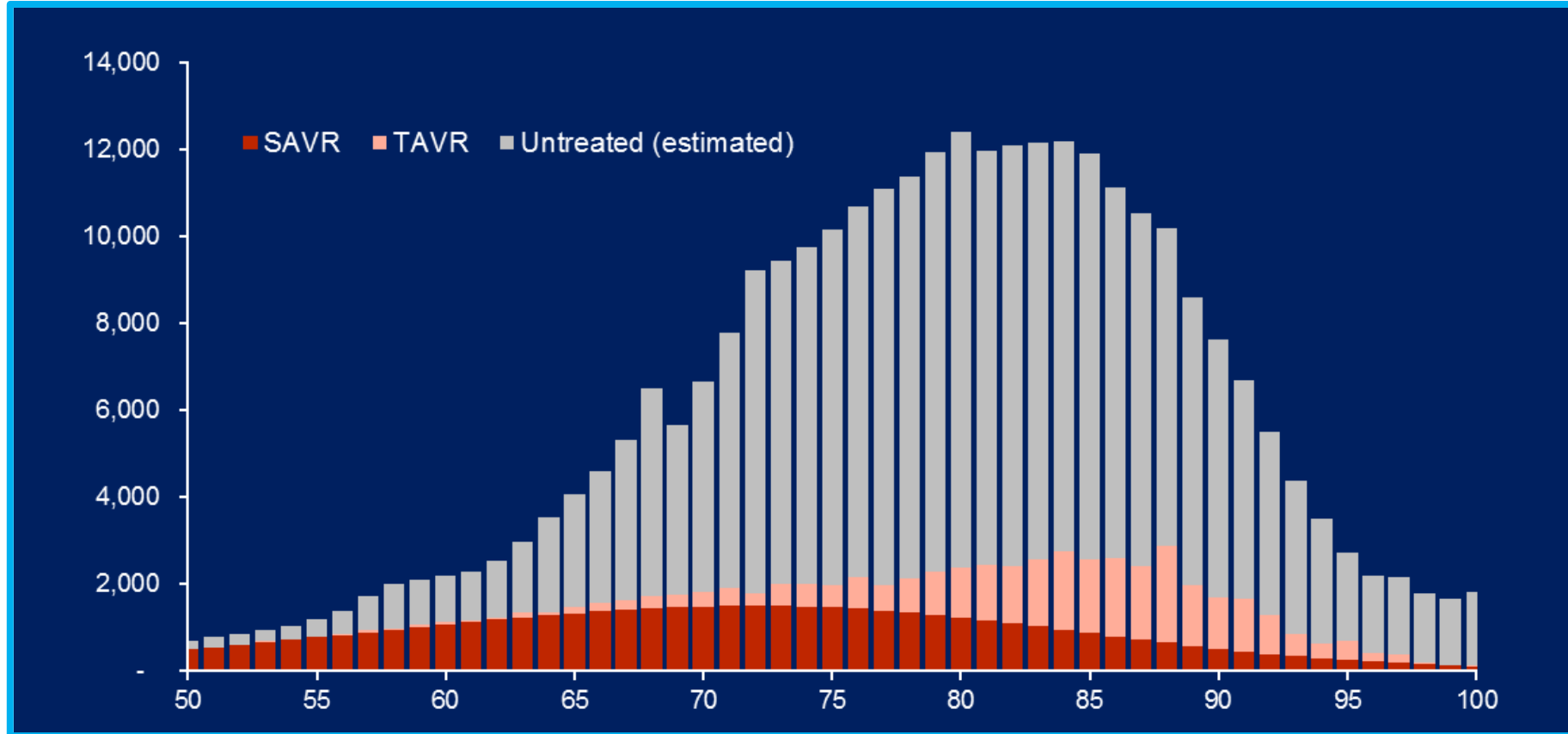


# Incidence of AS according to Age

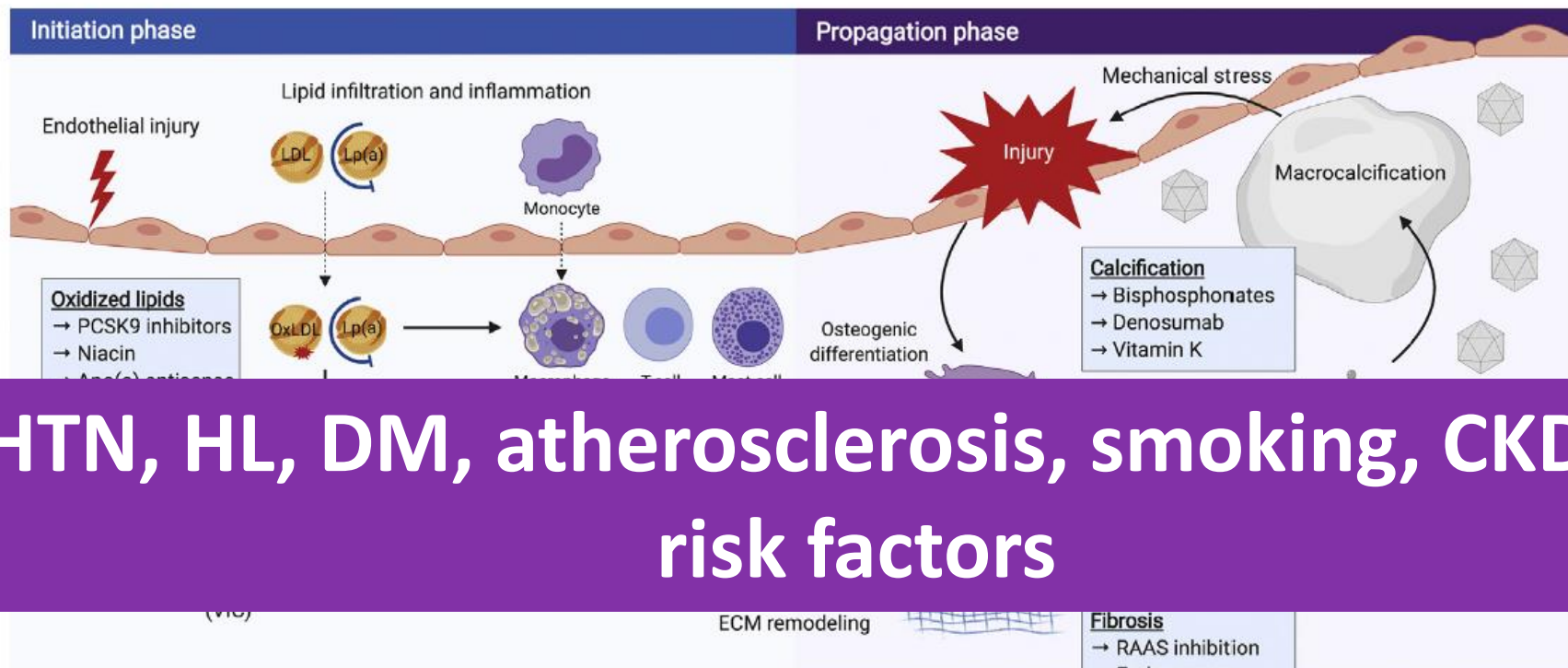


# Incidence of AS according to Age (6% above age 75)

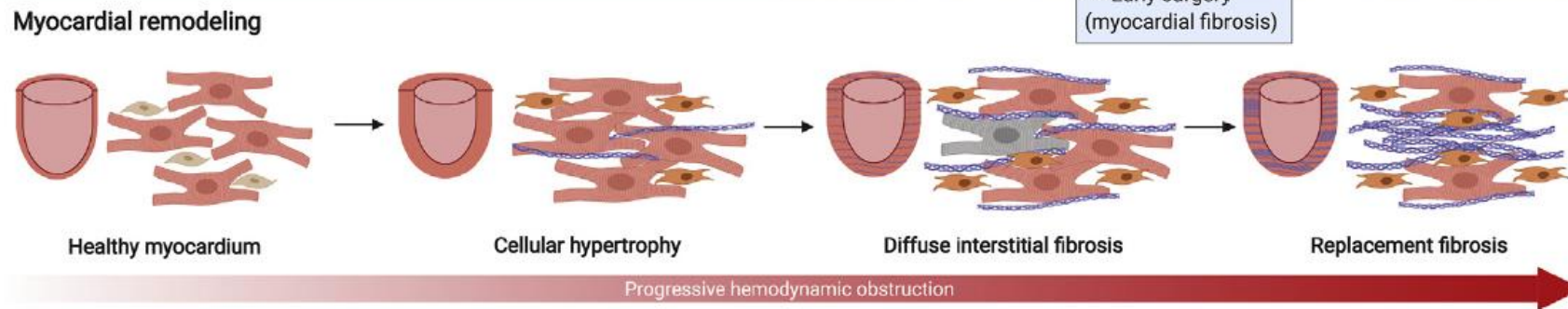
## 2015 Severe Symptomatic AS Patients in the U.S.



# Pathophysiology of Aortic Stenosis



**HTN, HL, DM, atherosclerosis, smoking, CKD are risk factors**





# Statin Trials to Treat CAVD

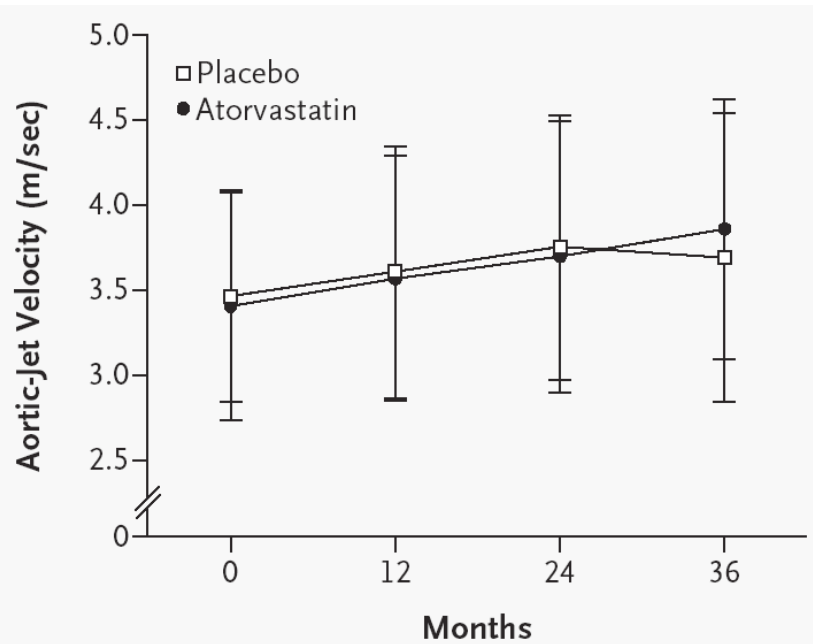
| Study (year)                              | Participants                                                                                                                                      | Outcomes                                                                                                               |
|-------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|
| Retrospective study (2001) <sup>73</sup>  | 180 participants aged >60 years                                                                                                                   | Significant decrease in peak systolic pressure gradient (a marker of aortic valve function) in patients taking statins |
| Retrospective study (2001) <sup>75</sup>  | 174 patients with mild-to-moderate CAVD (57 statin-treated, 117 not taking statins)                                                               | Patients taking statins had a slight improvement in aortic valve remodelling                                           |
| Prospective analysis (2002) <sup>82</sup> | 156 patients (38 statin-treated, 118 not receiving any lipid-lowering treatment)                                                                  | Patients taking statins had a slight improvement in aortic valve area                                                  |
| SALTIRE trial (2005) <sup>83</sup>        | Randomized, double-blind trial of 155 patients given atorvastatin or placebo (mean follow-up 25 months)                                           | No significant difference in aortic jet velocity or valve calcification between atorvastatin and placebo               |
| RAAVE trial (2007) <sup>74</sup>          | Prospective study of 121 patients with moderate-to-severe CAVD (61 patients received rosuvastatin, 60 received no treatment)                      | Statin treatment seemed to slow haemodynamic progression of CAVD, as indicated by changes in aortic jet velocity       |
| SEAS trial (2008) <sup>84</sup>           | Randomized, double-blind trial of 1,873 patients with mild-to-moderate asymptomatic aortic stenosis receiving either simvastatin or placebo daily | Statin treatment did not reduce cardiovascular events associated with CAVD                                             |
| SEAS follow-up (2010) <sup>85</sup>       | 1,763 patients from the SEAS trial divided into tertiles according to CAVD severity on the basis of peak aortic jet velocity                      | Statins did not improve CAVD outcomes regardless of initial severity of disease                                        |
| ASTRONOMER trial (2010) <sup>86</sup>     | Randomized, double-blind trial of 269 patients given rosuvastatin or placebo                                                                      | Statin treatment did not reduce deterioration in peak aortic pressure gradient                                         |

Abbreviation: CAVD, calcific aortic valve disease.

# Failure of Statin Rx to Treat CAVD

## SALTIRE (2005)

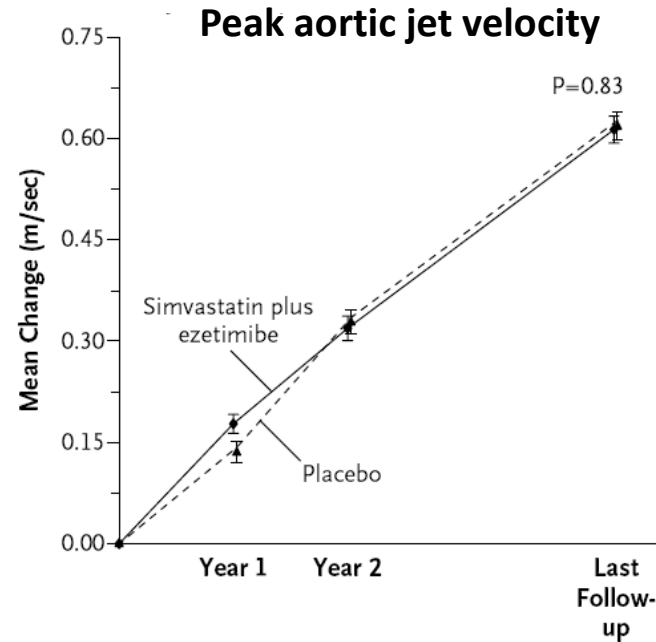
N = 155 pts



Cowell et al, NEJM,  
352:2389-97,2005

## SEAS (2008)

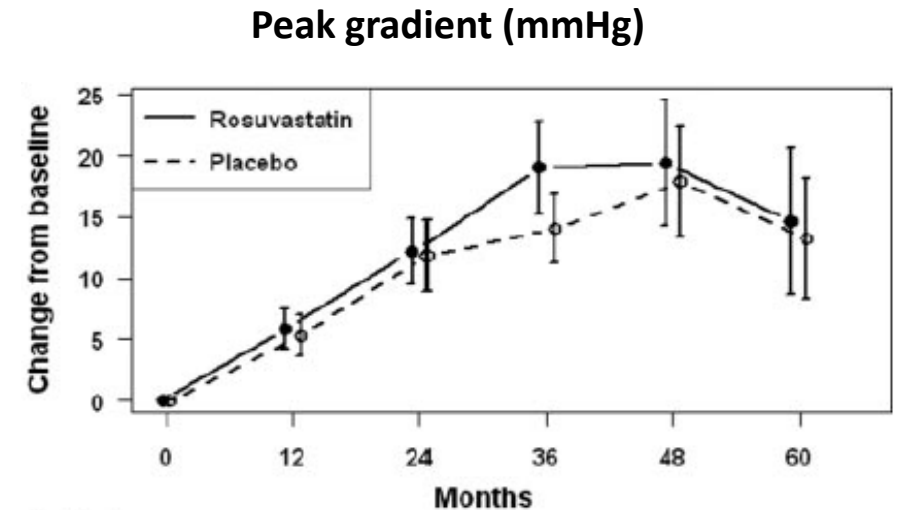
N = 1,873 pts



Rossebo et al, NEJM,  
359:1343-56, 2008

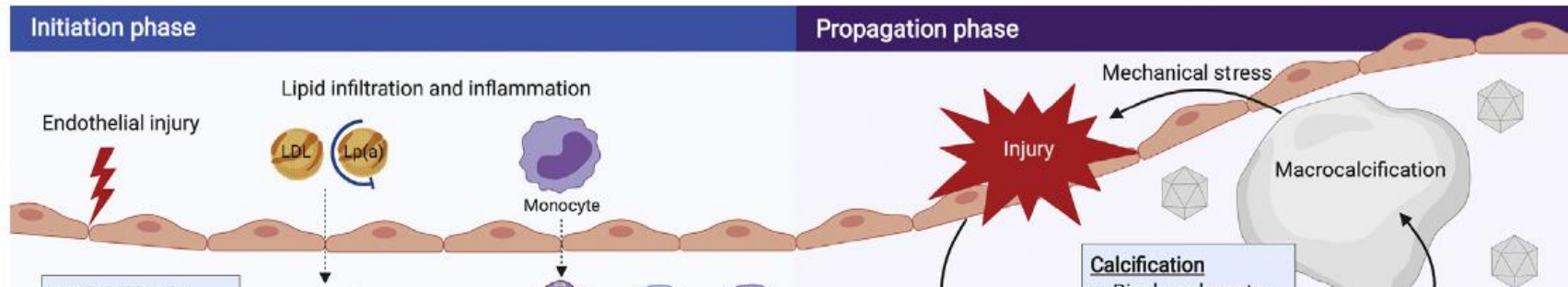
## ASTRONOMER (2010)

N = 269 pts

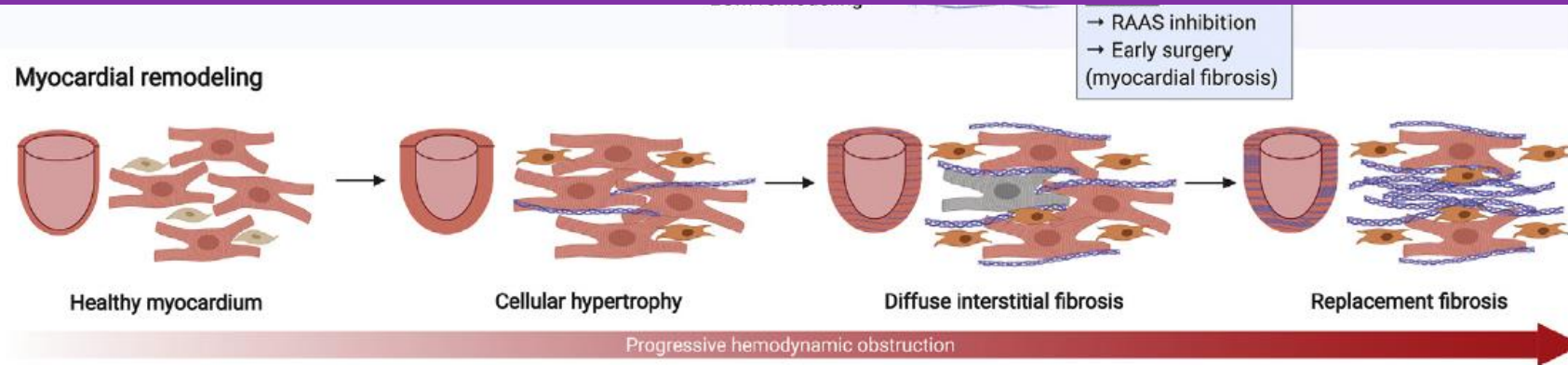


Chan et al, Circulation  
121:306-314, 2010

# Pathophysiology of Aortic Stenosis



**As of today, there are NO known proven medical therapies (e.g. statins and ACEI) to slow or prevent the progression of AS.**



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ESTABLISHED IN 1812

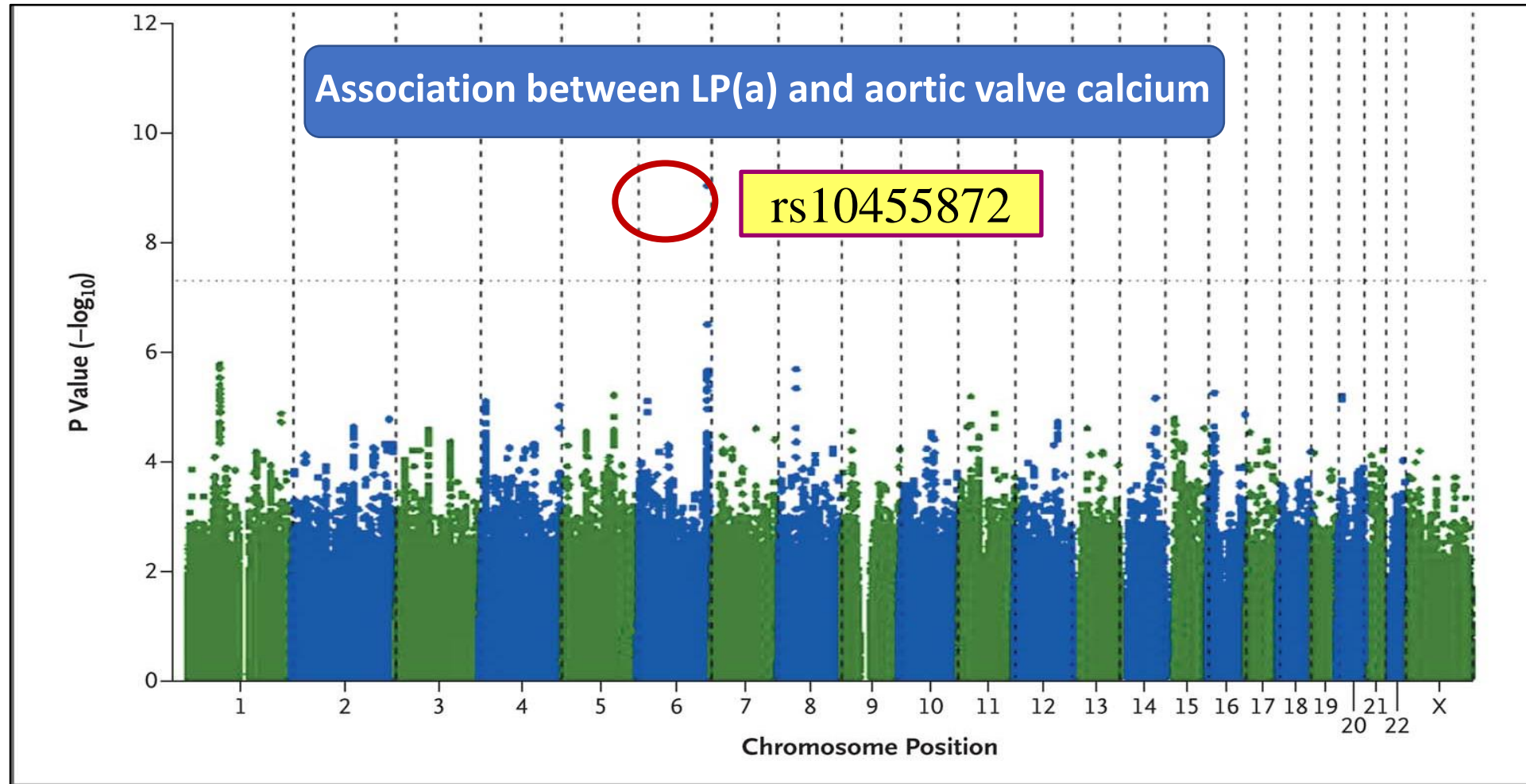
FEBRUARY 7, 2013

VOL. 368 NO. 6

## Genetic Associations with Valvular Calcification and Aortic Stenosis

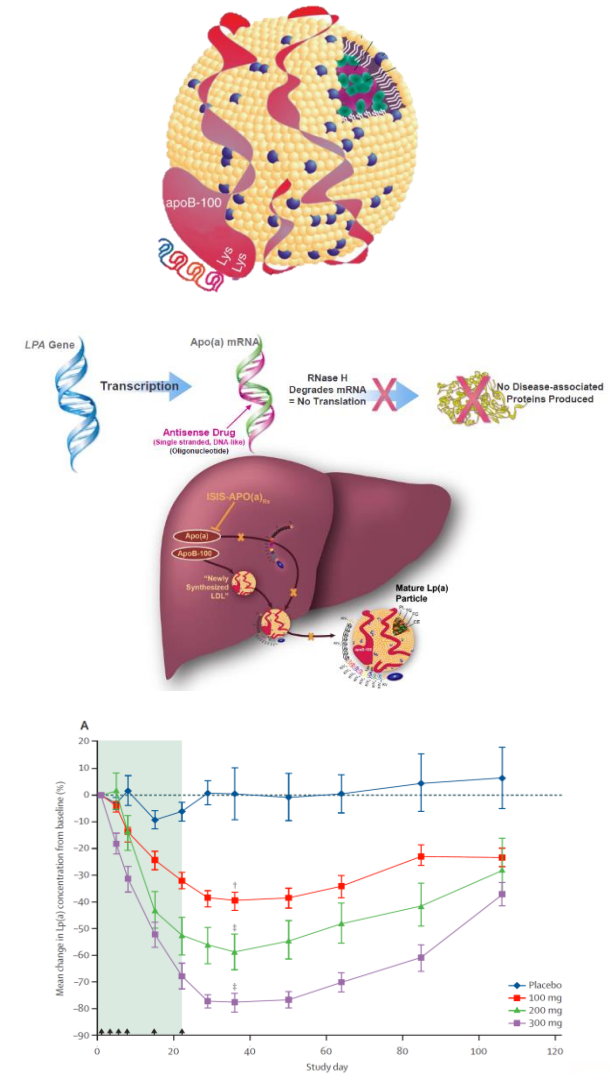
George Thanassoulis, M.D., Catherine Y. Campbell, M.D., David S. Owens, M.D., J. Gustav Smith, M.D., Ph.D., Albert V. Smith, Ph.D., Gina M. Peloso, Ph.D., Kathleen F. Kerr, Ph.D., Sonali Pechlivanis, Ph.D., Matthew J. Budoff, M.D., Tamara B. Harris, M.D., Rajeev Malhotra, M.D., Kevin D. O'Brien, M.D., Pia R. Kamstrup, M.D., Ph.D., Børge G. Nordestgaard, M.D., D.M.Sc., Anne Tybjaerg-Hansen, M.D., D.M.Sc., Matthew A. Allison, M.D., M.P.H., Thor Aspelund, Ph.D., Michael H. Criqui, M.D., M.P.H., Susan R. Heckbert, M.D., Ph.D., Shih-Jen Hwang, Ph.D., Yongmei Liu, Ph.D., Marketa Sjogren, Ph.D., Jesper van der Pals, M.D., Ph.D., Hagen Kälsch, M.D., Thomas W. Muhleisen, Ph.D., Markus M. Nöthen, M.D., L. Adrienne Cupples, Ph.D., Muriel Caslake, Ph.D., Emanuele Di Angelantonio, M.D., Ph.D., John Danesh, F.R.C.P., Jerome I. Rotter, M.D., Sigurdur Sigurdsson, M.Sc., Quenna Wong, M.S., Raimund Erbel, M.D., Sekar Kathiresan, M.D., Olle Melander, M.D., Ph.D., Vilmundur Gudnason, M.D., Ph.D., Christopher J. O'Donnell, M.D., M.P.H., and Wendy S. Post, M.D.,  
for the CHARGE Extracoronary Calcium Working Group

# Lp (a) Reigns Supreme in GWAS!

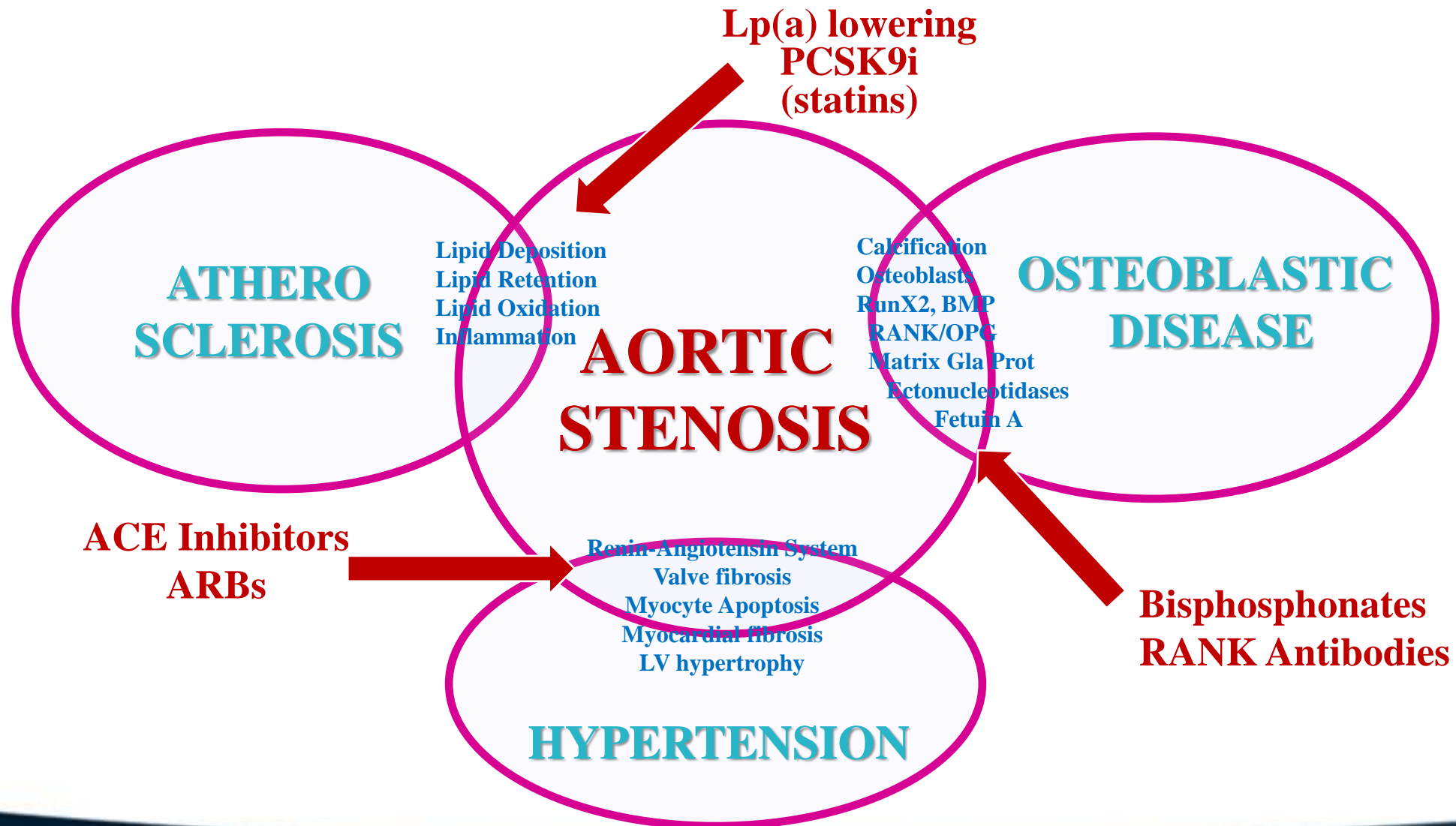


# Lp (a) Reigns Supreme!

- About 20% of the general population (65 Million people in North America) have elevated Lp(a)
- Lp(a) circulating levels are determined genetically and currently available drugs (Niacin) only achieve modest reduction in Lp(a)
- Phase I and II trials report that oligonucleotide antisense directed to Apo(a), reduces Lp(a) levels by >80% with minimal side effects



# Modern Thinking – Medical Rx for AS



# Future Perspectives on Medical Rx for CAVD

| Study                                                                                                                                      | Target                                      | Treatment                                                                                                                                                         | Main Inclusion Criteria                                                                                                          | Follow-up                      | Primary Efficacy Endpoints                                                                                                        |
|--------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|
| PCSK9 inhibitors in the progression of aortic stenosis (NCT03051360)                                                                       | ApoB-containing lipoproteins; PCSK9         | Biweekly injection of PCSK9 inhibitor vs placebo                                                                                                                  | Mild-moderate aortic stenosis (n = 140)                                                                                          | 2 years                        | Change in aortic valve CT calcium score and <sup>18</sup> F-NaF uptake                                                            |
| EAVaLL—Early Aortic Valve Lipoprotein (a) Lowering (NCT02109614)                                                                           | Lipoprotein(a)                              | Daily extended-release niacin 1500–2000 mg vs Placebo                                                                                                             | Aortic sclerosis or mild aortic stenosis + elevated Lp(a) levels (>50 mg/dL) (n = 150)                                           | 2 years                        | Change in aortic valve CT calcium score                                                                                           |
| SALTIRE II—Study Investigating the Effect of Drugs Used to Treat Osteoporosis on the Progression of Calcific Aortic Stenosis (NCT02132026) | Mineral metabolism                          | <ul style="list-style-type: none"> <li>Alendronic acid (n = 50) vs placebo tablets (n = 25)</li> <li>Denosumab (n = 50) vs placebo injections (n = 25)</li> </ul> | Aortic stenosis ( $V_{max} > 2.5$ m/s)                                                                                           | 2 years                        | Change in aortic valve calcium score, aortic valve <sup>18</sup> F-NaF uptake                                                     |
| BASIK2—Bicuspid Aortic Valve Stenosis and the Effect of Vitamin K2 on calcium metabolism on <sup>18</sup> F-NaF PET/MRI (NCT02917525)      | Vitamin K2-Matrix Gla protein               | Daily vitamin K2 360 µg (n = 22) vs placebo (n = 22)                                                                                                              | Bicuspid aortic valve and calcified mild to moderate aortic stenosis                                                             | 18 months                      | Change in aortic valve <sup>18</sup> F-NaF uptake at 6 mo; change in aortic valve calcium score (secondary endpoint at 6 + 18 mo) |
| EvoLveD—Early Valve Replacement Guided by Biomarkers of LV Decompensation in Asymptomatic Patients With Severe AS (NCT03094143)            | Midwall fibrosis and timing of intervention | Early aortic valve replacement vs routine care                                                                                                                    | Asymptomatic severe aortic stenosis ( $V_{max} > 4.0$ m/s; or $V_{max} > 3.5$ with AVA $< 0.6$ cm <sup>2</sup> /m <sup>2</sup> ) | ± 3 y (until 88 events accrue) | Composite of all-cause mortality or unplanned aortic stenosis-related hospitalisation                                             |

Abbreviations: ApoB, apolipoprotein B; AVA, aortic valve area;  $V_{max}$ , peak aortic jet velocity.



# Incomplete Understanding of Pathogenesis

- Are there octogenarian with pristine aortic valves?
- Are risk factor modifications occur early enough in trials?
- Should we be studying aortic stenosis progression or prevention?
- Are all tricuspid valves born equal?
- LV reserve/response to aortic stenosis variations

# Upstream Management of Aortic Stenosis

- Pathophysiology
- Risk Factors
- Detection



# Future Screening Tools for Valvular Heart Disease

## Artificial Intelligence/Machine Learning



European Heart Journal (2021) 00, 1–12  
doi:10.1093/eurheartj/ehab153

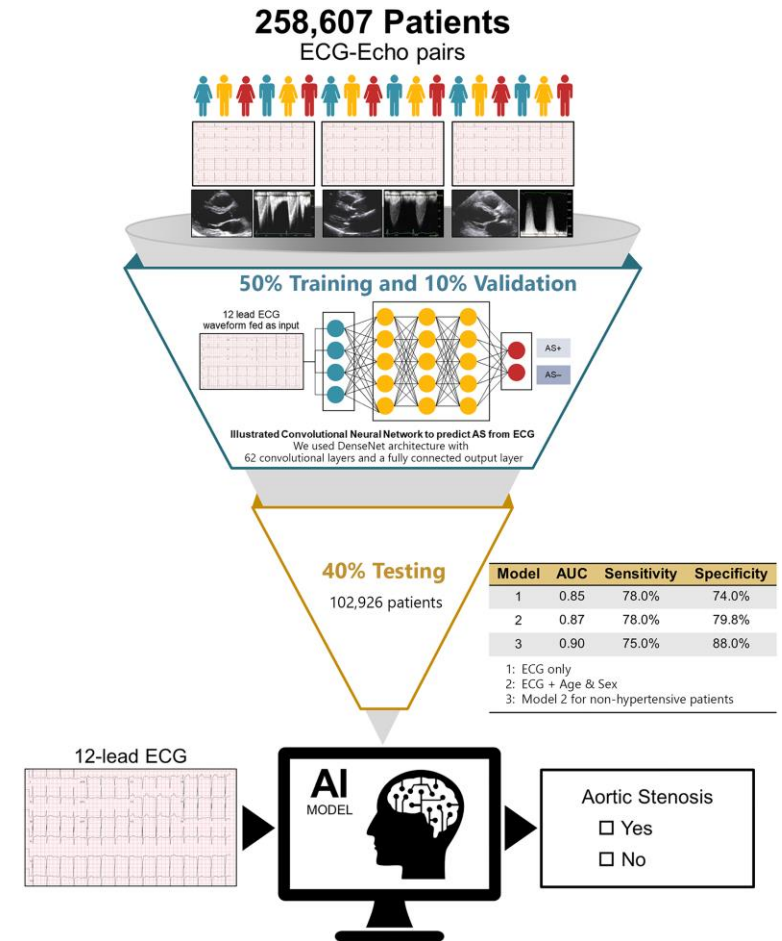
CLINICAL RESEARCH

Valvular heart disease

### Electrocardiogram screening for aortic valve stenosis using artificial intelligence

Michal Cohen-Shelly <sup>1</sup>, Zachi I. Attia <sup>1</sup>, Paul A. Friedman <sup>1</sup>, Saki Ito <sup>1</sup>, Benjamin A. Essayagh <sup>1</sup>, Wei-Yin Ko <sup>1</sup>, Dennis H. Murphree <sup>1</sup>, Hector I. Michelena <sup>1</sup>, Maurice Enriquez-Sarano <sup>1</sup>, Rickey E. Carter <sup>2</sup>, Patrick W. Johnson <sup>2</sup>, Peter A. Noseworthy <sup>1</sup>, Francisco Lopez-Jimenez <sup>1</sup>, and Jae K. Oh <sup>1\*</sup>

In the test group, the AI-ECG labelled 3833 (3.7%) patients as positive with the area under the curve (AUC) of 0.85. The sensitivity, specificity, and accuracy were 78%, 74%, and 74%, respectively.



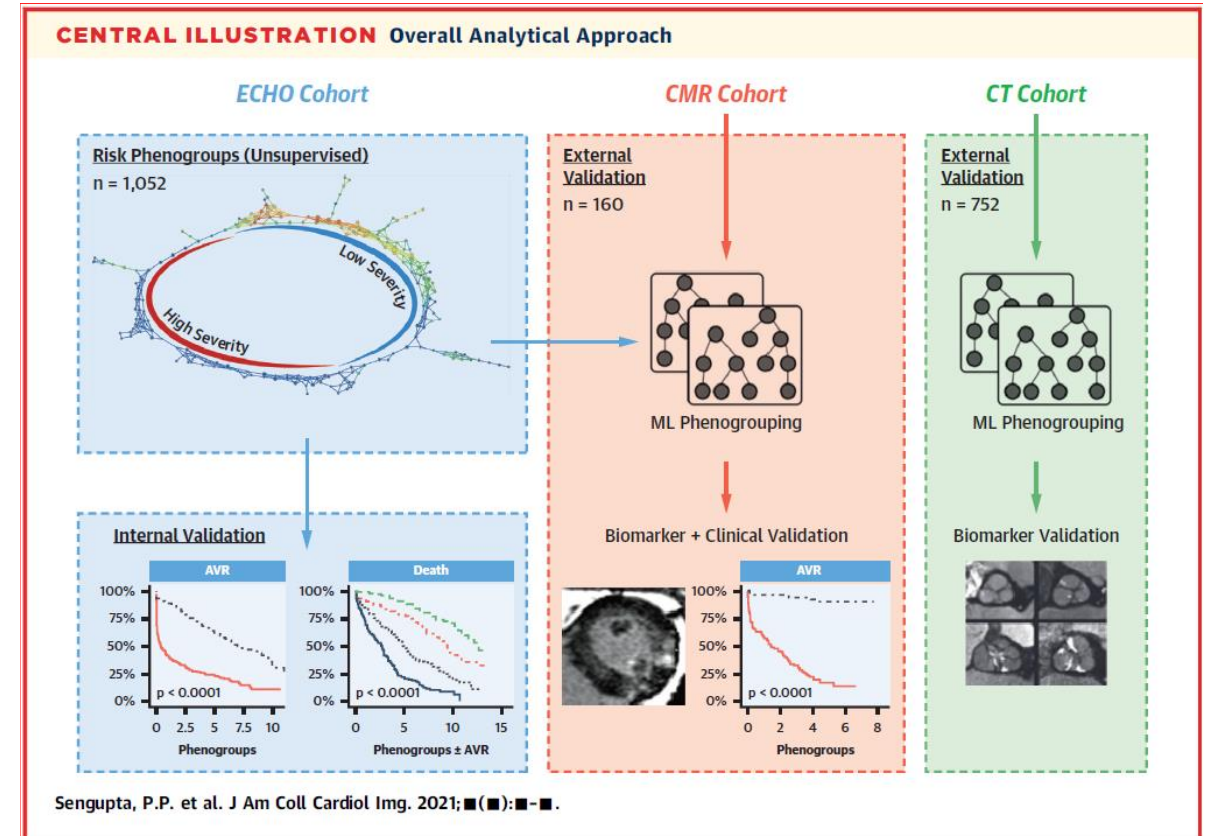
# Future Screening Tools for Valvular Heart Disease

## *Artificial Intelligence/Machine Learning*

### A Machine-Learning Framework to Identify Distinct Phenotypes of Aortic Stenosis Severity

Partho P. Sengupta, MD, DM,<sup>a</sup> Sirish Shrestha, MS,<sup>a</sup> Nobuyuki Kagiyama, MD, PhD,<sup>a</sup> Yasmin Hamirani, MD,<sup>a</sup> Hemant Kulkarni, MD,<sup>a,b</sup> Naveena Yanamala, PhD,<sup>a</sup> Rong Bing, MBBS,<sup>c</sup> Calvin W.L. Chin, MD, PhD,<sup>d</sup> Tania A. Pawade, MD, PhD,<sup>c</sup> David Messika-Zeitoun, MD,<sup>e</sup> Lionel Tastet, MSc,<sup>f</sup> Mylène Shen, PhD,<sup>f</sup> David E. Newby, MD, PhD,<sup>c</sup> Marie-Annick Clavel, DVM, PhD,<sup>f</sup> Philippe Pibarot, DVM, PhD,<sup>f</sup> Marc R. Dweck, MD, PhD,<sup>c</sup> for the Artificial Intelligence for Aortic Stenosis at Risk International Consortium

**Conclusions:**  
Machine learning can integrate ECHO measurements to augment the classification of disease severity in most patients with AS, with major potential to optimize the timing of AVR. (JACC Imaging 2021)



# Upstream Management of Aortic Stenosis

- Pathophysiology
- Risk Factor Modification
- Detection
  - Is there a CAC score equivalent for early aortic stenosis?
    - Score of 1648 is associated with severe AS



# Upstream Management of Aortic Stenosis

- Pathophysiology
- Risk Factor Modification
- Detection
- **Criteria for Treatment**



# Traditional Thinking – Aortic Stenosis

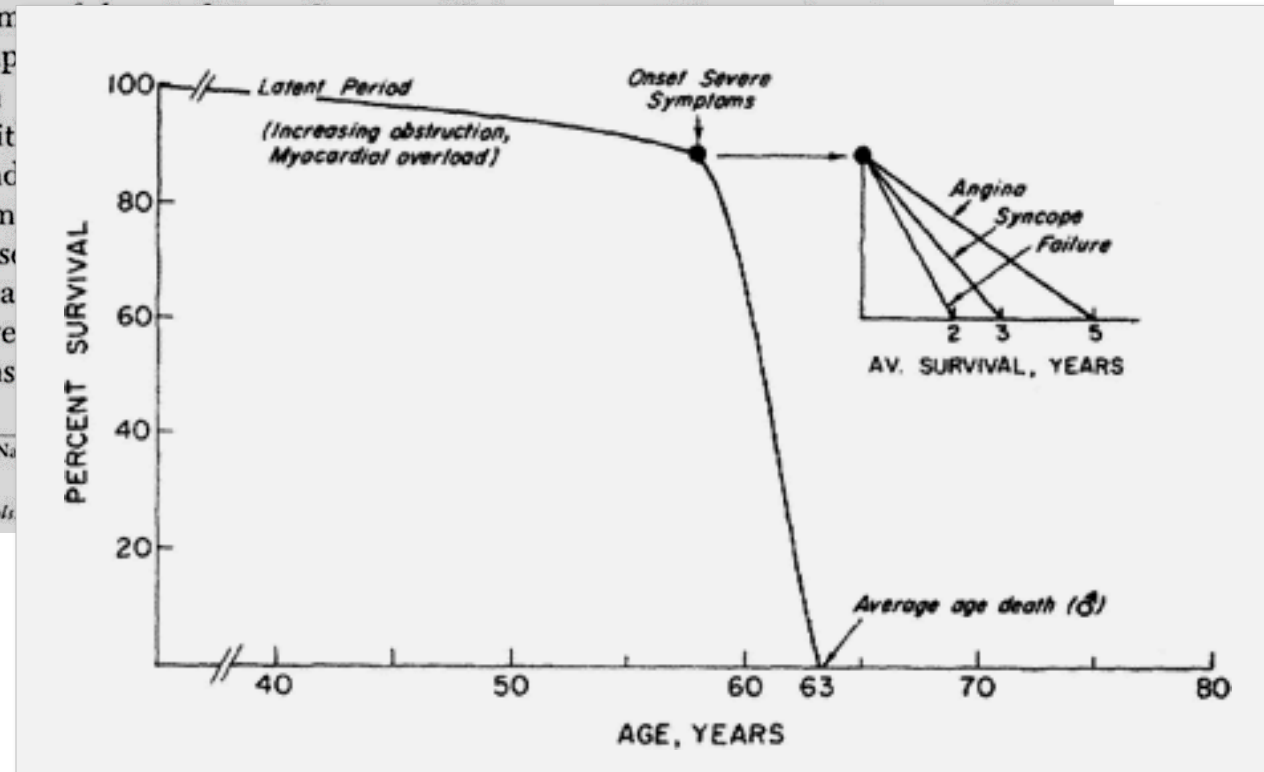
## Aortic Stenosis

By JOHN ROSS, JR., M.D. AND EUGENE BRAUNWALD, M.D.

THE ADVENT of corrective operations for various forms of aortic stenosis has replaced increasing emphasis on accurate information from the history of patients with lesions. An understanding of aortic stenosis because of its tendency to accompany the onset of sudden death and the grave consequences to accompany the onset of severe symptoms.

From the Cardiology Branch, National Institutes of Health, Bethesda, Maryland.

Supplement V to *Circulation*, Vol. 38



# Traditional Thinking – Aortic Stenosis

## Aortic Stenosis

By JOHN ROSS, JR., M.D. AND EUGENE BRAUNWALD, M.D.

THE ADVENT of corrective operations for various forms of aortic stenosis has placed increasing emphasis on the need for accurate information on the natural history of patients with isolated valvular aortic stenosis. An understanding of the disease assumes particular importance because of the prevalence of sudden death in aortic stenosis because of the prevalence of sudden death and the grave prognosis to accompany the onset of symptoms.

From the Cardiology Branch, National Institutes of Health, Bethesda, Maryland.

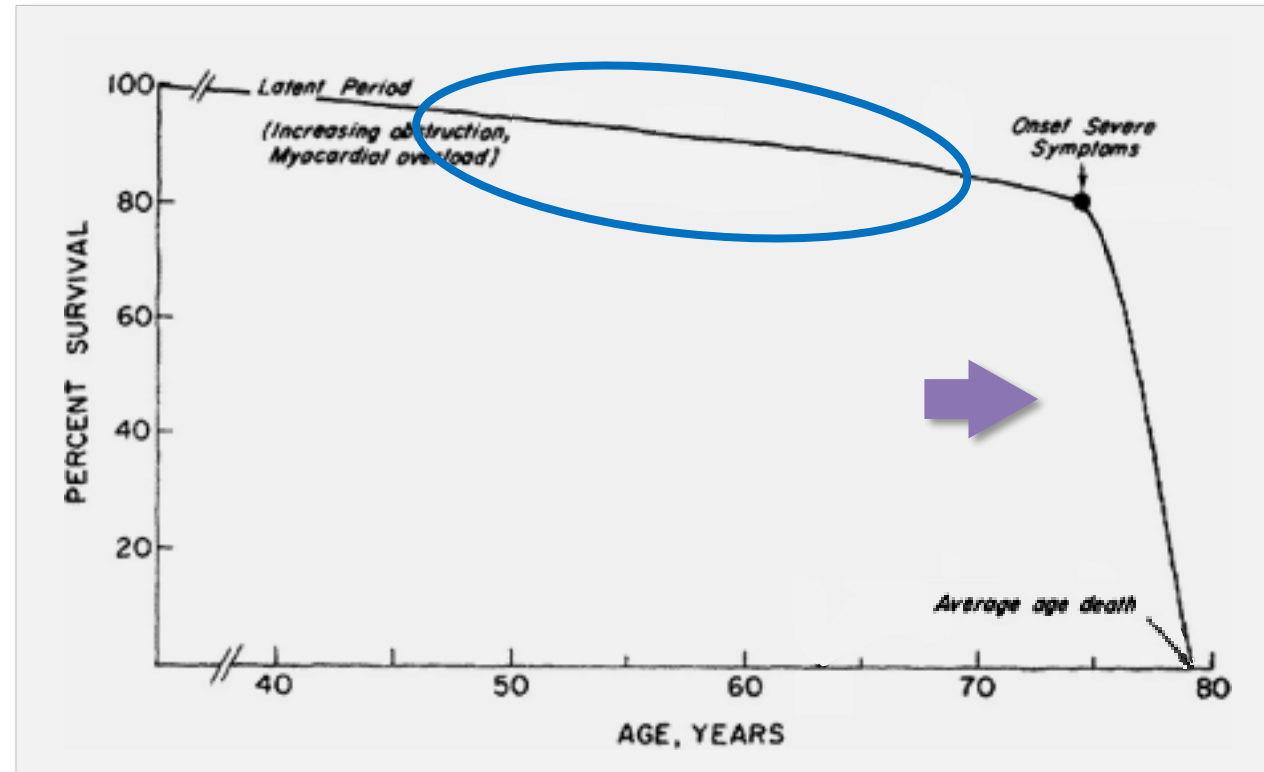
Supplement V to *Circulation*, Vol. 38





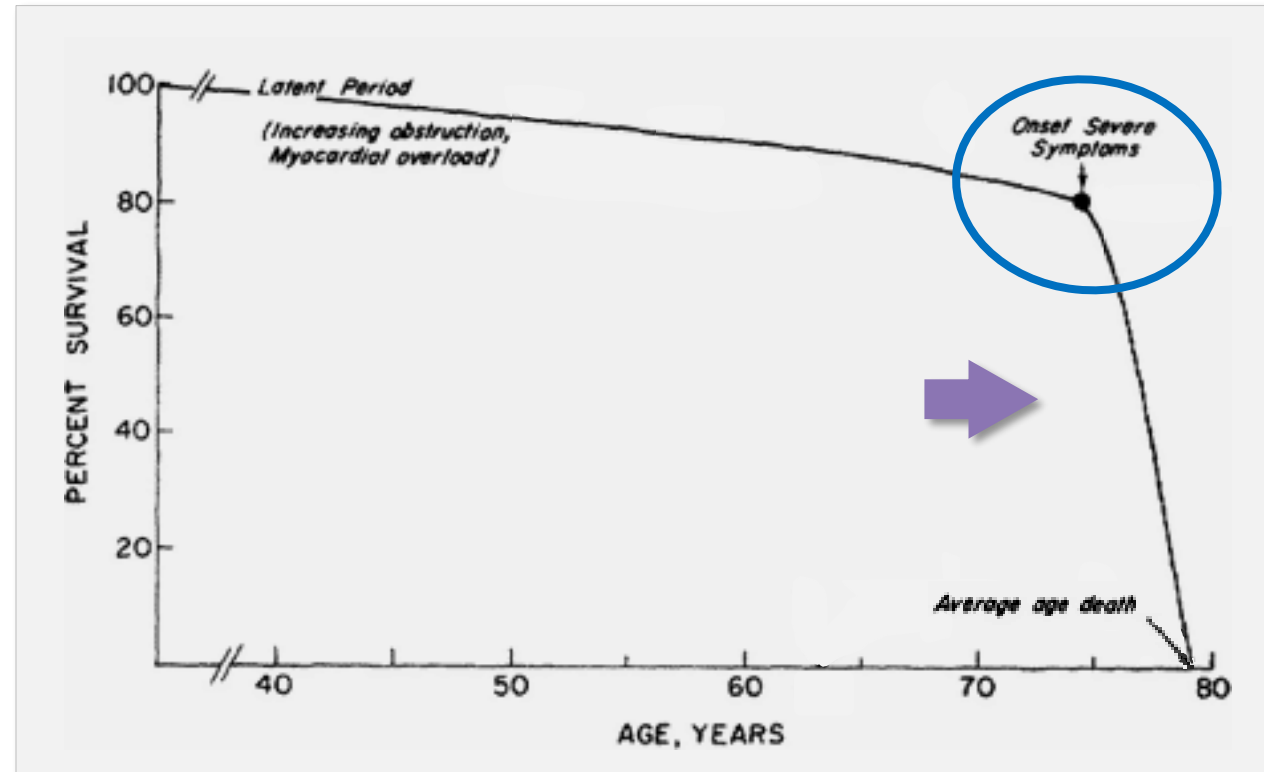
# Traditional Thinking – Aortic Stenosis

*Fundamental fallacies: 1. there are no important reversible and irreversible structural changes during the so-called latent period which negatively impact subsequent clinical outcomes*

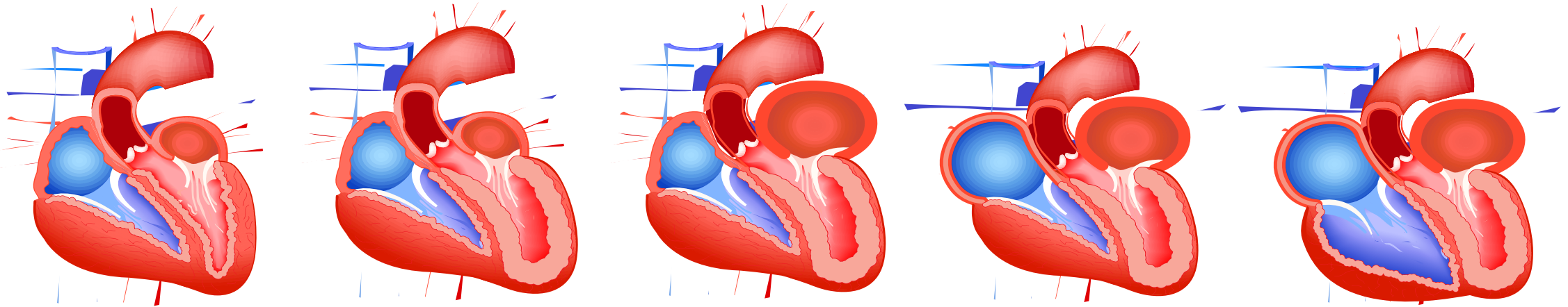


# Traditional Thinking – Aortic Stenosis

*Fundamental fallacies: 2. the onset of symptoms is discrete, easily identifiable (even in the elderly), and is inexorably linked to aortic stenosis severity*



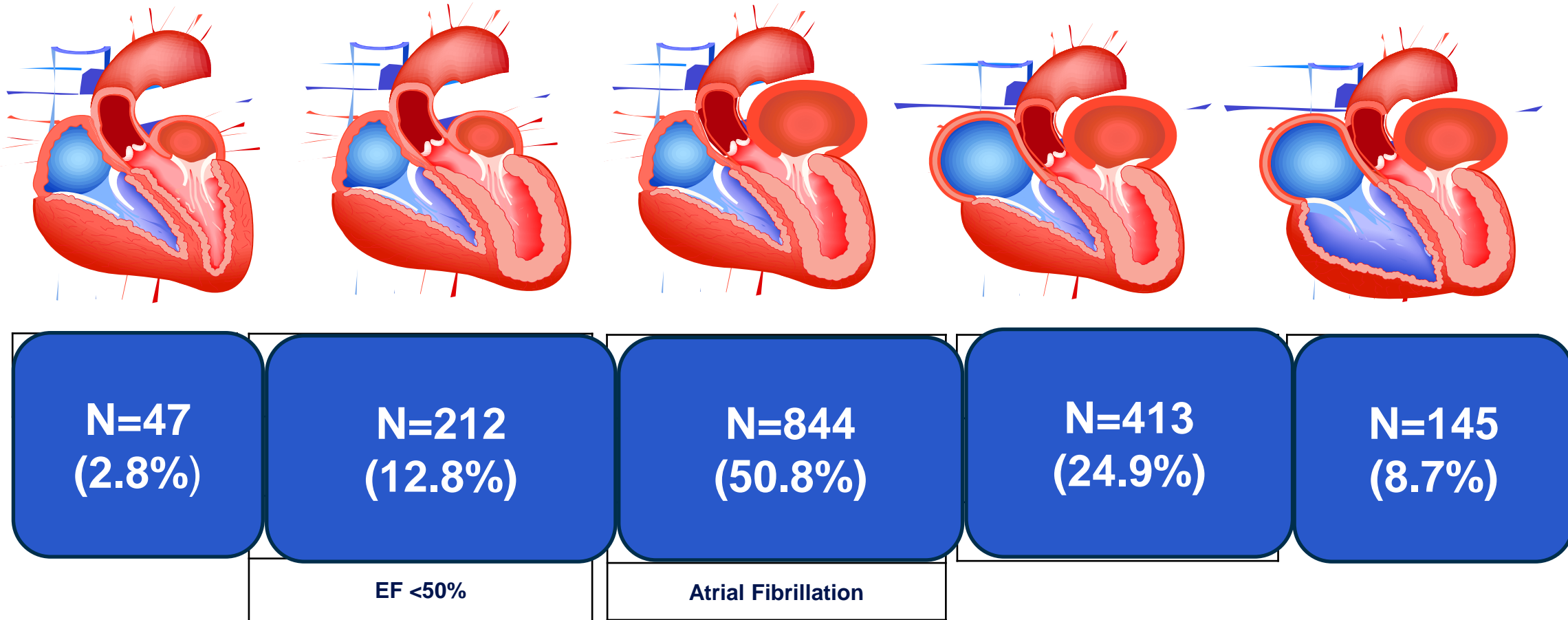
# Staging Classification in Severe AS (n=1,661 pts)



| Stage 0<br>No damage | Stage 1<br>LV damage                                                                 | Stage 2<br>LA/Mitral damage                        | Stage 3<br>PA/Tricuspid damage | Stage 4<br>RV damage              |
|----------------------|--------------------------------------------------------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------|
|                      | Increased LV Mass Index<br>>115 g/m <sup>2</sup> Male<br>>95 g/m <sup>2</sup> Female | Indexed left atrial volume<br>>34mL/m <sup>2</sup> | PAS ≥60mmhg                    | Moderate-Severe<br>RV dysfunction |
|                      | E/e' >14                                                                             | Moderate-Severe MR                                 | Moderate-Severe TR             |                                   |
|                      | EF <50%                                                                              | Atrial Fibrillation                                |                                |                                   |

*Patients hierarchically classified based on the presence of at least one variable in the highest stage (independent, not additive)*

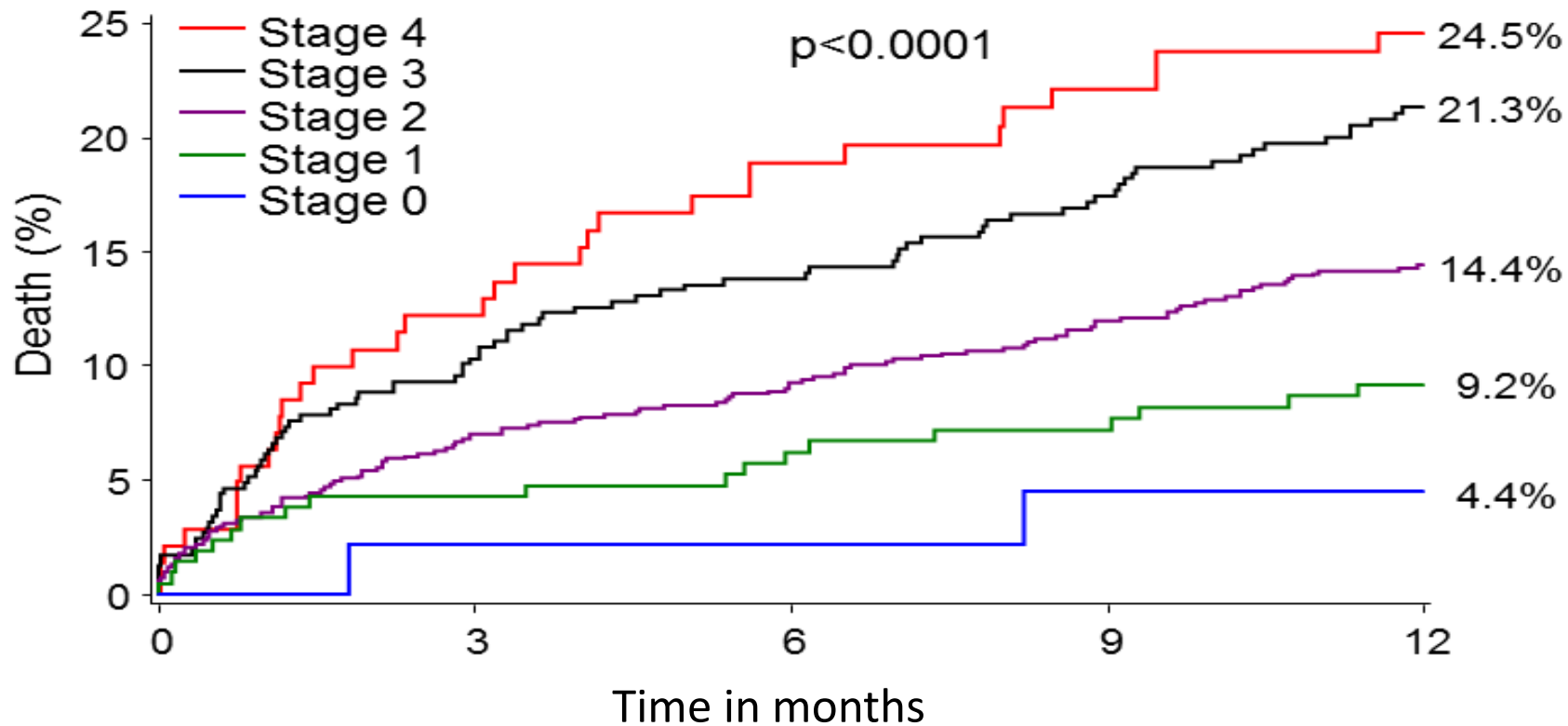
# Staging Classification in Severe AS (n=1,661 pts)



Patients hierarchically classified based on the presence of at least one variable in the highest stage (independent, not additive) ; Severe AS: AVA 1.0 cm<sup>2</sup>; mean gradient 40 mmHg

# Staging Classification in Severe AS (n=1,661 pts)

## One-year Mortality after AVR

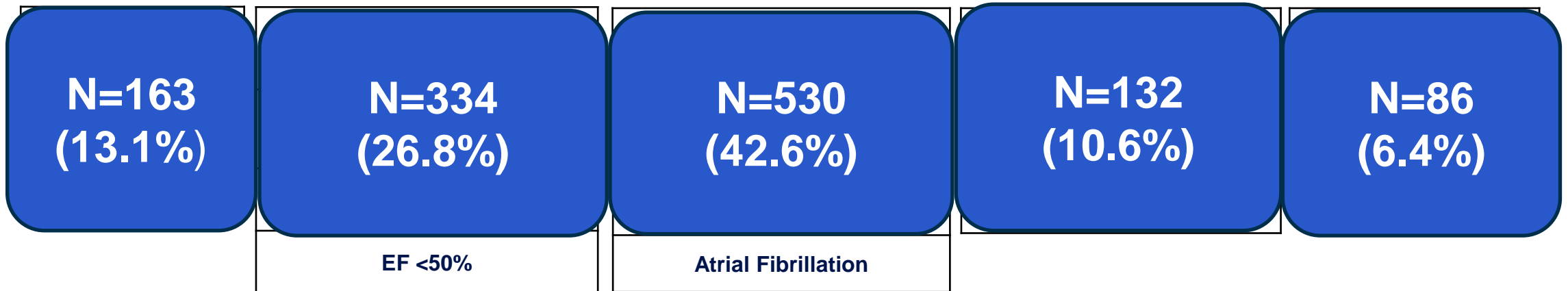
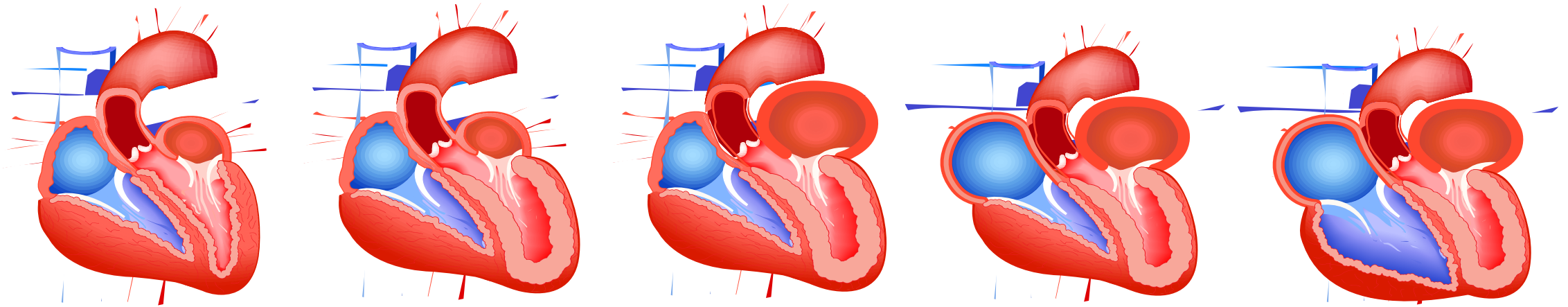


# Prognostic Implications of Associated Cardiac Abnormalities Detected on Echocardiography in Patients With Moderate Aortic Stenosis

Mohammed Rizwan Amanullah, MBBS,<sup>a,\*</sup> Stephan Milhorini Pio, MD,<sup>b,\*</sup> Arnold C.T. Ng, MBBS, PhD,<sup>c</sup> Kenny Y.K. Sin, MBBS,<sup>d</sup> Nina Ajmone Marsan, MD, PhD,<sup>b</sup> Zee Pin Ding, MBBS,<sup>a</sup> Martin B. Leon, MD,<sup>e</sup> Philippe Généreux, MD,<sup>f</sup> Victoria Delgado, MD, PhD,<sup>b</sup> See Hooi Ewe, MBBS, PhD,<sup>a</sup> Jeroen J. Bax, MD, PhD<sup>b</sup>

- 1245 patients with moderate AS followed in a longitudinal database
- Patients grouped according to index echocardiograms into 5 categories of severity of cardiac damage
- Significant higher mortality rates with increasing extent of extra-aortic valvular cardiac abnormalities (log-rank  $p < 0.001$ )

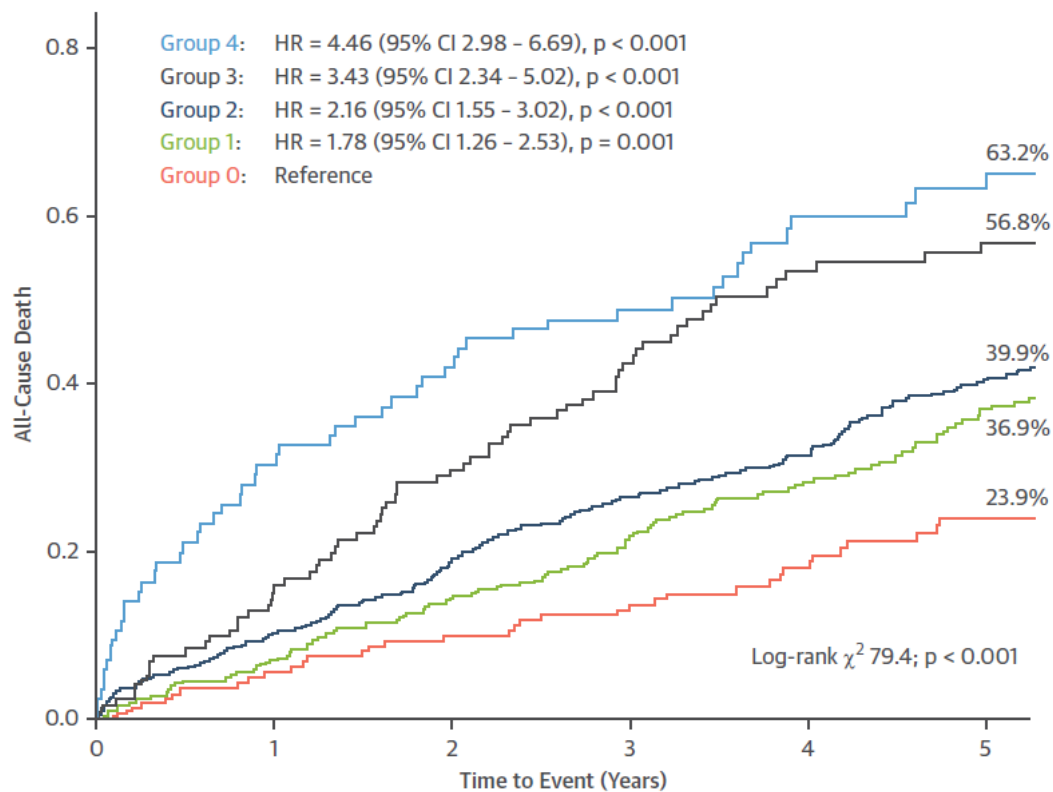
# Staging Classification in Moderate AS (n=1,245 pts)



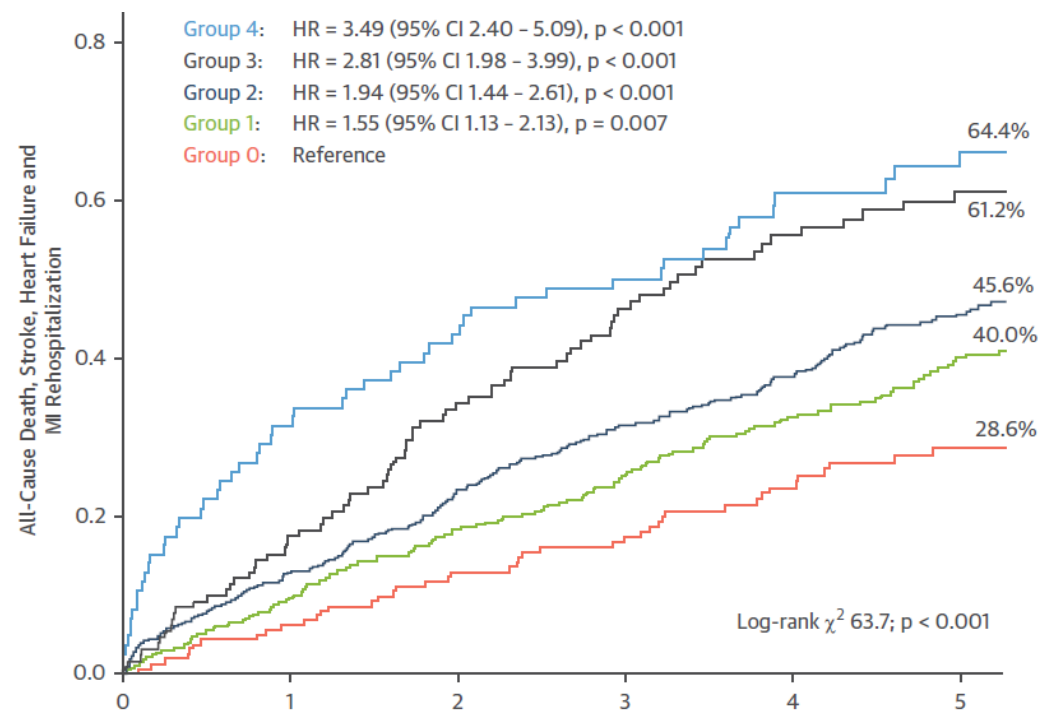
*Patients hierarchically classified based on the presence of at least one variable in the highest stage (independent, not additive) ; Moderate AS: AVA 1.2 cm<sup>2</sup>; mean gradient 24.4mmHg*

# Staging Classification in Moderate AS (n=1,245 pts)

## 5-yr Mortality



## 5-yr Mortality, Stroke or Rehosp

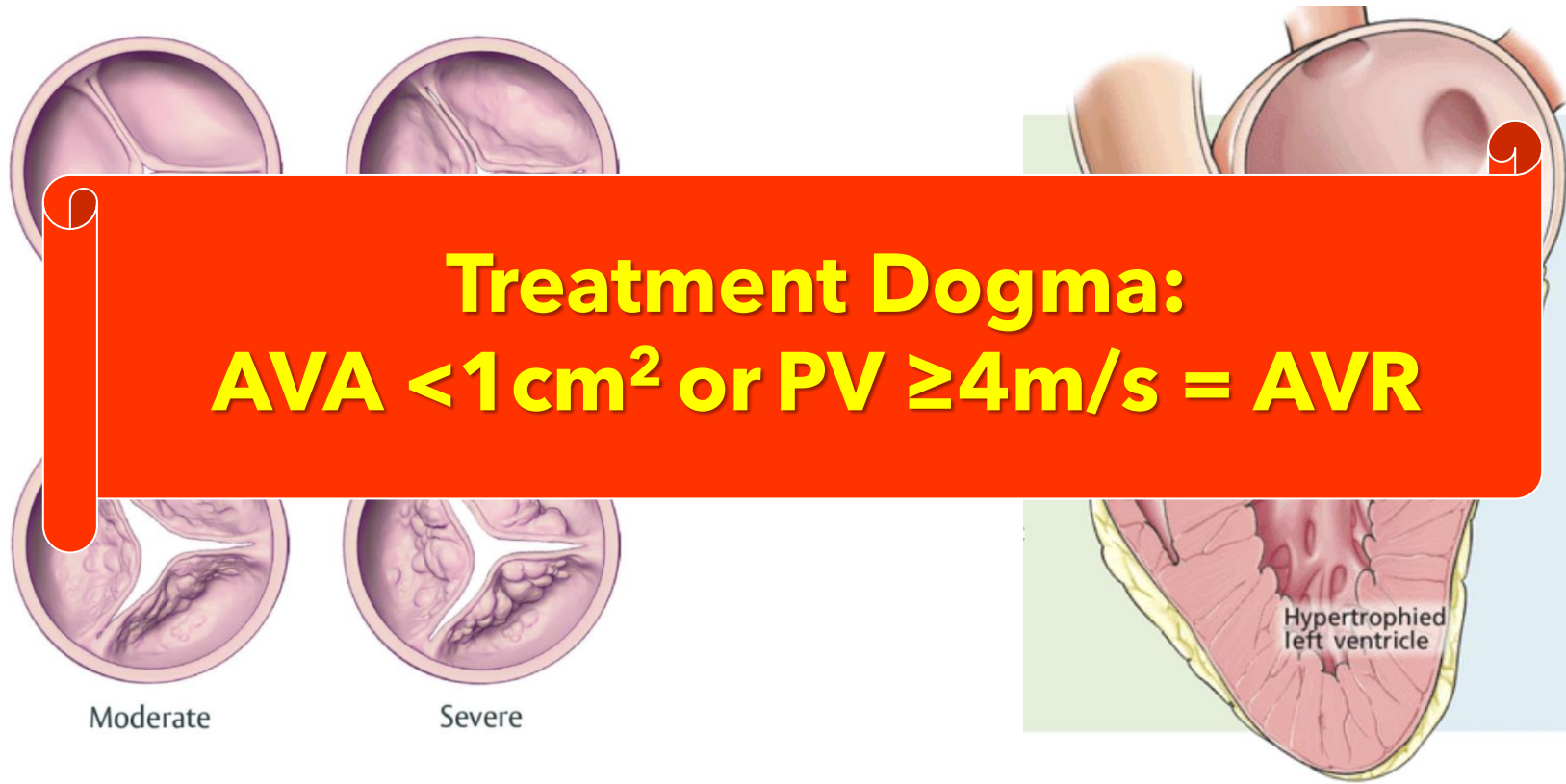




# Aortic Valve Therapies: The Future?

## *UPSTREAM AS Treatment*

*Two parallel processes with 'variable' linkage*



# The RECOVERY Surgical AVR Trial

*The* NEW ENGLAND  
JOURNAL *of* MEDICINE

ESTABLISHED IN 1812

JANUARY 9, 2020

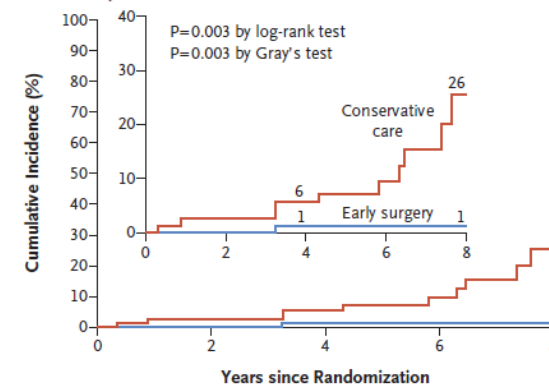
VOL. 382 NO. 2

## Early Surgery or Conservative Care for Asymptomatic Aortic Stenosis

Duk-Hyun Kang, M.D., Ph.D., Sung-Ji Park, M.D., Ph.D., Seung-Ah Lee, M.D., Sahmin Lee, M.D., Ph.D., Dae-Hee Kim, M.D., Ph.D., Hyung-Kwan Kim, M.D., Ph.D., Sung-Cheol Yun, Ph.D., Geu-Ru Hong, M.D., Ph.D., Jong-Min Song, M.D., Ph.D., Cheol-Hyun Chung, M.D., Ph.D., Jae-Kwan Song, M.D., Ph.D., Jae-Won Lee, M.D., Ph.D., and Seung-Woo Park, M.D., Ph.D.

- 145 asymptomatic patients w very severe AS randomized to early surgery or conservative care
- 1<sup>ry</sup> endpoint (operative and FU death) was 1% vs. 15% in early surgery vs. conservative care (P=0.003)

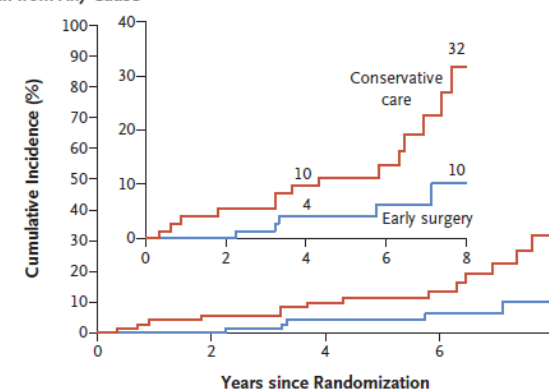
A Operative Mortality or Death from Cardiovascular Causes



No. at Risk

|                   |    |    |    |    |    |
|-------------------|----|----|----|----|----|
| Conservative care | 72 | 68 | 65 | 36 | 12 |
| Early surgery     | 73 | 73 | 70 | 38 | 13 |

B Death from Any Cause



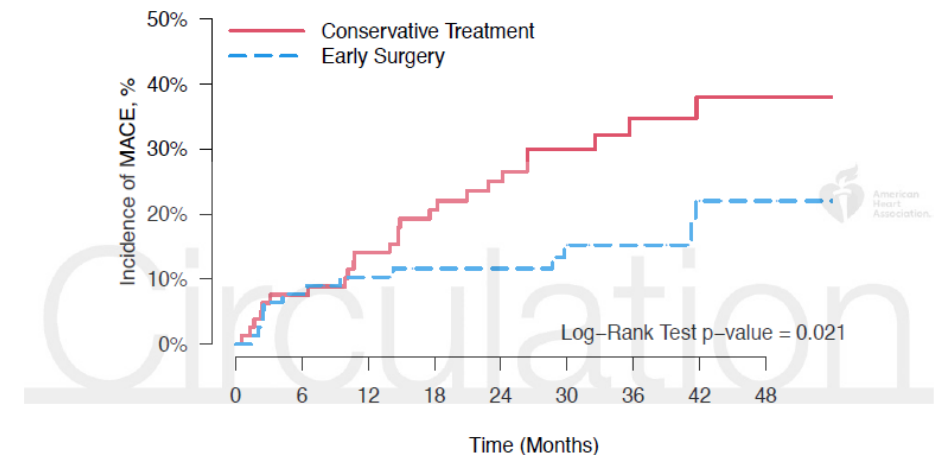
No. at Risk

|                   |    |    |    |    |    |
|-------------------|----|----|----|----|----|
| Conservative care | 72 | 68 | 65 | 36 | 12 |
| Early surgery     | 73 | 73 | 70 | 38 | 13 |

# The AVATAR Surgical AVR Trial

## Aortic Valve Replacement versus Conservative Treatment In Asymptomatic Severe Aortic Stenosis: The AVATAR Trial

- 157 asymptomatic patients (ETT confirmed) w severe AS, randomized to early surgery or conservative care at 9 centers from 7 EU countries; median FU 32 months
- Early surgery operative mortality 1.4%
- 1<sup>ry</sup> endpoint (MACE = death, MI, stroke and HF re hosp) was lower with early surgery vs. conservative care (HR 0.46, 95% CI 0.23-0.90; p=0.02)

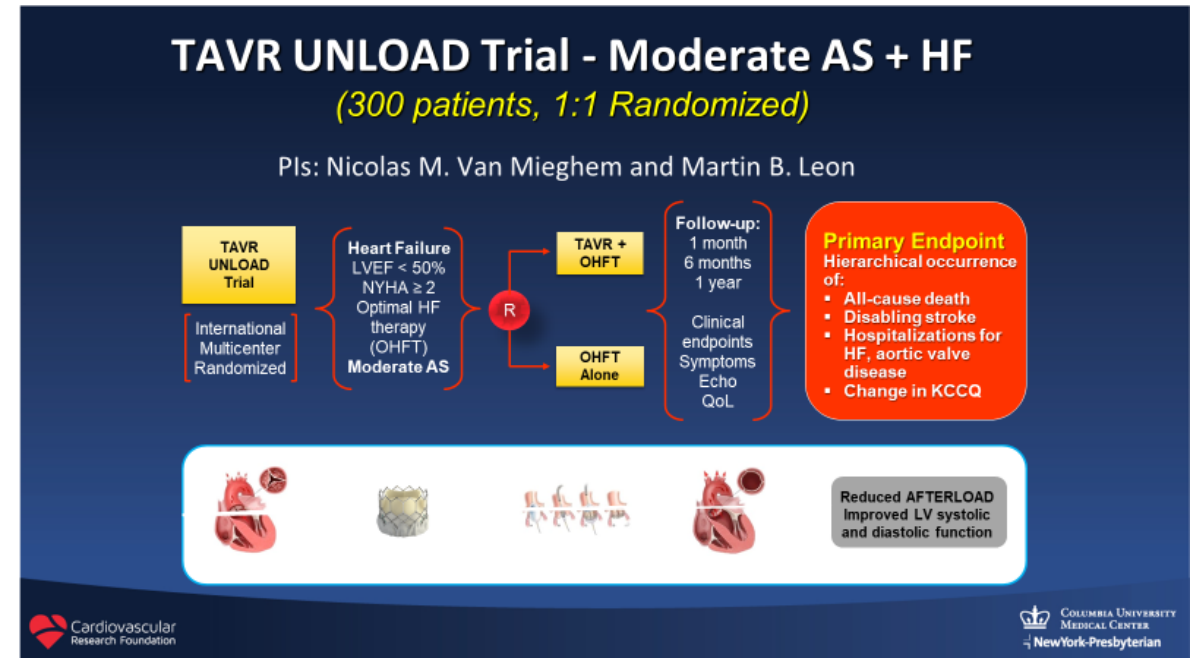
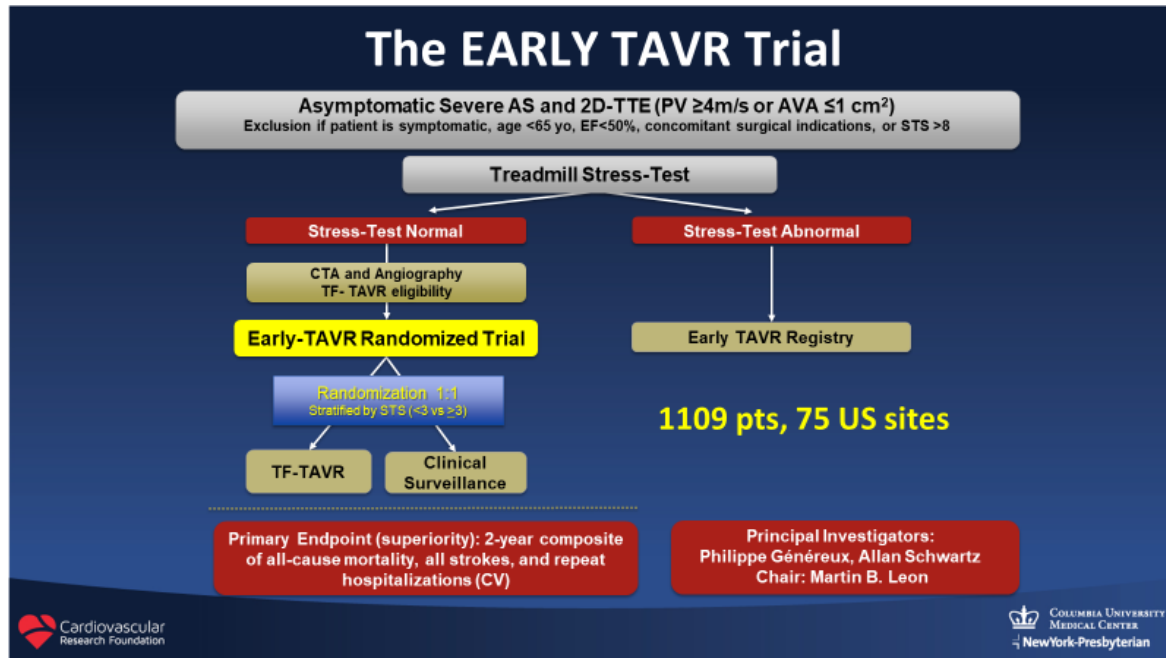


|                     | Patients, n |    |    |    |    |    |    |    |    |
|---------------------|-------------|----|----|----|----|----|----|----|----|
| Conservative Treat. | 79          | 73 | 66 | 59 | 49 | 36 | 25 | 19 | 12 |
| Early Surgery       | 78          | 72 | 68 | 63 | 56 | 46 | 38 | 23 | 13 |

# Pre-emptive (earlier) TAVR

## *EARLY TAVR and UNLOAD Trials*

### Expanding TAVR Clinical Indications to 'Earlier' Treatment Scenarios



# Upstream Mod AS Treatment

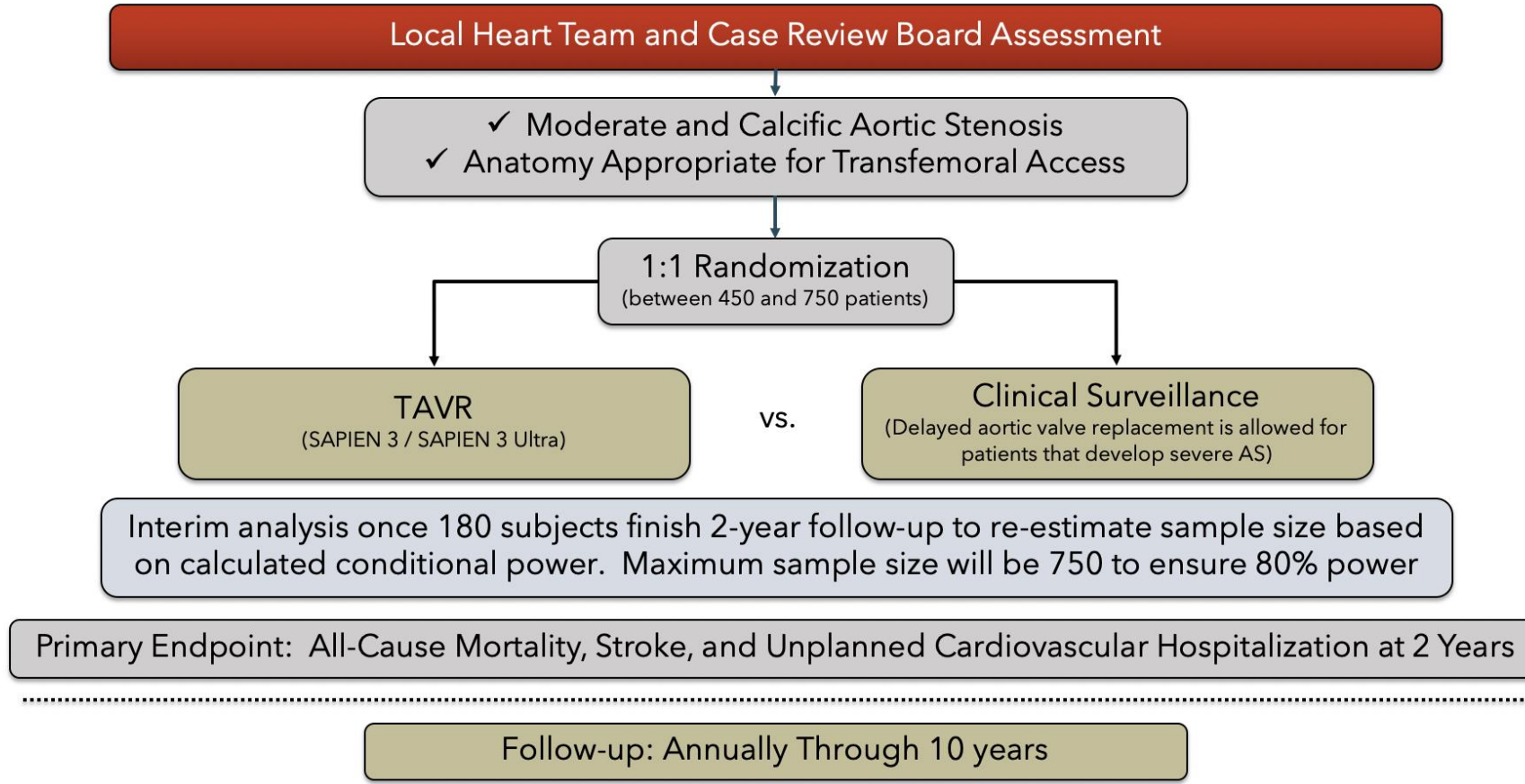
## *“At Risk” Predictors*

- Cardiac symptoms (esp. heart failure NYHA 3 or 4)
- Low ejection fraction (< 60% LVEF)
- Atrial fibrillation (persistent or recent paroxysmal)
- Low stroke volume (SVI < 35 cc/m<sup>2</sup>)
- Severe diastolic dysfunction (by echo criteria)
- Rapid AS progression (increase PV > 0.3 m/sec/year)
- Elevated cardiac biomarkers (BNP)
- Elevated AV calcium score by CT

# Upstream Mod AS Treatment: The Future?

## *The PROGRESS Trial*

### Study Design



# AS Severity Grading and Cardiac Staging

| Grade or Stage                                      | Stage 0<br>None | Stage 1<br>LV | Stage 2<br>LA-mitral | Stage 3<br>PA-tricuspid | Stage 4<br>RV |
|-----------------------------------------------------|-----------------|---------------|----------------------|-------------------------|---------------|
| <b>Grade 0</b><br>$V_{\max} < 2\text{m/s}$          |                 |               |                      |                         |               |
| <b>Grade 1</b><br>$V_{\max} 2\text{-}2.9\text{m/s}$ |                 |               |                      |                         |               |
| <b>Grade 2</b><br>$V_{\max} 3\text{-}3.9\text{m/s}$ |                 |               |                      |                         |               |
| <b>Grade 3</b><br>$V_{\max} \geq 4\text{m/s}$       |                 |               |                      |                         |               |

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| <b>Grade 3</b><br>$V_{\max} \geq 4\text{m/s}$       |                 | <b>AVR</b>    | <b>AVR</b>           | <b>AVR</b>              | <b>AVR</b>    |



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| Grade 1<br>$V_{\max} 2\text{-}2.9\text{m/s}$ |                       |                 |                      |                         |                 |
| Grade 2<br>$V_{\max} 3\text{-}3.9\text{m/s}$ | <b>PROGRESS</b>       | <b>PROGRESS</b> | <b>PROGRESS</b>      | <b>PROGRESS</b>         | <b>PROGRESS</b> |
| Grade 3<br>$V_{\max} \geq 4\text{m/s}$       | <b>EARLY<br/>TAVR</b> |                 |                      |                         |                 |

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|---------------------------------------|-----------------|---------------|----------------------|-------------------------|---------------|
| Grade 0<br>$V_{max} < 2\text{m/s}$    |                 |               |                      |                         |               |
| Grade 1<br>$V_{max} 2-2.9\text{m/s}$  |                 |               |                      |                         |               |
| Grade 2<br>$V_{max} 3-3.9\text{m/s}$  | PROGRESS        | PROGRESS      | PROGRESS             | PROGRESS                | PROGRESS      |
| Grade 3<br>$V_{max} \geq 4\text{m/s}$ | EARLY<br>TAVR   | AVR           | AVR                  | AVR                     | AVR           |

?Multi-drug 'precision' medical Rx